



## Feasibility and Applicability of Wireless Handheld Ultrasound Measurement of Carotid Intima-Media Thickness in Patients with Cardiac Symptoms

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**Purpose:** Routine screening for carotid intima-media thickness (CIMT) and cardiovascular (CV) disease in asymptomatic patients has been criticized for the high costs and large number of patients required for detecting one patient with coronary artery disease (CAD). In order to overcome the low cost-effectiveness thereof, we investigated the feasibility of an economic wireless handheld ultrasound (WHUS) device for CIMT measurement in symptomatic patients.

**Materials and Methods:** A total of 100 consecutive patients with cardiac symptoms were enrolled. CIMT was measured in all patients. Coronary angiography was performed in 75 patients indicated for the exam.

**Results:** The mean of maximal CIMT measured from left/right common carotid artery and bulb (max-CIMT) by the WHUS device showed excellent agreement [intraclass correlation coefficient (ICC)=0.960] with a standard ultrasound device and great interobserver repeatability (ICC>0.9 between all observers). Receiver operating characteristic curve analysis showed that the predictive power for CAD was improved when max-CIMT and plaque information (plaque $\geq$ 2) was added [area under the curve (AUC): 0.838] to the traditional clinical CV risk factors (AUC: 0.769). The cutoff values for CAD prediction with the standard device and the WHUS device were 1.05 mm (AUC: 0.807, sensitivity: 0.78, specificity: 0.53) and 1.10 mm (AUC: 0.725, sensitivity: 0.98, specificity: 0.27), respectively.

**Conclusion:** max-CIMT measured by a WHUS device showed excellent agreement and repeatability, compared with standard ultrasound. Combined max-CIMT and plaque information added predictive power to the traditional clinical CV risk factors in detecting high-risk CAD patients.

**Key Words:** Carotid intima-media thickness, coronary artery disease, wireless technology, ultrasonography

### INTRODUCTION

Increased carotid intima-media thickness (CIMT) in asymptomatic patients is known for its association with elevated cardiovascular (CV) and cerebrovascular disease risk.<sup>1-6</sup> Recently, the cost-effectiveness of routine screening of general asymptomatic individuals has been questioned due to its low health benefits and inconclusive results from meta-analyses.<sup>7-12</sup> The reason behind the low-cost-effectiveness of routine CIMT screening is the high cost of CIMT measurements using standard console ultrasound devices and the large number of asymptomatic patients required for screening one patient with coronary artery disease (CAD). As a strategy to resolve such problems, we undertook a study using an economical device to lower costs and evaluated CIMT in a symptomatic population of patients visiting the outpatient department to identify the number of screened patients needed to detect CAD. A wire-

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less handheld ultrasound (WHUS) device was used in the study to reduce the cumbersome of either sending the patient to the ultrasound department or bringing the bulky standard console ultrasound device into the outpatient office and to further extend the use of the device not only in symptomatic patients visiting the clinic but also outside the clinic, such as the emergency department. The WHUS used in this study is currently approved by the US, Korea, and China Food and Drug Administration, is officially supplied for humanitarian aid activities by the United Nations Office for Project Services, and has also been validated for its utility in routine clinical practice.<sup>13-16</sup> Herein, we investigated the feasibility of WHUS in CIMT measurement, compared with standard console ultrasound, and whether such data could be translated into outpatient clinic settings for predicting the presence of CAD in symptomatic patients.

## MATERIALS AND METHODS

### Study sample

A total of 100 consecutive Korean patients with cardiac symptoms were enrolled through the outpatient Department of Cardiology at Gachon University Gil Medical Center between January 1, 2017 and December 31, 2017. This study conformed to the ethical guidelines of the 1975 Declaration of Helsinki as reflected in its priori approval by the Institutional Review Board of Gachon University Gil Hospital (IRB No. GDIRB2017-030). Informed consent was obtained from all enrolled patients. Inclusion criteria were as follows: 1) age  $\geq 18$  years and 2) presence of anginal symptoms or dyspnea regardless of the history of underlying CAD. Exclusion criteria were as follows: 1) debilitating underlying comorbidities, such as cancer, end stage heart failure, and aortic dissection, and 2) structural deformities in the neck that hampered ultrasonographic access to the carotid arteries. All enrolled patients underwent carotid ultrasound scans by both the WHUS (Fig. 1A and B) and a standard device (Fig. 1C). Detailed information regarding enrollment is shown in Fig. 1D.

### Ultrasonographic assessment of CIMT

All 100 patients underwent carotid scans by a standard ultrasonographic device (Alpha 7, Aloka, Tokyo, Japan) with a 12-mHz linear probe (UST-5412, Aloka) and the WHUS (SONON 300L, Heacerion, Seoul, Korea) device equipped with a built-in 10-mHz linear probe. The left and right longitudinal projection of the common carotid artery (CCA), the carotid bulb, and the internal carotid artery were observed where the site of the greatest thickness (excluding the plaque lesion) was measured bilaterally along both the near and far walls. The mean value of maximal CIMT measured from four sites (left/right CCA and bulb) was defined as max-CIMT<sup>17</sup> and was investigated for its association with CAD. Carotid plaque was defined as a focal region either with more than 50% or 0.5-mm thickening of the

CIMT, compared with the surrounding tissue. The CIMT of the CCA was measured 1 cm proximal to the bifurcation, whereas the CIMT of the bulb was examined 1 cm distal to the bifurcation. One highly trained and experienced sonographer performed all of the exams for both the standard and the WHUS device. The acquired images were then measured once manually through a standard computer monitor (SyncMaster 2333, Samsung, Seoul, Korea). A total of three observers were allocated for interdevice and interobserver variability. As shown in Fig. 1D, one observer was designated for interdevice variability from all 100 patients (observer A), while the two other independent observers (observers B and C) measured max-CIMT for the assigned 26 patient images and 7 patient images, respectively, that were attained from the WHUS device to assess interobserver variability. All observers were experienced sonographers and were unaware of each patient's clinical information or status.

### Coronary angiography

For subgroup analysis, 75 patients with stable angina with a positive stress test, acute coronary syndrome (ACS), or indication for coronary evaluation during heart failure workup underwent coronary angiography (CAG). CAG was performed using standard Judkins or Amplatz diagnostic catheters. Angiographic CAD was defined as moderate (50–70%) stenosis or severe ( $\geq 70\%$ ) CAD or a vessel with a previous stent. Minimal atherosclerosis was defined as  $< 50\%$  stenosis of the coronary arteries.

### Statistical analysis

Data analysis was performed using IBM SPSS Statistics for Windows (Version 23.0, IBM Corp., Armonk, NY, USA). Continuous, normally distributed data are expressed as a mean  $\pm$  SD. A paired sample t-test was used to compare differences in max-CIMT values between the standard device and the WHUS device. Categorical values are expressed as percentages. The difference between the WHUS and the standard device was defined as max-CIMT of WHUS subtracted by the max-CIMT of the standard device. The Bland-Altman plot was used to assess interdevice agreement and interobserver repeatability. Linear logistic regression was utilized to determine proportional bias between the two devices. Interdevice repeatability and interobserver variability were examined by Pearson's correlation and intraclass correlation coefficient (ICC). The correlation coefficient of Pearson's correlation was denoted as R. Student's one-way ANOVA test was applied to compare intergroup differences in CIMT values in patients with different numbers of pathologic coronary arteries. Predictive power for clinical factors with or without max-CIMT or plaque information was tested by the receiver operating characteristic (ROC) curves. The optimal cut-off value for max-CIMT in predicting CAD was also examined using the ROC curves.

## RESULTS

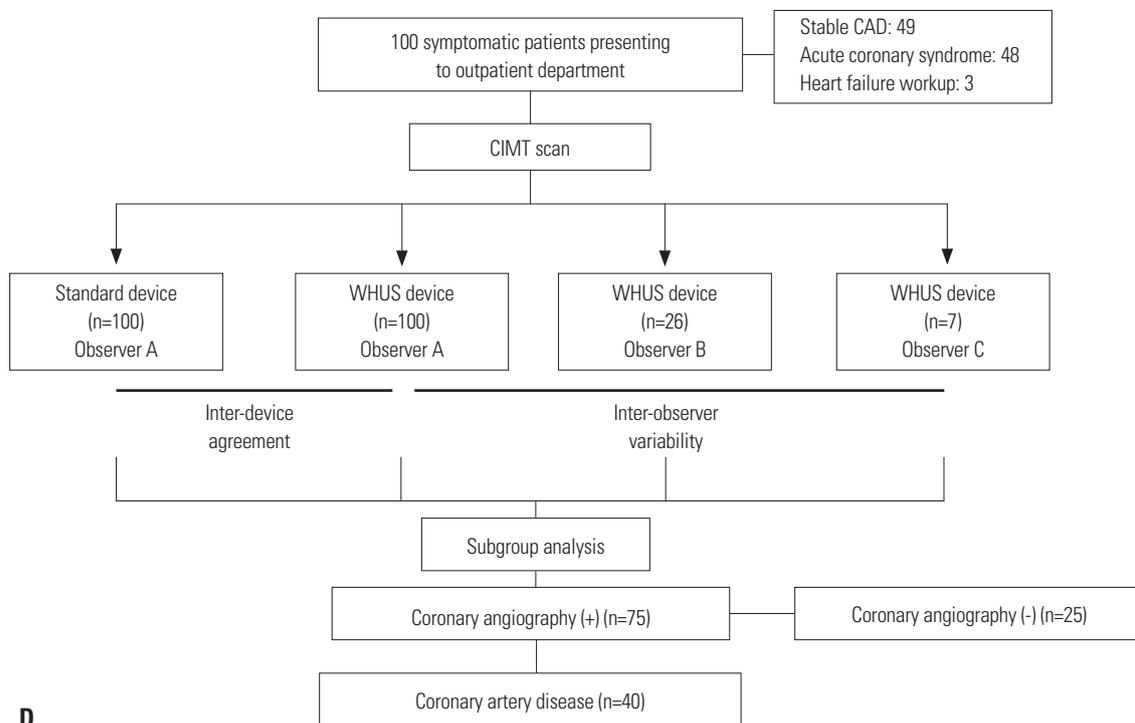
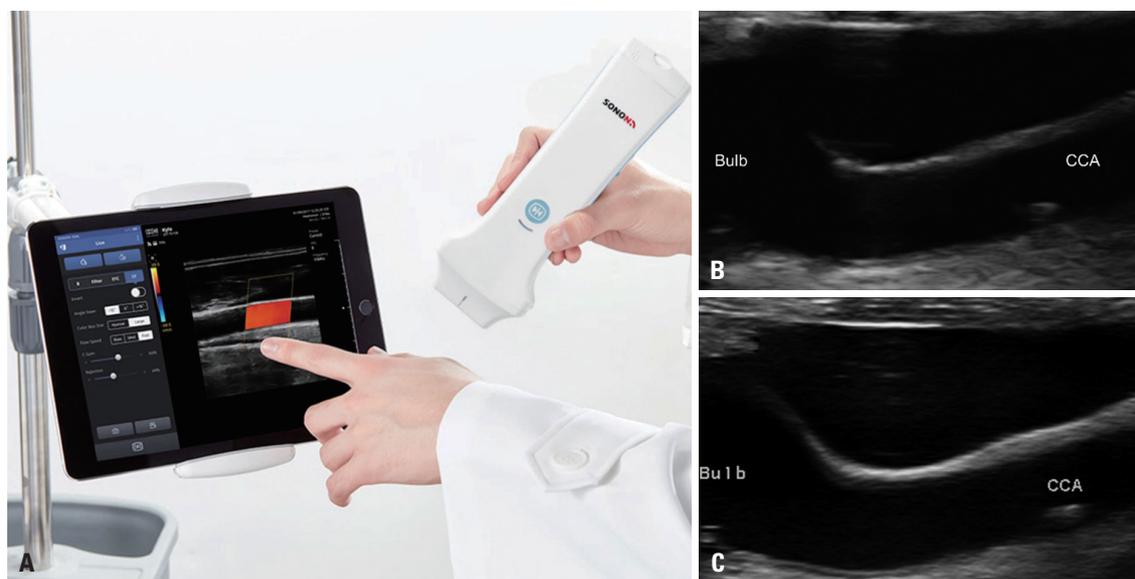
### Baseline characteristics

A total of 100 patients with cardiac symptoms, such as chest pain, dyspnea, palpitation, and syncope, were enrolled. As shown in Table 1, the mean age of the total patient population was  $65 \pm 15$  years. Men comprised 66% of the study population. The prevalences of hypertension, diabetes, and dyslipidemia were 59, 30, and 31%, respectively. Of all patients, 75 were in-

dicated for CAG (Table 1).

### Agreement between the standard device and WHUS device

The comparison between the standard device and the WHUS device is shown in Table 2. The max-CIMT of the standard device ranged from 0.70 mm to 2.80 mm, with a mean value of  $1.447 \pm 0.443$  mm. The max-CIMT of the WHUS ranged from 0.72 mm to 2.50 mm, and the mean value was  $1.289 \pm 0.393$  mm.



**Fig. 1.** Images of the WHUS device, carotid scans from both devices, and details on patient enrollment. (A) The WHUS device can be connected to cell-phones or tablets through its built-in Wi-Fi feature from virtually anywhere. The carotid bulb and CCA of the same patient acquired by the (B) WHUS and (C) standard device. (D) The carotid intima-media thickness of a total of 100 patients with cardiac symptoms was evaluated both by the standard and WHUS devices. WHUS, wireless handheld ultrasound; CIMT, carotid intima-media thickness; CAD, coronary artery disease; CCA, common carotid artery.

**Table 1.** Baseline Characteristics of Patients with or without CAD

	Total (n=100)	Patients who had CAG (n=75)	CAD(+) (n=40)	CAD(-) (n=34)	p
Age (yr)	65±15	65±13	70.6±12.3	60.9±12.0	0.001
Men, n (%)	66	49 (65)	28 (68)	21 (64)	0.805
Hypertension, n (%)	59	43 (57)	25 (61)	17 (52)	0.483
Diabetes mellitus, n (%)	30	22 (29)	13 (32)	9 (27)	0.800
Dyslipidemia, n (%)	31	24 (32)	13 (32)	10 (30)	1.000
Smoking, n (%)	38	31 (41)	18 (44)	13 (39)	0.814
Diagnosis, n (%)					0.158
Stable angina	49	24 (32)	9 (23)	15 (43)	
Acute coronary syndrome	48	48 (64)	30 (75)	18 (51)	
Heart failure	3	3 (4.0)	2 (5)	1 (3)	
Number of diseased vessels, n (%)	40	40 (53)	40 (100)	-	<0.001
1 VD	10	10 (13)	10 (25)	-	
2 VD	14	14 (19)	14 (35)	-	
3 VD	16	16 (21)	16 (40)	-	

CAG, coronary angiography; CAD, coronary artery disease; VD, vessel disease.

**Table 2.** Measured CIMT from Three Observers and the Standard Device

	Sample size (n)	max-CIMT (mm)	CCA CIMT (mm)	Bulb CIMT (mm)	Number of plaques
WHUS observer A	100	1.289±0.393	1.036±0.344	1.542±0.527	0.9±1.3
WHUS observer B	26	1.378±0.502	-	-	-
WHUS observer C	7	1.940±0.603	-	-	-
Standard (reference)	100	1.447±0.443	1.289±0.393	1.289±0.393	1.1±1.4

CIMT, carotid intima-medial thickness; max-CIMT, mean of the maximal values of carotid intima-medial thickness of a patient; WHUS, wireless handheld ultrasound; CCA, common carotid artery.

The absolute difference between two devices was  $-0.158\pm 0.228$  mm ( $p<0.05$ ) by the paired sample t-test, although Pearson’s correlation coefficient and ICC were both above 0.9, suggestive of excellent interdevice correlation and agreement (Table 3, Fig. 2A).

**Repeatability of the WHUS device**

Data regarding interobserver variability of the WHUS is shown in Table 3. The max-CIMT between three observers were comparable, and the ICC for the interobserver variability was over 0.9, suggesting excellent agreement between observers (Table 3). In the Bland-Altman plot, the red middle line represents the mean value ( $m=-0.158$  mm) of the difference between two devices, whereas the two blue lines indicates the  $1.96\times SD$  above and below the mean value (Fig. 2B). A linear equation ( $y=-2.43E^{-3}-0.1*x$ ) that was derived from linear logistic regression, shown as a green line, suggested that the differences between two devices were negatively proportional ( $p=0.019$ ) to the average max-CIMT values between two devices: the discrepancy between two devices was bigger with decreasing max-CIMT (Fig. 2B).

**CIMT and number of diseased coronary arteries**

The max-CIMT of patients with different numbers of diseased coronary arteries is shown in Supplementary Table 1 (only on-

line) and Fig. 3. A significantly increasing trend of max-CIMT with increasing number of diseased coronary arteries was observed ( $p=0.004$ ).

**Predictive power for CAD using ROC curves**

As a subgroup analysis, patients who underwent CAG were evaluated to analyze the predictive power of WHUS-measured max-CIMT. The baseline characteristics between CAD (+) and CAD (-) groups are presented in Table 1. Except for age, baseline characteristics between the CAD (+) and CAD (-) groups were comparable.

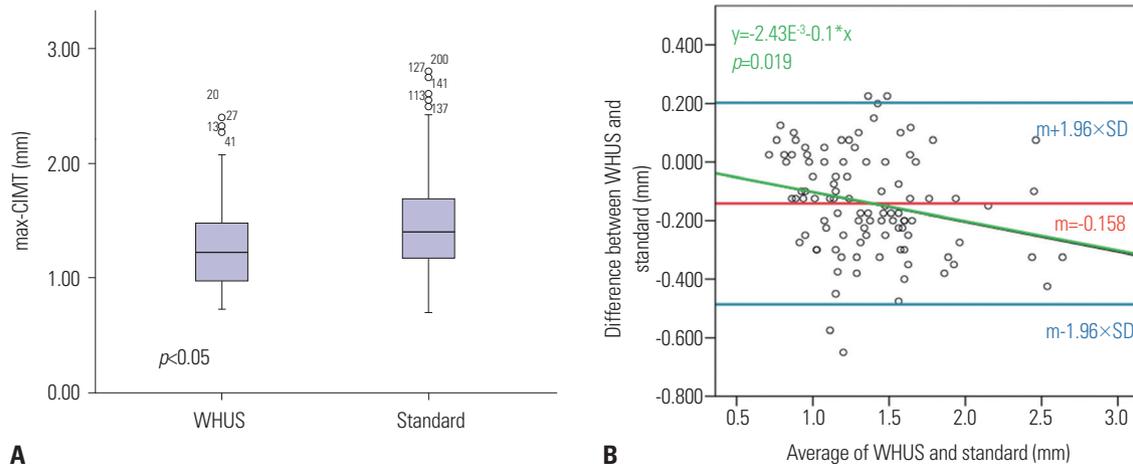
ROC curves constructed using conventional clinical risk factors (age, hypertension, diabetes, smoking, and dyslipidemia) with or without max-CIMT and carotid plaque information are shown in Fig. 4A. The area under the curve (AUC) for the clinical risk factors only was 0.769. When CIMT information (max-CIMT and plaque  $\geq 2$ ) was added to clinical factors, the AUC was increased to 0.838 and 0.867 for WHUS and the standard device, respectively.

The AUC and cutoff value of max-CIMT for predicting CAD are presented in Fig. 4B. The AUC values of the standard device and the WHUS device were 0.807 and 0.725, respectively, while the cutoff values were 1.05 and 1.10 mm, respectively. The WHUS device had a higher sensitivity (0.976 to 0.781) and lower specificity (0.265 to 0.781) than the standard device (Table 4). The es-

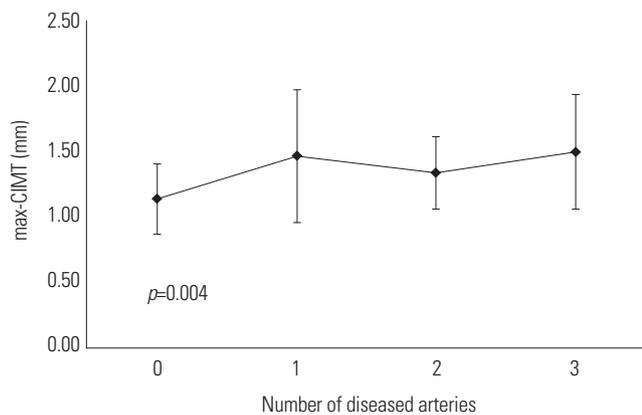
**Table 3.** Absolute Difference and ICC between the WHUS and the Standard Device

	Sample size (n)	Absolute difference (mm)	$p_{abs}$	R	$p_R$	ICC
WHUS vs. Standard (reference)	100	-0.158±0.228	<0.050	0.858	<0.001	0.960
Observer A vs. observer B	26	0.024±0.078	0.109	0.991	<0.001	0.988
Observer A vs. observer C	7	0.028±0.116	0.406	0.777	0.005	0.998
Observer B vs. observer C	7	-0.009±0.035	0.445	0.760	0.007	0.978

WHUS, wireless handheld ultrasound; ICC, intraclass correlation coefficient;  $p_{abs}$ ,  $p$  value of absolute difference;  $p_R$ ,  $p$  value of correlation coefficient R.



**Fig. 2.** Interdevice agreement and proportional bias. (A) A plot showing the CIMT of the WHUS and the standard device. (B) A Bland-Altman plot of the relationship between the average of WHUS and standard device and differences between the two devices. m, mean of differences between the WHUS and standard device; max-CIMT, mean of the maximal values of carotid intima-media thickness of a patient; WHUS, wireless handheld ultrasound.



**Fig. 3.** Relationship between maximal carotid intima-media thickness (max-CIMT) and the number of each patient's diseased coronary vessels.

timated numbers of patients with CAD using the cutoff values were 63 and 60 for the WHUS and standard device, respectively (Table 4). Both devices were able to detect 39 (97.5%) out of the 40 patients who had angiographic CAD.

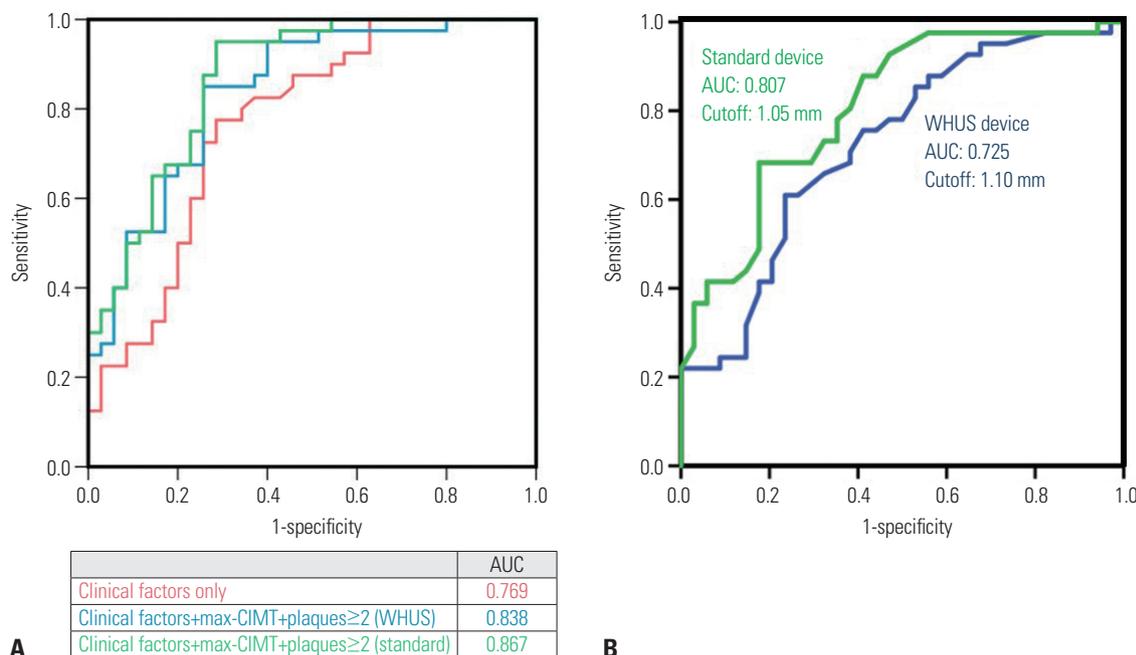
## DISCUSSION

In this study, we demonstrated that WHUS-measured CIMT values have great repeatability and agreement with a standard ultrasound device. The WHUS-measured CIMT information

also improved predictive power when added to the traditional CV risk factors in detecting CAD, compared with clinical CV risk factors alone. To our knowledge, this is the first study to investigate the feasibility and clinical applicability of WHUS-measured CIMT for point-of-care in symptomatic patients presenting to the clinic.

Our data showed that WHUS-measured CIMT results are reproducible. The ICCs between three observers, which is a barometer of combined agreement and correlation, were all over 0.9, suggestive of excellent interobserver variability. This indicates that WHUS-generated data may be consistent between different users and therefore may be a legitimate diagnostic tool. The gap observed between the conventional and the WHUS device (Table 3, Fig. 2A) may be explained by the relatively blurry image quality of the WHUS in smaller CIMT values (Fig. 1B), compared with the standard device (Fig. 1C). The negative slope (-2.43) of the linear regression for proportional bias suggested that the gap between two devices is wider with smaller CIMT (Fig. 2B).

Previous studies regarding the relationship between CIMT or carotid artery stenosis and CV outcomes have generally investigated asymptomatic patients with or without traditional CV risk factors.<sup>5-10,18</sup> Moreover, CIMT evaluation for CV screening in such large asymptomatic populations requires many patients for the purpose of preventing a single CV event. The high prices of the conventional ultrasound devices (approximately 150000 USD)<sup>19</sup> may also lead to high CIMT test costs (330



**Fig. 4.** ROC curves for predicting high-risk CAD patients. (A) A plot showing the predictive power of traditional clinical risk factors alone (red), mean maximal CIMT measured from left/right CCA and bulb (max-CIMT), and carotid plaques  $\geq$ 2, in addition to clinical risk factors, using the WHUS and the standard device, shown in blue and green, respectively. (B) The cutoff max-CIMT values and in predicting high-risk CAD patients using the standard (1.05 mm) and WHUS (1.10 mm) device. ROC, receiver operating characteristic; AUC, area under the curve; CAD, coronary artery disease; WHUS, wireless hand-held ultrasound.

**Table 4.** Diagnostic Properties Regarding the WHUS and Standard Devices

	AUC	Cutoff value (mm)	Sensitivity	Specificity	PPV	NPV	Estimated number of CAD patients using cutoff	Number of true CAD
max-CIMT of standard device	0.807	1.05	0.78	0.53	0.98	0.29	60	39 (97.5%)
max-CIMT of WHUS	0.725	1.10	0.98	0.27	0.78	0.53	63	39 (97.5%)

max-CIMT, mean of maximal value of carotid intima-medial thickness of a patient; AUC, area under the curve; PPV, positive predictive value; NPV, negative predictive value; WHUS, wireless handheld ultrasound; CAD, coronary artery disease.

USD).<sup>11</sup> Most governments or insurance companies worldwide, including the US and Korea, do not reimburse for CIMT: the state of Texas was the only state in the US that covered CIMT for CV screening in 2009.<sup>20</sup> Major clinical guidelines also no longer recommend routine CIMT screening for similar reasons.<sup>8,12</sup> Accordingly, using a readily accessible economical WHUS device (8000 USD), which costs approximately 5% of the standard device, may dramatically lower the expenses for each CIMT exam. Evaluating symptomatic populations in the outpatient clinic may also reduce the number of patients required to detect a single CV event. Reducing the device cost and focusing on symptomatic populations may collectively improve cost-effectiveness.

The WHUS device may also improve timely decision-making process adding to clinical information gathered by patient examination or history taking. For physicians, determining whether a patient is at high-risk for CAD can be challenging in an outpatient clinical setting. Our data show that WHUS-measured max-CIMT and plaque information (number of plaques  $\geq$ 2) may improve the precision of detecting CAD patients. As

both devices detected 39 patients (97.5%) out of 40 patients with CAD, there was no underestimation by the WHUS device, although the underestimation may have been masked by the small sample size. The high sensitivity and negative predictive value of the WHUS-generated max-CIMT may help physicians to effectively rule out patients at low risk of CAD. With its additive predictability and high sensitivity, physicians may effectively focus their diagnostic process on higher risk patients.

These promising features, however, are only useful when the ultrasound can be readily accessible. Conventional ultrasound devices may not meet such necessities in the clinic, as they are usually heavy and bulky: it may be cumbersome to bring a conventional ultrasound console in and out of the clinic every time a patient with cardiac symptoms present or to send the patient to the ultrasound department. Additionally, the current WHUS device can be connected to cellphones or tablets through its built-in Wi-Fi feature, which enables physicians to evaluate CIMT of patients from virtually anywhere. Such accessibility may improve point-of-care quality for cardiologists, general practitioners, or emergency physicians not only in clinics but

in triaging systems in underprivileged regions throughout the world.

The significant proportional bias between the two devices, especially in the lower values, may indicate that the WHUS device may not be able to accurately depict lower CIMT values due to lower resolution. Future efforts for fine-tuning image quality may improve the proportional bias and eventually close the gap between conventional ultrasound devices. Additionally, the small sample size ( $n=75$ ) for CAD prediction may be a limitation of our study. The non-association between the presence of carotid plaque and CAD risk is inconsistent with previous findings, which may have been caused by the small sample size. Further investigation using a larger sample size in a more homogeneous patient population, such as patients with ACS, for identifying the association between WHUS-measured CIMT and coronary artery plaque burden using intravascular ultrasound is warranted to add precision to the device.

The current study showed that the novel WHUS device has excellent agreement with the standard ultrasound device and offers repeatability among observers. Additionally, CIMT information combined with clinical CV risk factors improved predictability for detecting high-risk patients for CAD, compared with the CV clinical risk factors alone.

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## AUTHOR CONTRIBUTIONS

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Chung. **Methodology:** Wook-Jin Chung. **Project administration:** Wook-Jin Chung. **Resources:** Wook-Jin Chung. **Software:** Wook-Jin Chung. **Supervision:** Wook-Jin Chung. **Validation:** Albert Youngwoo Jang and Wook-Jin Chung. **Visualization:** Albert Youngwoo Jang and Wook-Jin Chung. **Writing—original draft:** Albert Youngwoo Jang and Wook-Jin Chung. **Writing—review & editing:** Albert Youngwoo Jang and Wook-Jin Chung. **Approval of final manuscript:** All authors.

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