SYM 2081 Exerts Neuroprotective Effect Modulating Anti-Apoptosis in the Hypoxic-Ischemic Brain Injury of Neonatal Rats

Objective: SYM 2081 ((2S,4R)-4-methylglutamic acid), an agonist and functional antagonist of the kainite receptor, has shown a neuroprotective effect in neurodegenerative diseases in adults but little is known concerning perinatal hypoxic-ischemic encephalopathy. This study is designed to evaluate whether SYM 2081 has preventive mechanisms via anti-apoptosis to gain further insight into neuroprotective roles of SYM 2081.

Methods: In an in vivo animal model, the left carotid artery was ligated in 7-day-old Sprague-Dawley rat pups. The pups were divided into six groups: normoxia (N), hypoxia (8% O₂, 92% N₂) (H), H with sham operation, H with operation (HO), HO treated with vehicle and HO treated with SYM 2081. In an in vitro model, the cultured embryonic cortical neuronal cells were divided into three groups: normoxia (95% air, 5% CO₂), hypoxia (94% N₂, 5% CO₂) (Hc), and Hc treated with SYM 2081 before a hypoxic insult. Apoptosis was assessed using western blots and real-time polymerase chain reaction with Bcl-2, Bax, and caspase-3 antibodies and mRNAs.

Results: In both in vitro and in vivo studies, Bcl-2 expression increased, whereas expressions of Bax, caspase-3, and the ratio of Bax/Bcl-2 were reduced with SYM 2081 treatment resulting in improved cell survival.

Conclusion: This study showed that SYM 2081 exerts a neuroprotective effect against hypoxic-ischemic injury through modulating apoptotic signaling pathways.

Key Words: 4-methylglutamic acid, Hypoxia-ischemia, Brain, Apoptosis

Introduction

Hypoxic-ischemic encephalopathy (HIE) is a leading cause of major mortality and morbidity in the neonatal period. Hypothermia is now used as an established therapy, but many newborns with moderate to severe HIE still suffer from severe neurodevelopmental problems. Thus, continuous efforts have been conducted to benefit from adjuvant therapy in combination with hypothermia treatment. Understanding of the cellular signaling network that regulates programmed cell death and identification of the genes can provide insights for therapies targeted at inhibition of cell death after neonatal HIE.

Apoptosis is one important mechanism controlling the neuronal damage after hypoxic-ischemic (HI) injury. Caspase-related signaling pathways can lead to apoptosis and are likely involved in neurodegeneration. Apoptosis is regulated by a balance between the pro- and anti-apoptotic proteins. Expression of several apoptosis-associated proteins is induced by brain damage. The classic pathways associated with caspase activation, each with distinct molecular mechanisms, are the caspase-9-dependent intrinsic and the caspase-8-dependent extrinsic pathways. The caspase-3 pathway is common to both final intrinsic and extrinsic pathways for apoptosis and thus has been used as a marker for assessing apoptosis. The Bcl family members are also activated following neonatal HI injury and are closely related to the...
intrinsic pathways for apoptosis. As apoptosis after neonatal HI injury is known to be caspase-dependent, inhibitors of caspases could defend cells against HI injury.\textsuperscript{12}

Several reports demonstrated the associations between glutamate receptors and excitotoxicity.\textsuperscript{13,14} Kainate receptor (KAR) has also been known to contribute to excitotoxicity with N-methyl-D-aspartate (NMDA) or \textalpha\text{-}amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors.\textsuperscript{15} Although KAR has various functions such as regulation of glutamate release, inhibition of GABA release, and induction of calcium current in the presence of an agonist, it has been shown that its various responses depend on factors such as subtype, editing, location, maturation, and agonist concentration.\textsuperscript{16,17} Research on KAR has been less frequent than for other glutamergic receptors.

Our previous study showed the neuroprotective effect of SYM 2081 ((2S,4R)-4-methylglutamic acid) through modulating KAR expression in neonatal rat brain.\textsuperscript{18} This raised our interest in investigating the possible cellular mechanisms of SYM 2081 effects on gene expression of apoptosis. Therefore, this study is designed to evaluate whether SYM 2081 has preventive mechanisms via anti-apoptosis using western blots and real-time PCR with Bcl–2, Bax, and caspase–3 antibodies and mRNAs to gain further insight into neuroprotective roles of SYM 2081.

Methods

1. Materials

We purchased SYM 2081 from Tocris (Bristol, UK). Primary antibodies used in this study included the following: Bcl–2 (1:1,000; Santa Cruz Biotechnology, Dallas, TX, USA), Bax (1:1,000; Cell Signaling Technology, Danvers, MA, USA), caspase–3 (1:1,000; Cell Signaling Technology), and \textbeta\text–Actin (1:1,000; Santa Cruz Biotechnology). Secondary antibodies used were goat anti-mouse or rabbit IgG–HRP (1:2,000; Santa Cruz Biotechnology).

2. Animal model and drug administration

This study was carried out under the approved animal use guidelines and protocol of the Catholic University of Daegu. All rats were supplied with food and water ad libitum and were housed at 23±1°C on a 12:12–h light:dark cycle. The rat pups were reared with their respective mothers. Experiments were performed on postnatal seven–day–old Sprague–Dawley (SD) rat pups in this research. To induce perinatal HI brain injury, a modification of the Levine preparation was used as a model for perinatal HI brain injury as previously described.\textsuperscript{19} Briefly, the left carotid artery (LCCA) was isolated via midline incision at the longitudinal plane under isoflurane anesthesia and underwent double ligation permanently with 5–0 surgical silk. The total period of procedure never exceeded 5 minutes. Following one hour of recovery with the dam and feeding, the pups were placed in an airtight polypropylene container (295×230×84 mm, 3.9 L volume; Lock & Lock, Yongin, Korea), which were submerged in a 37°C water bath and exposed to hypoxia (a mixture of 8% O\textsubscript{2} and 92% N\textsubscript{2}) for 2 hours. The oxygen level was monitored using an oxygen monitor (Hudson RCI, Temecula, CA, USA). After this hypoxic exposure, the pups were returned to their dams for the indicated time. As the test agent, SYM 2081 was dissolved in phosphate–buffered saline (PBS) and injected intraperitoneally (i.p.) at a dose of 10 mg/kg at 30 minutes before the hypoxic exposure. We used the dose of a previous study that showed neuroprotective effects.\textsuperscript{18} Over the course of the experiment, a total of 55 litters were under study from which six rats died. The animals were randomly divided into six groups (n=7–10/group). The animals were randomly allocated into six treatment groups: normoxia (N, n=8) was not exposed to hypoxia; hypoxia (H, n=8) was exposed to hypoxia without operation; H with Sham–operation (HS, n=9) was subjected to open–close surgery but not to LCCA ligation; H with an operation (HO, n=7) was subjected to LCCA ligation and hypoxia. HO treated with vehicle (HV, n=9) was injected with PBS (the same volume as that of SYM 2081); and HO treated with SYM 2081 (HM, n=8) was injected systemically with 10 mg/kg of SYM 2081 at 30 minutes before a hypoxic insult.

Pups from each litter were randomly assigned and marked to N, H, HS, HO, HV, and HM groups. The experiments were repeated four times (n=4) in the western blots and six times (n=6) in the real–time PCRs.

3. Embryonic cortical neuronal cell culture of rats

In the second set of experiments, cultures of dissociated
cortical neuronal cells were prepared from SD rat embryos pregnant for 19 days using methods similar to those previously described. The fetal pups were washed in 100% ethanol and Hanks’ balanced salt solution (HBSS) (GibcoBRL, Grand Island, NY, USA). Briefly, cerebral cortices were separated and dissected at 37°C in HBSS containing 1 mM sodium pyruvate and 10 mM HEPES (N-2-hydroxyethyl)piperazine-N’-2-ethanesulfonic acid (pH 7.4). The dissected brain cortical tissues were then placed in 2 mL of trypsin and incubated at 37°C in a water bath for 1 minute. After washing with 10 mL of HBSS, the cell suspension was centrifuged at 1,000 rpm at 25°C for 5 minutes and the pellets were plated at approximately 2×10^5 cells/mm² in each dish. Cells were cultured in Neurobasal media (GibcoBRL) (100 mL neurobasal, 2% B27 supplement, 0.25 mL glutamax I, 0.1 mL 25 mM glutamate, 0.1 mL 25 mM 2-mercaptoethanol) in a CO₂ chamber. A fifth of the medium was replaced with fresh feeding Neurobasal media (GibcoBRL) (100 mL neurobasal, 2% B27 supplement, 0.25 mL glutamax I) every three days.

The cultured cells were randomly allocated into three groups: normoxia (Nc); hypoxia (Hc); and hypoxia treated with SYM 2081 (HMc). The Nc group was prepared in 5% CO₂ incubators and the other groups (before a hypoxia injury) in 1% O₂ incubators (94% N₂, 5% CO₂) for 16 hours. The Nc group served as controls. The experiments were repeated four times (n=4) in the western blots and six times (n=6) in the real-time PCRs.

6. RNA extraction and real-time PCR
Tissues and cells were homogenized in TRIzol reagent (Invitrogen Corporation, Carlsbad, CA, USA) before a 5 minutes incubation at room temperature followed by a chloroform treatment (200 μL) for dissociation of the nucleoprotein complexes. The sample tubes were shaken by hand for 15 seconds, and incubated at room temperature for 2 minutes. The upper aqueous RNA phase was collected following centrifugation (12,000 x g for 15 minutes at 4°C). After removal of the supernatant, the RNA pellet was washed once with 1 mL 75% ethanol by centrifugation (7,500 x g for 5 minutes at 4°C). The RNA pellet was air-dried for 10 minutes before redissolving the RNA in 100 μL RNase-free water and incubating for 10 minutes at 60°C. The amount and purity of extracted RNA were quantitated by a GeneQuant 1,300 spectrophotometer (GeneQuant™proRNA/DNAcalculator, GEHealthcare, Logan, UT, USA) and the RNA was stored at −70°C before further processing. For the real-time PCR (For reverse transcription), the total RNA (1 μg) was reverse transcribed for

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1 hour at 37℃ in a reaction mixture containing 20 U RNase inhibitor (Promega, Madison, WI, USA), 1 mM dNTP (Promega), 0.5 ng Oligo 15 primer (Promega), 1x RT buffer and 200 U M-MLV reverse transcriptase (Promega). The reaction mixture was then incubated at 95℃ for 5 minutes to stop the reaction and the cDNA was stored at −20℃ for further processing.

A real-time PCR was carried out in a 20 μL volume with 10 μL of kit-supplied iQ™ SYBR Green Supermix (Bio-rad Laboratories), 1 μL (2 pmol) of each primer, 7 μL RNase-free water, 1 μL cDNA. The amplification was performed in 48 well PCR plates (Mini Opticon™ Real-Time PCR System; Bio-rad Laboratories) with an initial denaturation for 5 minutes at 95℃, followed by 40 cycles of denaturation for 30 seconds at 95℃, annealing for 30 seconds at room temperature, extension for 30 seconds at 72℃, and a final extension for 10 minutes at 72℃. The primer sequences are indicated in Table 1. The real-time PCR data were analyzed with LightCycler software (Bio-rad Laboratories) and then determined using the 2−ΔΔCt method described by Livak & Schmittgen. The relative amounts of mRNA were normalized by β-actin and calculated from the Ct values according to the manufacturer’s description. The procedures were sextuplicated for each experiment.

Table 1. Primer Pairs and Annealing Temperature for Real-Time PCR

<table>
<thead>
<tr>
<th>Name</th>
<th>Primer sequence (5'→3')</th>
<th>Annealing</th>
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<tbody>
<tr>
<td>Bcl-2</td>
<td>F:TTGACGGCTCTCCACACATGT</td>
<td>57℃</td>
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<tr>
<td></td>
<td>R:GGTGGAGGAACCTTCAGGGA</td>
<td></td>
</tr>
<tr>
<td>Bax</td>
<td>F:TCGCACATGCGACCTCACT</td>
<td>55℃</td>
</tr>
<tr>
<td></td>
<td>R:ATGATGGTTCTGAGCACTCG</td>
<td></td>
</tr>
<tr>
<td>Caspase-3</td>
<td>F:AAATCAAGGGACGCGTCATG</td>
<td>56℃</td>
</tr>
<tr>
<td></td>
<td>R:GGCTGTGCGGGTACGTTCC</td>
<td></td>
</tr>
</tbody>
</table>

Abbreviation: PCR, polymerase chain reaction.

7. Statistics analysis

Data analysis was performed using SPSS version 22 statistical
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  \textbf{Fig. 1.} Western blots of Bcl-2 (A; N, 100±2.2; H, 79.3±1.6; HS, 88.7±1.8; HO, 70.1±1.4; HV, 73.1±1.5; HM, 77.8±1.6), Bax (B; N, 100±4.1; H, 88.1±3.5; HS, 94.4±3.8; HO, 144.7±5.9; HV, 127.8±2.5; HM, 62.2±2.5), and caspase-3 (C; N, 100±3.2; H, 99.9±3.0; HS, 110.0±3.3; HO, 126.0±3.8; HV, 119.5±3.6; HM, 103.0±3.1) in the neonatal hypoxic-ischemic brain injury (in vivo) and the ratio of Bax/Bcl-2 expression (D). Data were shown as means±standard deviation (n=4). The (25,4R)-4-Methylglutamic Acid (SYM 2081) was administered at 10 mg/kg. N, normoxia; H, hypoxia without operation; HS, hypoxia with Sham operation; HO, hypoxia with operation; HV, HO treated with vehicle; HM, HO treated with (25,4R)-4-Methylglutamic Acid (SYM 2081). *P<0.05, statistically significant vs. HO.
analysis package (SPSS Inc, Chicago, IL, USA). Examined data were assessed using the t-test, general lineal model, and one-way analysis of variance for statistical analysis. In each test, the data were expressed as the mean±standard deviation, and $P<0.05$ was accepted as statistically significant.

**Results**

1. **Expressions of Bcl-2, Bax, and caspase-3 antibodies by western blots in neonatal HI brain injury (in vivo)**

To determine whether SYM 2081 reduced apoptosis against a hypoxic injury, western blot was used to differentiate and measure the levels of apoptosis–related proteins. Western blot analysis showed that Bcl-2 antibody decreased significantly in the HO and HV compared to N, H, and HS groups, but increased in the HM compared to HO and HV groups ($P<0.05$) (Fig. 1A). The expressions of Bax and caspase-3 antibodies and the ratio of Bax/Bcl-2 increased significantly in the HO and HV compared to N, H, and HS groups, but decreased in the HM compared to HO and HV groups ($P<0.05$) (Fig. 1B–1D).

2. **Expressions of Bcl-2, Bax, and caspase-3 mRNAs by real-time PCR in neonatal HI brain injury (in vivo)**

Real-time PCR of mRNA levels of Bcl–2, Bax, and caspase–3 was used to estimate the expression of apoptosis–related genes. The expression of Bcl–2 mRNA decreased significantly in the HO and HV compared to the N, H, and HS groups, but increased in the HM compared to HV group ($P<0.05$) (Fig. 2A). The expressions of Bax and caspase–3 mRNA and the ratio of Bax/Bcl–2 increased significantly in the HO and HV compared to N, H, and HS groups, and decreased in the HM compared to HO and HV groups ($P<0.05$) (Fig. 2B–2D). The expression of Bax was more prominent than that of Bcl–2, resulting in a striking difference in the ratio of Bax/Bcl–2 compared with the HO and HM groups in the *in vivo* study.

3. **Expressions of Bcl-2, Bax, and caspase-3 antibodies by western blots in embryonic cortical neuronal cell culture (in vitro)**

Western blot analysis showed that Bcl–2 antibody decreased significantly in the Hc compared to Nc group, but it increased in the HMc compared to Hc group ($P<0.05$) (Fig. 3A, 3B). The expressions of Bax and caspase–3 antibodies and the ratio of
Bax/Bcl–2 showed greater in the Hc than Nc group and lesser in the HMc than Hc group (P<0.05) (Fig. 3A, 3C–3E).

4. Expressions of Bcl–2, Bax, and caspase–3 mRNAs by real-time PCR in embryonic cortical neuronal cell culture (in vitro)

Real-time PCR of mRNA levels of Bcl–2, Bax, and caspase–3 was used to estimate the expression of apoptosis-related genes. The expression of Bcl–2 decreased significantly in the Hc compared to Nc group, but increased in the HMc compared to Hc group (P<0.05) (Fig. 4A). The expressions of Bax and caspase–3 mRNA and the ratio of Bax/Bcl–2 showed higher in the Hc than Nc group and lower in the HMc than Hc group (P<0.05) (Fig. 4B–4D).

Discussion

Cell death during normal brain development occurs to remove unnecessary cells and select appropriate cells for survival and shows various cell death types such as necrosis, apoptosis, and autophagy. Cell death may have a significant role in neuronal injury in various pathological conditions such as neonatal HIE, and their magnitude depend on insult–severity, time, and maturation, and be region–specific. Cell fate is not determined randomly or chaotically; rather cell death is strictly regulated by crosstalk between necrotic, autophagic, and apoptotic pathways.

Although the pathologic features of HIE are predominantly necrosis, expression of apoptosis–related proteins is also increased in HIE. Although apoptosis is also considered the key mechanisms of neuronal damage in neonatal HI animal mo-

![Fig. 3. Western blots (A) of Bcl-2 (B; Nc, 100±2.1; Hc, 91.3±1.98; HMc, 103.5±2.1), Bax (C; Nc, 100±2.1; Hc, 106.1±2.1; HMc, 92.8±1.9), and caspase-3 (D; Nc, 100±3.3; Hc, 123.5±3.7; HMc, 108.6±3.3) in the embryonic cortical neuronal cell culture (in vitro) and the ratio of Bax/Bcl-2 expression (E). Data were shown as means±standard deviation (n=4). The (2S,4R)-4-Methylglutamic Acid (SYM 2081) was administered at 10 μg/mL. Nc, normoxia; Hc, hypoxia; HMc, hypoxia treated with (2S,4R)-4-Methylglutamic Acid (SYM 2081). *P<0.05, statistically significant vs. Hc.]
The pathologic features in HIE still show necrotic cell death. The neonatal brain has a proclivity to apoptosis, but energy failure after HI insult may interrupt apoptotic processes, resulting in necrosis-like pathology. Thus, agents that inhibit apoptosis in injury with mainly necrotic pathology could show neuroprotective effect. Indeed each cell death is closely regulated by cross-talk, and depends on species, cell type, involved brain lesion, degree of immaturity, severity, energy failure, and gender. Moreover, genetic expression that normally confers apoptosis inhibition and neuroprotection, at times can result in apoptosis exacerbation, depending on the degree of cellular energy failure; a severe cellular energy failure can result in greater necrosis or autophagy, albeit with an inhibition of apoptosis and the timing of that inhibition, over the course of the injury. The use of agents that inhibit apoptosis during severe cellular energy failure, may instead exacerbate the injury. Nevertheless, various antiapoptotic agents have been tried for neuroprotection because apoptosis is considered as one of the important pathogenic mechanisms involved in neonatal HIE injury.

SYM 2081 is known to be the most potent methylglutamate analog and has a methyl group located at position 4. This methyl group position is associated with KAR affinity. SYM 2081 is known to react with KAR with high potency and to exhibit rapid desensitizing responses. However, at low concentrations, it induced desensitization, which reduces the agonist-evoked response, stabilizing the membrane and reducing depolarization. Based on another study, a parenteral dose of 200 mg/kg SYM 2081 resulted ~6 μM steady-state brain concentration; the lower dose concentration used in this study of 10 mg/kg may function to induce desensitization. Therefore, these might lead to reducing glutamate–induced excitotoxicity, and NMDA and AMPA receptor overactivation by
calcium current, thereby changing predominant cell death type and leading to reduced neuronal damage.\textsuperscript{31}

As mentioned previously, presynaptic KAR regulates glutamate release.\textsuperscript{35} Since GluK1 is a pharmacologically dominant subtype in heteromeric KARs, presynaptic GluK1 inhibition by SYM 2081 may reduce the release of glutamate by desensitization.\textsuperscript{35} An increase in intracellular calcium should be accompanied by glutamate release facilitated by KAR.\textsuperscript{35} However, reduction of calcium influx through desensitization can also decrease glutamate release. This may also affect calcium–dependent nitric oxide synthase activation resulting in reducing cellular damage. It is presumed that inhibition of KAR with SYM 2081 may reduce depolarization, stabilize the membrane, reduce excitotoxicity by glutamate receptor overactivation and reduce presynaptic glutamate release, leading to neuroprotection. Moreover, KAR regulates glutamate release and affects the intracellular calcium level which can activate caspase–3. Therefore, SYM 2081 may affect caspase–3 expression though modulating KAR.

The expression of apoptosis–regulating proteins such as Bax and caspase–3 was increased and Bcl–2/Bax ratio was decreased as the intensity of apoptosis progresses.\textsuperscript{25,37} Indeed, Bcl proteins and caspases are crucial proteins involved in apoptotic cell pathways and are useful marker for assessing apoptosis.\textsuperscript{37} In this study, proapoptotic markers such as caspase, BAX, and the Bax/Bcl–2 ratio increased after hypoxia exposure following LCAA ligation, consistent with other studies.\textsuperscript{6}

Notably, changes in expression of Bax in the \textit{in vivo} study are worthy of notice. Bax is a member of the Bcl family that increases cytochrome C in response to apoptotic stimuli leading to apoptosis.\textsuperscript{8} The expression of Bax can increase after HIE, and its inhibition could lead to neuroprotection effect.\textsuperscript{28} The expression of Bax changes to a subcellular distribution without little change in Bcl–2 level after insult.\textsuperscript{29} In this study, the changes in Bax expression were much more prominent than of Bcl–2 in the HO group, resulting in greater changes in Bax/Bcl–2 ratio. Furthermore, with SYM 2081 treatment, the Bax expression was much more suppressed than the normoxia level, showing the pro– and anti–apoptotic balance directed toward inhibiting apoptosis. Thus, SYM 2081 could inhibit apoptosis and ameliorate neuronal injury through Bax inhibition while also increasing Bcl–2 and reducing caspase–3 expression.\textsuperscript{40} In previous our work,\textsuperscript{18} we found that SYM 2081 reduced the volume loss after HI injury and this study showed that SYM 2081 also have neuroprotective effects through modulating apoptosis process.

The limitation of this study is results are not applicable to clinical practice because the study design didn’t involve pre–treating subjects before a HI injury because of the practically impossible nature of carrying out such an experiment. It is unclear whether SYM 2081 directly affects apoptotic signaling pathways or indirectly through inhibition of excitotoxicity or mitigation of other energy failures with KAR inhibition. However, SYM 2081 may at least shift the pro– and anti–apoptotic balance toward anti–apoptosis, leading to neuroprotection. Overall, these results suggest that KAR inhibition by SYM 2081 can affect neuroprotection by an anti–apoptotic signaling pathway notably by Bax inhibition. The inhibition of KAR by SYM 2081 may prevent cell death continuum from necrosis toward apoptosis and ameliorate cell damage by modulating apoptotic signaling pathways.

**Acknowledgements**

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