

The Current Concept of Cell Therapy for Heart Failure

Sang Hong Baek, M.D.

Division of Cardiovascular Medicine, Kangnam St. Mary's Hospital, The Catholic University of Korea College of Medicine, Seoul, Korea

ABSTRACT

Cardiac repair is a dream in the field of medical science. The biological limitations to human cardiac regenerative growth require the creation of new strategies for cardiac regeneration using cells, genes and protein. Recent experimental studies and early-phase clinical trials showed stem cells have the potential to enhance myocardial perfusion and contractile performance in patients with acute myocardial infarction, advanced coronary artery disease and chronic heart failure. Overall clinical experience also suggests that stem cell therapy can be safely performed if the right cell protocol is used within the correct clinical setting. Some experimental data have shown stable stem cell engraftment due to fusion or transdifferentiation into cardiomyocyte or vascular cell lineages, which could be likely explanations for these beneficial effects. Others have proposed that transient cell retention may be sufficient to promote functional effects, e.g., by release of paracrine mediators. We should proceed cautiously with carefully designed clinical trials, and concern for patient safety must remain the key issue. The translational basic research will be required to elucidate the mechanism of stem cell therapy. (**Korean Circulation J 2005;35:415-423**)

KEY WORDS : Heart failure, congestive ; Stem cells.

Introduction

Heart failure continues to be a major medical problem, despite advances in medical management and device therapy. Heart failure is a clinical syndrome, which can result from any structural or functional cardiac disorder that impairs the ability of the ventricle to fill with or pump blood. These include intrinsic defects of the contractility of cardiac muscle due to the altered expression of calcium-cycling proteins, components of the sarcomere and enzymes for cardiac energy production; extrinsic defects to cardiac muscle cells, such as interstitial fibrosis, affecting the compliance of the heart; and myocyte loss, unmatched by myocyte replacement. Cardiac regeneration is robust in certain organisms, such as the newt and zebrafish, where total replacement can transpire, even for an amputated limb, fin or tail, via the production of an undifferentiated cell mass, called the blastema.¹⁾ The degree of regeneration might also be dependent on the retention of proliferate potential in

a subset of adult cardiomyocytes, which is impossible in mammals under normal, unassisted biological circumstances. Several complementary strategies can be helpful in potentially aiding this process: overriding cell-cycle checkpoints that constrain the reactive proliferation of ventricular myocytes²⁾; supplementing the naturally occurring cytoprotective mechanisms, or inhibiting pro-death pathways³⁾; supplementing the naturally occurring angiogenic mechanisms using defined growth factors or arteriole-forming cells⁴⁾; or providing exogenous cells as a surrogate or precursor for cardiac muscle itself.⁵⁾ Among these conceptual possibilities, cell transplantation, in various forms, is the first strategy that has been translated from the bench to the bedside. The possibility of tissue repair by autologous adult stem and progenitor cells, suggested by the auspicious findings in experimental studies of various cell sources, immediately captured the attention of clinicians confronted with patients who suffer from disabling, life-threatening heart failure in acute or chronic ischemic heart disease.

Within only the past three years, more than a half-dozen preliminary clinical studies have been published, ranging from case reports to formal trials, deploying a range of differing cell-based therapies, with the shared objective of improving cardiac repair. In general, these small initial human trials have pointed toward a func-

Correspondence : Sang Hong Baek, M.D., Division of Cardiovascular Medicine, Kangnam St. Mary's Hospital, The Catholic University of Korea College of Medicine, 505 Banpo-dong, Seocho-gu, Seoul 137-040, Korea

Tel: 82-2-590-2075, Fax: 82-2-591-1075

E-mail: whitesh@catholic.ac.kr

tional improvement, despite their different strategies and cells, and lack of double-blinded controls; however, key questions remain open. A better understanding of just why and how grafting works will be essential, alongside the need for empirical trials to engineer the soundest future for regenerative therapy in human cardiovascular disease.

In this review, the various sources of stem or progenitor cells are compared, along with a discussion of the progress of clinical studies using stem cells for heart failure.

Potential Stem Cells

Currently, a variety of stem and progenitor cells could potentially be used for cardiac repair. Each cell type has its own profile of advantages, limitations and practicality issues within specific clinical settings. Many investigators have; therefore, chosen a pragmatic approach using unfractionated bone marrow cells (BMCs), which contain different stem and progenitor cell populations, including hematopoietic stem cells (HSCs), endothelial progenitor cells (EPCs) and mesenchymal stem cells (MSCs).

Endothelial progenitor cells

EPCs were originally defined by their cell surface expressions of the hematopoietic markers, CD133 and CD34, and the endothelial marker vascular endothelial growth factor (VEGF) receptor-2, and their capacity for incorporation into sites of neovascularization and to differentiate into endothelial cells *in situ*.⁶⁾ The evidence suggests that culture-expanded EPCs also contain a CD14⁺/CD34⁻ mononuclear cell population with EPC capacity, which mediates their angiogenic effects by releasing paracrine factors. Notably, number of EPCs and their angiogenic capacity are impaired in patients with coronary artery disease, which may limit their therapeutic usefulness.⁷⁾

CD133⁺ cells

The cell surface antigen CD133 is expressed on early HSCs and EPCs, both of which collaborate to promote vascularization of ischemic tissues.⁸⁾ CD133⁺ cells can integrate into sites of neovascularization and differentiate into mature endothelial cells. Because CD133 expression is lost in myelomonocytic cells, this marker provides an effective means to distinguish true CD133⁺ EPCs from EPCs of myelomonocytic origin. Less than 1% of nucleated BMCs are CD133⁺, and because these cells can not be expanded *ex vivo*, only limited numbers of CD133⁺ cells can be obtained for therapeutic purposes.

Mesenchymal stem cells

MSCs represent a rare population of CD34⁻ and CD133⁻ cells, which are present in bone marrow stroma

(10-fold less population than HSCs) and other mesenchymal tissues.⁹⁾ MSCs can readily differentiate into osteocytes, chondrocytes and adipocytes. Differentiation of MSCs to cardiomyocyte-like cells has been observed under specific culture conditions and after injection into healthy or infarcted myocardium in animals.¹⁰⁾ When injected into infarct tissue, MSCs may enhance regional wall motion and prevent remodeling of the remote, non-infarcted myocardium. Because MSC clones can be expanded *in vitro*, and reportedly have a low immunogenicity, they might be, in the future, used in an allogeneic setting.

Skeletal myoblasts

Skeletal myoblasts, or satellite cells, are progenitor cells that normally lie in a quiescent state under the basal membrane of mature muscular fibers. Myoblasts can be isolated from skeletal muscle biopsies and expanded *in vitro*. Myoblasts differentiate into myotubes, and retain skeletal muscle properties when transplanted into an infarct scar.¹¹⁾ Although myotubes do not couple with resident cardiomyocytes electromechanically, myoblast transplantation has been shown to augment systolic and diastolic performance in animal models of myocardial infarction.

Resident cardiac stem cells (CSC)

The presence of resident CSC populations capable of differentiating into cardiomyocyte or vascular lineages suggests they could be used for cardiac tissue repair.¹²⁾ CSCs can be clonally expand from human myocardial biopsies. It has been reported that intramyocardial injection of these cells, after AMI in mice, promotes cardiomyocyte and vascular cell formation, leading to an improvement in systolic function.

Embryonic stem (ES) cells

ES cells are totipotent stem cells derived from the inner cell mass of blastocysts. Under specific culture conditions, ES cells differentiate into multicellular embryoid bodies containing differentiated cells from all three germ layers, including cardiomyocytes. Human ES Cell-derived cardiomyocytes display structural and functional properties of early-stage cardiomyocytes, which couple electrically with host cardiomyocytes when transplanted into normal myocardium.¹³⁾ In theory, infinite numbers of cardiomyocytes could be obtained from human ES cell clones. However, unresolved ethical and legal issues, concerns about the tumorigenicity of the cells, and the need to use allogeneic cells for transplantation, currently hamper their use in clinical studies.

Modes of Cell Delivery

The goal of any cell delivery strategy is to transplant

sufficient numbers of cells into the myocardial region of interest, and achieve maximum retention of cells within that area. The local milieu is an important determinant of cell retention, as it will influence short-term cell survival and, if a transvascular approach is used, cell adhesion, transmigration through the vascular wall and tissue invasion.

Transvascular approaches

Transvascular strategies are especially suited for the treatment of recently infarcted and reperfused myocardium when chemoattractants and cell adhesion molecules are highly expressed.¹⁴⁾

Intracoronary artery infusion

Selective intracoronary application delivers a maximum concentration of cells homogeneously to the site of injury during the first passage. Unselected BMCs, circulating blood-derived progenitor cells and MSCs have been delivered, via the intracoronary route, in patients with acute myocardial infarction (AMI) and ischemic cardiomyopathy (Table 1, 2).

Intravenous infusion

In experimental models, intravenous delivery of EPCs or MSCs has been shown to improve cardiac function after an AMI.¹⁵⁾ However, homing of cells to noncardiac organs, such as the spleen, limits the clinical applicability of this approach.

Mobilization of stem and progenitor cells

Considering acutely infarcted myocardium recruits circulating stem and progenitor cells to the site of injury,¹⁵⁾ stem and progenitor cells mobilization by cytokines may offer a noninvasive strategy for cardiac regeneration. This concept has been tested in animal models of AMI and pilot studies in patients with AMI and chronic myocardial ischemia.

Direct injection in the ventricular wall

Direct injection is the preferred route for cell delivery in patients with chronic myocardial ischemia or when cell homing signals are expressed at low levels in the heart scar tissue. However, direct injection of cells into ischemic or scarred myocardium creates islands of cells

Table 1. Clinical trials of cell therapy in myocardial ischemia, without a revascularization option

Study	Number	LVEF	Cell type	Dose	Delivery	Outcomes	
						Subjective	Objective
Hamano et al ¹⁸⁾	5		MNC	$0.3-2.2 \times 10^9$	Transepical (during CABG)		Perfusion ↑ †
Tse et al ¹⁶⁾	8	58 ± 11%	MNC	From 40 ml BM	Transendocardial (guided by EMM)	Angina ↓ †	Perfusion ↑ †; Regional wall motion ↑ †; Perfusion ↑ †
Fuchs et al ¹⁹⁾	10	47 ± 10%	NC	$7.8 \pm 6.6 \times 10^7$	Transendocardial (guided by EMM)	Angina ↓ †	Perfusion ↑ †
Perin et al ²⁰⁾²¹⁾	14 treated 7 controls*	30 ± 6%	MNC	$3.0 \pm 0.4 \times 10^7$	Transendocardial (guided by EMM)	Angina ↓; NYHA class ↓	Perfusion ↑; Regional wall motion ↑ †; Global LVEF ↑

LVEF: left ventricular ejection fraction, MNC: bone marrow-derived mononuclear cells, NC: bone marrow-derived nucleated cells, BM: bone marrow, CABG: coronary artery bypass grafting, EMM: electromechanical mapping, NYHA: New York Heart Association. *: nonrandomized control group, †: effects reported only within cell therapy groups. Values are means ± SD

Table 2. Clinical trials of cell therapy in ischemic cardiomyopathy

Study	Number	LVEF	Cell type	Dose	Time after MI	Delivery	Outcomes †
Menasche et al ²²⁾	10	24 ± 4%	Myoblasts	$8.7 \pm 1.9 \times 10^8$	3-28 Months	Transepical (during CABG)*	Regional wall motion ↑; Global LVEF ↑
Herreros et al ²³⁾	11	36 ± 8%	Myoblasts	$1.9 \pm 1.2 \times 10^8$	3-168 Months	Transepical (during CABG)†	Regional wall motion ↑; Global LVEF ↑; Viability in Infarct area ↑
Siminiak et al ²⁴⁾	10	25-40%	Myoblasts	$0.04-5.0 \times 10^7$	4-108 Months	Transepical (during CABG)†	Regional wall motion ↑; Global LVEF ↑
Chachques et al ²⁵⁾	20	28 ± 3%	Myoblasts	$3.0 \pm 0.2 \times 10^8$	Not reported	Transepical (during CABG)*	Regional wall motion ↑; Global LVEF ↑; Viability in Infarct area ↑
Smits et al ²⁶⁾	5	36 ± 11%	Myoblasts	$2.0 \pm 1.1 \times 10^8$	24-132 Months	Transepical (guided by EMM)	Regional wall motion ↑; Global LVEF ↑
Stamm et al ²⁷⁾²⁸⁾	12	36 ± 11%	CD 133*	$1.0-2.8 \times 10^6$	3-12 weeks	Transepical (during CABG)*	Global LVEF ↑; LVEDV ↓; Perfusion ↑
Assmus et al ²⁹⁾	51 MNC, 35 CPC	40 ± 11%	MNC CPC	$1.7 \pm 0.8 \times 10^8$ $2.3-1.2 \times 10^7$	3-144 Months	IC	Global LVEF ↑; (only in MNC group)

LVEF: left ventricular ejection fraction, CD 133: bone marrow-derived CD 133+ cells, MNC: bone marrow-derived mononuclear cells, CPC: circulating blood-derived progenitor cells, MI: myocardial infarction, CABG: coronary artery bypass grafting, EMM: electromechanical mapping, IC: intracoronary, LVEDV: left ventricular end-diastolic volume. *: CABG of noninjected territories only, †: CABG of injected and noninjected territories, ‡: effects only within cell therapy groups. Values are means ± SD

with limited blood supply, which may lead to poor cell survival. Direct injection techniques are especially suited for the application of large cells, such as MSCs or myoblasts, which may cause microembolization after intracoronary delivery.

Transendocardial injection

Using an injection needle catheter, advanced across the aortic valve and positioned against the endocardial surface, cells can be directly injected into the left ventricular wall.¹⁶⁾ Electromechanical mapping (ex. NOGA* system) of the endocardial surface can be used to delineate viable, ischemic and scarred myocardium before cell injections.

Transepical injection

Transepical cell injection during open heart surgery allows for the direct visualization of the myocardium and the targeted application of cells to scarred areas and/or the border zone of an infarct scar. The invasiveness of this approach hampers its use as a stand-alone therapy. Conversely, the efficiency of cell transplantation may be difficult to evaluate and ascertain if coronary artery bypass grafting is performed simultaneously.

Transcoronary vein injection

A catheter system, incorporating an ultrasound tip for guidance and an extendable needle for myocardial access, has been used to deliver BMCs through the coronary veins into normal pig myocardium, and to deliver myoblasts to areas of nonviable myocardium in a pilot trial in patients with ischemic cardiomyopathy.¹⁷⁾ In contrast to the transendocardial approach, where cells are injected perpendicular to the ventricular wall, the composite catheter system delivers cells parallel to the ventricular wall and deep into the injured myocardium.

Initial Clinical Results of Cell Therapy in Heart Failure

Tables 1 and 2 summarize the results of all clinical trials studying cell-based myocardial repair published to date. It is vital to distinguish between those investigations performed on patients with acute myocardial infarction and those on patients with chronic heart failure due to prior myocardial infarction, not only because of the different cell types and modes of delivery used, but also because fundamentally different pathophysiological processes are targeted. For example, in patients with acute myocardial infarction, progenitor cell transplantation is predicted to significantly modify postinfarction left ventricular (LV) remodeling, through enhanced neovascularization and reduced cardiomyocyte apoptosis, irrespective of long-term engraftment and transdifferentiation. Con-

versely, the former 2 mechanisms acting alone may have little or no benefit in patients with long-established scars, apart from the functional rescue of hibernating myocytes. By comparison, in patients with chronic ischemic heart disease and old myocardial infarction, the initial attempts at cell-based myocardial repair were more heterogeneous in outcome, most likely due, in part, to the more heterogeneous populations treated (Table 2). The first such trial used skeletal muscle-derived progenitor cells, directly injected into the scarred region of the LV during open heart surgery for coronary artery bypass grafting. Global and regional LV functions were significantly and persistently improved, although concomitant revascularization complicated the assessment of any benefit.

Indeed, in patients not undergoing simultaneous revascularization, transcatheter injection of myoblasts into the scar, resulting from a myocardial infarction 5-6 years earlier, reduced the symptoms of heart failure, but without objective evidence of an improved global LV function. Unfortunately, the enthusiasm for injecting myoblasts into scar tissue for cardiac repair has been dampened by the fact that patients who have received this treatment experienced life-threatening arrhythmias. Mechanistically, this phenomenon may relate to the lack of electrical coupling of skeletal muscle to neighboring cardiomyocytes or; alternatively, be contingent on coupling by the few hybrid cells formed by fusion with adjacent cardiomyocytes, which generate spatially heterogeneous calcium transients. Therefore, skeletal myoblasts transplantation currently requires the placement of an implantable cardioverter/defibrillator, as a mandatory adjunct to therapy. Importantly, in the one small, non-randomized trial using bone marrow-derived progenitor cells for chronic ischemic heart failure, injection sites were chosen by electromechanical mapping of the LV endocardial surface to find areas of myocardial hibernation: which resulted in significant increases in global LV ejection fraction, with decreased end-systolic volumes and improved exercise capacity. While this functional improvement might be secondary to an improved blood supply to hibernating cardiomyocytes, it is also conceivable that an area of hibernating myocardium may provide a more favorable microenvironment for the survival and engraftment of injected cells than a cell-depleted scar.

Lessons from Pilot Clinical Trials

Two clinical scenarios following infarction, acute or chronic, have been subjected to cell transplantation for cardiac repair. In the case of acute myocardial infarction, the established safety and suggestive efficacy of intracoronary progenitor cell transplantation provide a cogent rationale for larger, randomized, double-blind trials and

for the expansion of such studies from Europe to the United States. In the case of chronic ischemic heart failure, an additional question is whether identifying hibernating myocardium for direct cell therapy is essential to an effective outcome. Ultimately, it must be proven that cellular therapy aimed at cardiac repair not only improves pump function, but also reduces mortality and morbidity, or both.

Beyond safety and efficacy issues, what else do we need to know clinically? For ischemic disease, the technical armament is in hand for treating patients' hearts with progenitor cells, but still only at a very early stage, rudimentary experimental knowledge is being applied in the clinical arena; however, a variety of pivotal, but straightforward, utilitarian questions still remain unanswered (optimal patient selection, usefulness of repeated cell transplantations). Nonischemic heart disease still has not been addressed at all.

The majority of this review focuses on the biological horizons; namely mobilization, homing, neovascularization and cardiac differentiation.

Cell mobilization

Cytokine-induced mobilization as a way to potentially enhance cardiac repair came as an extrapolation of findings from the results of efforts to increase EPC levels for neovascularization in another context—hind limb ischemia. Indeed, VEGF³⁰ and GM-CSF³¹ were found to augment EPC levels and improve neovascularization, and subsequent studies documented EPC mobilization by numerous other proangiogenic growth factors—stromal cell-derived factor-1 (SDF-1), angiopoietin-1, placental growth factor and erythropoietin.³² Based on the report that bone marrow-derived cells can differentiate into cardiomyocytes, hematopoietic stem cell-mobilizing factors, granulocyte-colony stimulating factor (G-CSF) and SCF (Kit ligand) were used to experimentally improve cardiac regeneration, which quickly led to the initiation of clinical trials studying the ability of G-CSF to mobilize stem/progenitor cells in patients with coronary artery disease. Adverse vascular events were attributed to G-CSF in patients with intractable angina who were not considered as suitable candidates for revascularization and even in patients without cardiac disease. In the future, it may be preferable to use strategies that augment circulating progenitor cells without causing massive inflammation. A second open question, with regard to systemic mobilization, is whether enough progenitor cells will home, where needed, to the sites of cardiac injury. Systemically administered human progenitor cells were predominantly trapped by the spleen when given to athymic nude rats, and cardiac regeneration elicited by treatment with G-CSF plus SCF was documented only for animals lacking a spleen. The use of leukocyte-mobilizing cytokines might be most worth-

while when combined with selective enhancements of progenitor cell homing, or as a prelude to isolating cells for local delivery.

Cell homing

Homing is a multistep cascade, including the initial adhesion to activated endothelium or exposed matrix, transmigration through the endothelium and, finally, migration and invasion of the target tissue. The capacity to migrate and invade may be pivotal to functional integration, even when cells are injected intramuscularly. Particularly in patients who lack the endogenous stimuli incited by acute ischemic injury, the enhancement of local homing signals, or the ability of cells to respond, may be of critical importance.

The mechanisms for the homing of progenitor cells to sites of tissue injury are only rudimentarily understood, while homing of hematopoietic progenitor cells to bone marrow has been studied extensively.³³ SDF-1 appears to be one key factor that regulates the trafficking of stem and progenitor cells to ischemic tissue, and local delivery of SDF-1 can enhance EPC recruitment and neovascularization. Cell necrosis causes the release of a chromatin-binding protein, high mobility group box protein 1 (HMGB1), whose release acts as an extracellular "danger signal", which may stimulate progenitor cells' homing. Extracellular HMGB1 attracts mesoangioblasts, both *in vitro* and *in vivo*, and likely plays a role in muscle regeneration. HMGB1 interacts with the receptor for advanced glycation end products, as well as for Toll-like receptors 2 and 4. The exact mechanisms mediating cell attraction due to HMGB1 are unclear, but may involve additional receptors that remain to be identified.

The adhesion and transmigration of stem and progenitor cells are mediated by integrins. Indeed, integrin-dependent adhesion of EPCs is one effect of SDF-1.³⁴ Many of the chemokines and adhesion molecules induced by cardiac ischemic injury are familiar players in other disorders, but different cell types may use different mechanisms for homing, and ischemia may differ according to the attractant induced.

Thus, a molecular dissection is essential to define the multiple steps of progenitor cell homing to, and invasion of, the myocardium, especially for those cells currently used for clinical cardiac repair and for the other, novel, auspicious cells presently being used in preclinical studies.

Neovascularization

Currently, there is no direct clinical evidence that cellular cardiomyogenesis in fact occurs in the human heart following stem/progenitor cell transplantation. Angiogenesis, improvements in scar tissue and cytoprotection must be considered, along with transdifferentia-

tion, as the most important possible consequences of cell-based therapies for cardiac repair. Of these, progenitor cells may most obviously improve neovascularization, which in turn would augment oxygen supply. Progenitor cells are expected to be of most benefit to cardiac regeneration or performance when used to treat jeopardized or hibernating cardiomyocytes. Neovascularization, in turn, can be mediated by the physical incorporation of progenitor cells into new capillaries or, in some settings, into perivascular cells. Incorporated progenitor cells of most, if not all, types may release growth factors, which promote angiogenesis by acting on mature endothelial cells. The extent to which progenitor cells contribute to vasculogenesis, by becoming physical elements of newly formed vessels angioblasts, versus their action through secreted factors, may depend on the circumstances of the cell type and cardiac injury. However, human bone marrow-derived angioblasts exert both types of effect.³⁵⁾ Cells engineered to overexpress angiogenic factors might enhance both their own survival and that of the recipient myocardium.

Cardiac differentiation

Because most adult cardiac myocytes are terminally differentiated, the regenerative capacity of the infarcted myocardium is limited.

Cardiac myogenesis by noncardiac cells: The possibility of cardiomyocyte formation by multipotent progenitor/stem cells was first raised by pioneering works, in which ES cells were grafted into mouse myocardium,³⁶⁾ the one cell type, along with germ cells, for which totipotency is assured. The formation of functional cardiomyocytes by mouse and human ES cells is proven by adherence to many criteria. Although religious, ethical and political objections to the use of human ES cells have received justifiable attention, human ES cells, being allogeneic, also pose the clinical challenge of immunological barriers, which are obviated in all forms of autologous cell therapy. Is the appearance of multiple lineages due merely to a mixed assortment of starting cells? For which cell types, settings, and means of administration does fusion of donor and host cells create the appearance of transdifferentiation or multiple potentials? Is plasticity in propagated cells merely acquired in culture and not a reflection of the native biology? A plausible interpretation is that the MSCs in bone marrow, not HSCs, chiefly contribute to the creation of new cardiomyocytes following infarction. To date, human trials studying cardiac repair have all employed unfractionated bone marrow cells, presumably including bone marrow MSCs. More recently, several groups have reported evidence of extracardiac progenitors in necropsy specimens of the hearts obtained from subjects having undergone sex-mismatched heart transplantation.³⁷⁾

Cardiac myogenesis by adult cardiac progenitor cells:

The quest for novel heart-forming cells in adult myocardium can be traced to several instigating rationales: the inability of skeletal myocytes to transdifferentiate; challenges to claims of bone marrow-derived cells' far-ranging plasticity; and an emerging counter-model of tissue-resident progenitor cells, which share some signatures of "stemness", but are predisposed to differentiate into lineages of the organ in which they reside. Evidence of resident progenitors in the heart has also recently been reported. Beltrami et al³⁸⁾ identified clusters of highly proliferating cells in the myocardium, consisting predominantly of undifferentiated lineage negative (Lin⁻) cells expressing the stem cell marker, c-kit (c-kit^{Pos}), and stem cell antigen 1 (Sca-1^{Pos}). These cells have clonogenic and self-renewing capabilities, and are capable of differentiating into all myocardial cell types, including cardiomyocytes, endothelial cells and vascular smooth muscle cells. These findings suggest that the resident precursor cells may represent a mechanism for self-repair of the damaged myocardium. However, the regenerative capacity of this putative self-repair mechanism has been questioned by several groups, who argued that the number of cardiac and extracardiac progenitors that migrate to the heart is insufficient to induce effective long-term regeneration of myocardium. The mechanism of myocardial repair by local or transplanted cells has not been elucidated. The relative contribution of transdifferentiation and cell fusion to the regenerative process remains controversial. Where and how do cardiac progenitor or stem cells arise in the heart? Various models can be considered, ranging from persistence as undifferentiated remnants of heart-forming tissue in the early embryo, to a hematogenous origin (from bone marrow or even sites of earlier hematopoiesis, losing HSC markers in the process), to mechanisms involving ingrowth of the developing coronary vasculature. As an example of the first mechanism, postnatal cardioblasts, numbering just a few hundred per heart, have been identified on the basis of the persistent expression of a LIM-homeodomain transcription factor, Isl1, especially in the atria, right ventricle and outflow tract-regions where Isl1 is most prevalently expressed during cardiac organogenesis.³⁹⁾ By contrast, for cardiac Sca-1⁺ cells, a third potential mechanism may be favored, given the cells' striking similarities to mesoangioblast, which include surface labeling, microarray findings and the earliest sites of marker expression.⁴⁰⁾ How many cardiomyocytes, if any, are generated by these new routes to heart muscle cell formation in the normal heart after birth? What are the unassisted contributions of these pathways to cardiomyocyte formation in disease, as a reserve for the replacement of dead and dying cells? To answer these questions, the genetic strategy of 'fate mapping' is likely to be relevant-indelibly tagging cells with an irreversible marker of their status-using, for example, a Sca-1-driven

gene for Cre recombinase plus a Cre-dependent reporter to permanently label the progeny of *Sca-1*⁺ cells, even once *Sca-1* is no longer expressed.

Cell augmentation for cardiac regeneration

The findings of unexpected persistence of cardiopoietic cells in adult hearts and effective cardiac repair by the noncardiac cells in current trials have raised a number of questions. Although cell-based therapy has only taken its first steps toward clinical application, limiting factors have already arisen as targets for future improvement. Ultimately, genetic-engineered cells may supercede naive stem cells.

Firstly, how can one make delivered cells more durable, considering the adverse environment? Most stem cells share the property of stress resistance,⁴¹⁾ but even stem cells die in the absence of blood flow. This concern is especially apt when progenitor cells are directly delivered into unperfused necrotic myocardium. Targeting injections to the margin of injury, where oxygen supply persists, is immediately workable, and biological means to augment the survival of the cells are on the horizon, including the use of angiogenic factors, or modification of MSCs with the survival gene, *Akt*.

Secondly, how can one restore progenitor cells to normal, where they are deficient in numbers or function? The functional capacity of bone marrow-derived cells is defective in patients with heart failure,⁴²⁾ and risk factors for atherosclerosis have been found to correlate inversely with the numbers and function of circulating EPCs. Stem cell defects also occur as a consequence of aging. Potential remedies include statins, which delay the onset of cellular senescence, telomere “uncapping” and DNA damage signals in human EPCs. Direct interference with telomere-based aging and death signals might also be achieved through the forcible expression of TERT. Antioxidants or growth factors might also be used to promote telomerase activity. TERT and other cell cycle activators may be useful in prolonging donor cell cycling, either *ex vivo* or, especially if tightly controlled, following engraftment.

Thirdly, what drives the cardiac fate in adult heart-forming cells? While some mechanisms for cardiac specification in injured adult hearts might differ from those in early embryos, better knowledge of the “wiring diagram” for commitment to the cardiac fate is expected to yield useful clues to enhance the differentiation process in susceptible cell types, and even extend the range of donor cells that are well able to form heart muscle.⁵⁾ Multiple autocrine or paracrine factors, from host myocardium or donor cells, provide essential instructions to enter the cardiac muscle lineage.

In summary, the existence of cardiac and noncardiac progenitor cells, efficacious in cardiac repair, should stimulate vigorous subsequent inquiry into a means to

activate their migration, survival, growth and differentiation. These are questions of great importance, whether one is envisioning the manipulation of cells *ex vivo* for their subsequent administration, or instead, contemplating the activation of latent cells within the injured heart.

Adverse Events

Adverse events in experimental studies using adult stem cell have been relatively rare. However, the development of microinfarction has been reported when infusing large MSCs directly into the coronary artery of a dog. In addition, adverse calcification has been shown to be a problem in a rat model, which received either total BM cells, BM-derived multipotent stem cells or saline following coronary ligation.

Serious ventricular arrhythmias developed in patients between 11 to 22 days post-transplantation when skeletal myoblasts were used for cardiac repair in a phase I clinical trial. This may be explained by the lack of gap junctions between the transplanted myoblasts and the resident cardiomyocytes, or the difference between the action potentials of the two cell types. In contrast, arrhythmias were not a problem, per se, with clinical trials using BM-MNCs. However, the administration of any cells via a transendocardial route could induce arrhythmias. The major concern of using BM-MNCs may be the development of angiogenic neoplasias, since BM-derived EPCs can contribute to tumor neovascularization. However, no angiogenic neoplasia has yet been reported in clinical trials. One trial, utilizing peripheral blood stem cell, mobilized by G-CSF, for cardiomyo-angiogenesis, has been stopped. In this study, an intracoronary infusion of stem cells was performed after coronary stenting. The observed increase in restenosis may be partially explained by the study design, but the increase in the leukocyte number to leukemic levels, via plaque growth or destabilization, may be directly responsible.⁴³⁾ Adverse vascular events and accelerated atherosclerosis have been attributed to G-CSF in patients with intractable angina who were not considered as suitable candidates for revascularization.

Conclusion and Future Perspectives

Only small, mostly uncontrolled clinical studies, exploring the safety and feasibility of stem cell therapy, have so far been conducted. This preliminary clinical evidence suggests that stem cell therapy might be promising. Upcoming trials will conduct intermediate-size, double-blind, randomized-controlled clinical trials to establish the effects of stem cell therapy on surrogate markers, such as LV systolic function, myocardial perfusion or exercise capacity. However, there is much caution against

their premature use in clinical settings, with the issues of the optimal cell type, cell number and timing of cell transfer and the appropriate clinical condition, such as acute myocardial infarction versus heart failure, remaining to be resolved. Safety of cell protocol remains the key concern. Although studies pertaining to these issues are underway, fundamental questions need to be experimentally addressed. What is the fate of the injected cells after transplantation? How long do they survive? Do the cells incorporate, or is transient retention sufficient to promote functional effects? Cell labeling and molecular imaging techniques need to be developed to track the fate of stem cells *in vivo* and to correlate the cell retention and engraftment with the functional outcomes. What is the nature and functional relevance of this interaction? Can cardiac stem cells be used for cardiac repair, or is their potential similar to cells obtained from bone marrow? Can the regenerative capacity of transplanted stem cells be enhanced by drugs, cytokines or gene therapy approaches? Pharmacological and genetic strategies may help to enhance stem cell retention, engraftment, differentiation and paracrine capability. Additional work will elucidate the mechanisms involved in mobilization, homing, integration and survival of progenitor cells at the sites of implantation. This, in turn, will help define the optimal conditions for their therapeutic application.

REFERENCES

- Poss KD, Wilson LG, Keating MT. *Heart regeneration in zebrafish. Science* 2002;298:2188-90.
- Pasumarthi KB, Nakajima H, Nakajima HO, Soonpaa MH, Field LJ. *Targeted expression of cyclin D2 results in cardiomyocyte DNA synthesis and infarct regression in transgenic mice. Circ Res* 2005;96:110-8.
- Oh H, Wang SC, Prahash A, et al. *Telomere attrition and chk2 activation in human heart failure. Proc Natl Acad Sci USA* 2003;100:5378-83.
- Losordo DW, Dimmeler S. *Therapeutic angiogenesis and vasculogenesis for ischemic disease: part I. angiogenic cytokines. Circulation* 2004;109:2487-91.
- Olson EN, Schneider MD. *Sizing up the heart: development redux in disease. Genes Dev* 2003;17:1937-56.
- Asahara T, Kawamoto A. *Endothelial progenitor cells for postnatal vasculogenesis. Am J Physiol Cell Physiol* 2004;287:C572-9.
- Vasa M, Fichtlscherer S, Aicher A, et al. *Number and migratory activity of circulating endothelial progenitor cells inversely correlate with risk factors for coronary artery disease. Circ Res* 2001;89:e1-7.
- Rafii S, Lyden D. *Therapeutic stem and progenitor cell transplantation for organ vascularization and regeneration. Nat Med* 2003;9:702-12.
- Pittenger MF, Martin BJ. *Mesenchymal stem cells and their potential as cardiac therapeutics. Circ Res* 2004;95:9-20.
- Makino S, Fukuda K, Miyoshi S, et al. *Cardiomyocytes can be generated from marrow stromal cells in vitro. J Clin Invest* 1999;103:697-705.
- Ghostine S, Carrion C, Souza LC, et al. *Long-term efficacy of myoblast transplantation on regional structure and function after myocardial infarction. Circulation* 2002;106:1131-6.
- Oh H, Bradfute SB, Gallardo TD, et al. *Cardiac progenitor cells from adult myocardium: homing, differentiation, and fusion after infarction. Proc Natl Acad Sci U S A* 2003;100:12313-8.
- Kehat I, Kenyagin-Karsenti D, Snir M, et al. *Human embryonic stem cells can differentiate into myocytes with structural and functional properties of cardiomyocytes. J Clin Invest* 2001;108:407-14.
- Lee SH, Wolf PL, Escudero R, Deutsch R, Jamieson SW, Thistlethwaite PA. *Early expression of angiogenesis factors in acute myocardial ischemia and infarction. N Engl J Med* 2000;342:626-33.
- Kocher AA, Schuster MD, Szabolcs MJ, et al. *Neovascularization of ischemic myocardium by human bone-marrow-derived angioblasts prevents cardiomyocyte apoptosis, reduces remodeling and improves cardiac function. Nat Med* 2001;7:430-6.
- Tse HF, Kwong YL, Chan JK, Lo G, Ho CL, Lau CP. *Angiogenesis in ischaemic myocardium by intramyocardial autologous bone marrow mononuclear cell implantation. Lancet* 2003;361:47-9.
- Thompson CA, Nasser BA, Makower J, et al. *Percutaneous transvenous cellular cardiomyoplasty: a novel nonsurgical approach for myocardial cell transplantation. J Am Coll Cardiol* 2003;41:1964-71.
- Hamano K, Nishida M, Hirata K, et al. *Local implantation of autologous bone marrow cells for therapeutic angiogenesis in patients with ischemic heart disease: clinical trial and preliminary results. Jpn Circ J* 2001;65:845-7.
- Fuchs S, Satler LF, Kornowski R, et al. *Catheter-based autologous bone marrow myocardial injection in no-option patients with advanced coronary artery disease: a feasibility study. J Am Coll Cardiol* 2003;41:1721-4.
- Perin EC, Dohmann HF, Borojevic R, et al. *Transendocardial, autologous bone marrow cell transplantation for severe, chronic ischemic heart failure. Circulation* 2003;107:2294-302.
- Perin EC, Dohmann HF, Borojevic R, et al. *Improved exercise capacity and ischemia 6 and 12 months after transendocardial injection of autologous bone marrow mononuclear cells for ischemic cardiomyopathy. Circulation* 2004;110 (Suppl):II213-8.
- Menasche P, Hagege AA, Vilquin JT, et al. *Autologous skeletal myoblast transplantation for severe postinfarction left ventricular dysfunction. J Am Coll Cardiol* 2003;41:1078-83.
- Herreros J, Prosper F, Perez A, et al. *Autologous intramyocardial injection of cultured skeletal muscle-derived stem cells in patients with non-acute myocardial infarction. Eur Heart J* 2003;24:2012-20.
- Siminiak T, Kalawski R, Fiszer D, et al. *Autologous skeletal myoblast transplantation for the treatment of postinfarction myocardial injury: phase I clinical study with 12 months of follow-up. Am Heart J* 2004;148:531-7.
- Chachques JC, Herreros J, Trainini J, et al. *Autologous human serum for cell culture avoids the implantation of cardioverter-defibrillators in cellular cardiomyoplasty. Int J Cardiol* 2004;95 (Suppl 1):S29-33.
- Smits PC, van Geuns RJ, Poldermans D, et al. *Catheter-based intramyocardial injection of autologous skeletal myoblasts as a primary treatment of ischemic heart failure: clinical experience with six-month follow-up. J Am Coll Cardiol* 2003;42:2063-9.
- Stamm C, Westphal B, Kleine HD, et al. *Autologous bone-marrow stem-cell transplantation for myocardial regeneration. Lancet* 2003;361:45-6.
- Stamm C, Kleine HD, Westphal B, et al. *CABG and bone marrow stem cell transplantation after myocardial infarction. Thorac Cardiovasc Surg* 2004;52:152-8.

- 29) Assmus B, Honold J, Lehmann R, et al. *Transcoronary transplantation of progenitor cells and recovery of left ventricular function in patients with chronic ischemic heart disease: results of a randomized, controlled trial. Circulation* 2004;110 (Suppl III): 238.
- 30) Asahara T, Takahashi T, Masuda H, et al. *VEGF contributes to postnatal neovascularization by mobilizing bone marrow-derived endothelial progenitor cells. EMBO J* 1999;18:3964-72.
- 31) Takahashi T, Kalka C, Masuda H, et al. *Ischemia- and cytokine-induced mobilization of bone marrow-derived endothelial progenitor cells for neovascularization. Nat Med* 1999;5:434-8.
- 32) Hattori K, Heissig B, Tashiro K, et al. *Plasma elevation of stromal cell-derived factor-1 induces mobilization of mature and immature hematopoietic progenitor and stem cells. Blood* 2001;97:3354-60.
- 33) Papayannopoulou T. *Bone marrow homing: the players, the playfield, and their evolving roles. Curr Opin Hematol* 2003;10:214-9.
- 34) de Falco E, Porcelli D, Torella AR, et al. *Sdf-1 involvement in endothelial phenotype and ischemia-induced recruitment of bone marrow progenitor cells. Blood* 2004;104:3472-82.
- 35) Kocher AA, Schuster MD, Szabolcs MJ, et al. *Neovascularization of ischemic myocardium by human bone-marrow-derived angioblasts prevents cardiomyocyte apoptosis, reduces remodeling and improves cardiac function. Nat Med* 2001;7:430-6.
- 36) Klug MG, Soonpaa MH, Koh GY, Field LJ. *Genetically selected cardiomyocytes from differentiating embryonic stem cells form stable intracardiac grafts. J Clin Invest* 1996;98:216-24.
- 37) Quaini F, Urbanek K, Beltrami AP, et al. *Chimerism of the transplanted heart. N Engl J Med* 2002;346:5-15.
- 38) Beltrami AP, Barlucchi L, Torella D, et al. *Adult cardiac stem cells are multipotent and support myocardial regeneration. Cell* 2003;114:763-76.
- 39) Laugwitz KL, Moretti A, Lam J, et al. *Post-natal isl1+ cardioblasts enter fully differentiated cardiomyocyte lineages. Nature* 2005;433:647-53.
- 40) Oh H, Bradfute SB, Gallardo TD, et al. *Cardiac progenitor cells from adult myocardium: homing, differentiation, and fusion after infarction. Proc Natl Acad Sci USA* 2003;100:12313-8.
- 41) Ramalho-Santos M, Yoon S, Matsuzaki Y, Mulligan RC, Melton DA. "Stemness": *transcriptional profiling of embryonic and adult stem cells. Science* 2002;298:597-600.
- 42) Heeschen C, Lehmann R, Honold J, et al. *Profoundly reduced neovascularization capacity of bone marrow mononuclear cells derived from patients with chronic ischemic heart disease. Circulation* 2004;109:1615-22.
- 43) Kang HJ, Kim HS, Zhang SY, et al. *Effects of intracoronary infusion of peripheral blood stem-cells mobilised with granulocyte-colony stimulating factor on left ventricular systolic function and restenosis after coronary stenting in myocardial infarction. Lancet* 2004;363:751-6.