



Snoring Sound Intensity and Formant Frequencies by Sleep Position in Patients with Positional Obstructive Sleep Apnea

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체위성 수면 무호흡증 환자에서 수면 자세에 따른 코골이 소리 및 포먼트 주파수의 변화

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Background and Objectives Snoring is the most common symptom of obstructive sleep apnea (OSA) and is caused by turbulent airflow due to narrowing of the upper airways. In patients with positional OSA, a change in sleep posture from supine to lateral is known to reduce snoring and sleep apnea. This study was performed to compare changes in snoring sound intensity and formant frequencies according to sleep position.

Subjects and Method A total of 19 patients (male: 18; female: 1) diagnosed with positional OSA by polysomnography (PSG) were enrolled in this study. The snoring sounds recorded during PSG were analyzed acoustically and compared according to sleep position (i.e., supine vs. lateral).

Results Snoring disappeared on changing sleep position in five patients, all of whom had Apnea-Hypopnea Index (AHI) <15. In other patients, the snoring sounds tended to decrease with posture change, and the degree of decrease was inversely proportional to AHI ($p=0.015$) and respiratory disturbance index (RDI) ($p=0.013$). Formant frequencies 1, 3, and 4 (F_1 , F_3 , and F_4 , respectively) decreased when sleeping in the lateral position ($p=0.02$, 0.03 , and 0.01 , respectively).

Conclusion In patients with positional OSA, a change in sleep posture from supine to lateral during sleep reduced the intensity and frequency of snoring sound.

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Key Words Formant frequency · Obstructive sleep apnea · Polysomnography · Snoring.

Introduction

Sleep disordered breathing (SDB), a chronic disease in which breathing partially or completely ceases during sleep, has a

worldwide prevalence of 4–7%. Obstructive sleep apnea (OSA), the most common form of SDB, is characterized by symptomatic and repetitive partial or complete collapse of the airway.^{1,2} This instability arises from the structural vulnerability of the upper airway and loss of muscle tone during sleep.³ Recently, OSA has been recognized as an important public health problem, being associated with an increased risk of cancer, athero-

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sclerosis, cardiovascular disease, stroke, hypertension, and mental health problems such as dementia and cognitive abnormalities.⁴⁻⁹⁾ In OSA patients, sleep position affects the frequency of obstructive respiratory events.¹⁰⁾ In the supine position, OSA is exacerbated in terms of apnea frequency, duration, and desaturation, as well as the duration of arousals. Positional dependency is defined as a supine apnea-hypopnea index (AHI) at least twice that of a nonsupine AHI, and is evident in 50–70% of OSA patients.³⁾

Snoring, the most common symptom of OSA, is caused by turbulent airflow through narrowed upper airways.¹⁾ Nakano, et al.¹¹⁾ showed that snoring intensity and duration decreased when OSA patients changed their sleep posture from supine to lateral. However, this was observed only in patients with AHIs less than 15. Confirmation of snoring changes in positional OSA patients is required. In this study, only patients with positional OSA were included. We aimed to identify correlations of changes in snoring sounds when patients changed their sleep position (from supine to lateral) with the AHI and other parameters. We also measured the formant frequency of snoring sounds by sleep position.

Subjects and Methods

Patient medical histories were obtained and physical examinations were performed prior to overnight polysomnography (PSG). During PSG, snoring sounds were recorded for subsequent analysis.

Patients complaining of snoring and OSA, who underwent physical examination and overnight PSG in our sleep clinic from July 2017 to May 2018, were enrolled in this study. We also calculated the body mass index (BMI) and examined the nasal and oral cavities for anatomical variations that might affect airflow. Patients who had undergone previous airway surgery, had central sleep apnea, or exhibited muscular or joint injuries in the head-and-neck region were excluded. We en-

rolled 18 males and 1 female diagnosed with positional OSA on overnight PSG (Table 1). The research protocol was reviewed and approved by our Institutional Review Board (IRB approval number 2018-11).

PSG and recording of snoring

Overnight PSG (EMBLA Embletta MPR-PG; Natus Medical, Pleasanton, CA, USA) was performed for each patient by the same PSG specialist. Electroencephalography, bilateral electro-oculography, and submental electromyography were used to determine sleep stage. Oronasal airflow was monitored by a thermistor. Thoracoabdominal respiratory effort was measured using a respiratory sensor placed over the rib cage and abdomen. Oxyhemoglobin saturation was recorded using a finger pulse oximeter. Body position during sleep, recorded by a sensor, was classified into five categories: supine, right and left lateral, prone, or upright. All data were recorded on a personal computer.

Recording of snoring and acoustic analysis

Once PSG had commenced, we recorded all snoring sounds and body positions. A microphone was suspended 1.5 m above the bed. We recorded three snoring sounds immediately after apnea, which were analyzed phonetically and averaged. The sounds were digitized and edited according to sleep stage [non-rapid eye movement (NREM) vs. rapid eye movement (REM)] and sleep position (supine vs. both lateral positions). The sounds were analyzed using Praat software (ver. 5.2.16; <http://www.praat.org>). The sampling rate was converted to 44100 Hz. We measured differences in sound intensity (dB), spectrographs, and formant frequencies between patients in the supine and both lateral positions.

Statistical analysis

We used a linear regression model and the paired t-test to assess correlations among changes in snoring intensity, the AHI, and formant frequencies. All tests were performed using SPSS software (ver. 25.0; IBM Corp., Armonk, NY, USA). A *p*-value < 0.05 was considered to indicate statistical significance.

Results

Snoring intensity

Table 2 shows the snoring intensity by sleep position and other parameters. We found no significant correlation of snoring intensity with the AHI, respiratory disturbance index (RDI),

Table 1. Demographic characteristics of the patients (n=19; 18 males and 1 female)

Characteristics	Data
Age (years)	49.9 ± 10.8
Body mass index (kg/m ²)	25.6 ± 2.5
AHI (events/h)	30.9 ± 16.4
AHI in supine position (events/h)	46.3 ± 19.8
AHI in lateral position (events/h)	10.57 ± 6.5
Respiratory disturbance index (events/h)	32.4 ± 16.1

Data are mean ± standard deviation. AHI: apnea-hypopnea index

Table 2. Body and sleep parameters

No.	BMI (kg/m ²)	RDI (events/h)	AHI (events/h)	Sup. AHI (events/h)	Lat. AHI (events/h)	Sup. snoring (dB)	Lat. snoring (dB)	ΔSnoring intensity (dB)
1	22.9	12.2	10.1	14.7	4.5	71.2	0	71.2
2	25.9	12.6	12.4	19.4	8.0	78.9	0	78.9
3	24.3	15.5	14.1	26.0	6.0	76.0	0	76.0
4	27.3	15.5	14.1	24.5	2.0	56.4	0	56.4
5	24.8	17.0	14.9	19.3	3.7	78.8	0	78.8
6	23.4	18.4	17.8	29.5	3.0	73.0	62.9	10.1
7	25.7	19.9	18.9	45.2	8.0	59.5	40.1	19.4
8	22.7	29.8	28.2	42.7	7.5	71.5	67.2	4.3
9	25.1	31.9	30.7	42.5	20.4	73.1	66.5	6.6
10	25.3	32.4	27.3	45.2	12.5	67.3	61.7	5.6
11	23.7	35.2	34.2	58.6	9.8	74.2	67.1	7.1
12	24.9	35.8	35.1	51.1	5.5	57.0	53.7	3.3
13	29.4	36.3	35.7	78.7	17.5	54.5	53.0	1.5
14	32.7	37.2	32.5	61.2	14.4	75.4	60.5	14.9
15	23.7	44.2	41.5	60.2	7.4	71.3	80.1	-8.8
16	23.9	43.2	43.2	56.0	12.7	70.1	80.4	-10.3
17	25.5	50.0	50.0	56.8	14.0	67.9	67.8	0.1
18	26.8	56.7	56.3	68.3	18.2	74.1	78.4	-4.3
19	28.7	71.0	71.0	79.5	25.7	79.1	75.3	3.8

BMI: body mass index, RDI: respiratory disturbance index, AHI: apnea-hypopnea index, Sup.: supine position, Lat.: lateral position, ΔSnoring intensity: change in snoring intensity from the supine to the lateral position

Table 3. Correlations between changes in snoring intensity and changes in sleep position

	Parameter	β	p-value
ΔSnoring intensity (dB)	RDI	-0.719	0.013
	AHI	-0.699	0.015

Statistical significance: *p* < 0.05. ΔSnoring intensity: change in snoring intensity from the supine to the lateral position, RDI: respiratory disturbance index, AHI: apnea-hypopnea index, β: correlation coefficient

BMI, or neck circumference. Next, we assessed changes in snoring according to a change in sleep position, from supine to lateral. In general, the snoring intensity decreased when the sleep position changed from supine to lateral. Five patients whose snoring completely disappeared were excluded from the analysis. We found significant negative correlations of the AHI and RDI with difference of snoring sound intensity when sleep position changed from supine to lateral position. Lower AHI and RDI values in positional OSA patients were associated with a larger decrease in snoring when the sleep position changed from supine to lateral (Table 3, Fig. 1).

Formant frequencies of snoring

Of the 19 patients, the snoring sounds of 13 were analyzed in terms of formant frequency; 5 patients whose snoring disappeared completely, and 1 whose snoring sounds could not

be analyzed because of a recording error, were excluded from the analysis. A paired t-test was performed to confirm the change in each formant frequency according to the change in sleep position (supine to lateral). Formant frequencies 1 (F₁), F₃, and F₄ were significantly decreased (*p*-value: 0.02, 0.03, and 0.01, respectively). The reduction in F₂ was not statistically significant (Table 4). Fig. 2 shows the frequency change in each patient.

Discussion

The first report of OSA therapy based on sleep position appeared in 1982.¹²⁾ Many subsequent studies confirmed that a change in sleep position from supine to lateral reduced the incidence of respiratory events.¹³⁻¹⁹⁾ Patients with an increase in the rate of respiratory events (apnea or hypopnea) at least two-fold higher in the supine than in the lateral position are diagnosed with positional OSA;²⁰⁾ this type of OSA accounts for more than half of all OSA cases.²¹⁾ To the best of our knowledge, this study is the first to describe changes in snoring and formant frequency when patients with positional OSA change their sleep position. Koutsourelakis, et al.²²⁾ showed that snoring tended to be louder during NREM than REM sleep. In particular, the snoring time was longest, and the snoring inten-

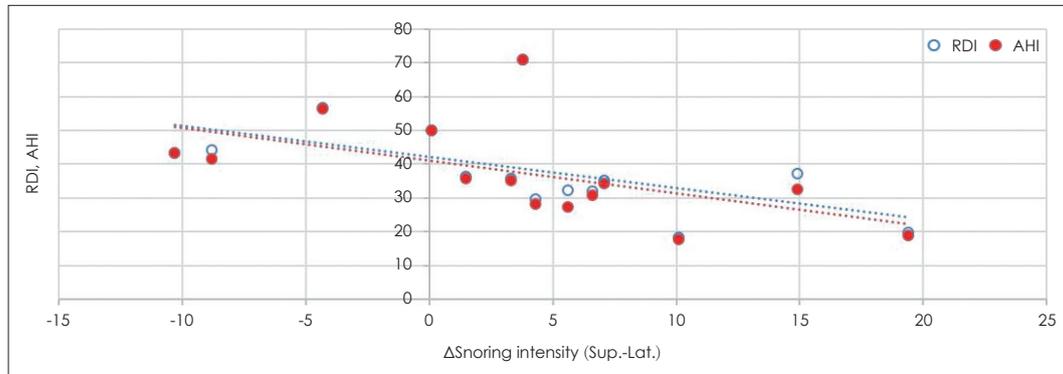


Fig. 1. Correlations between changes in snoring and the RDI and AHI. RDI: respiratory disturbance index, AHI: apnea-hypopnea index, Sup.: supine position, Lat.: lateral position, Δ Snoring intensity: change in snoring intensity from the supine to the lateral position.

Table 4. Changes in formant frequency by sleep position

	Sup.	Lat.	Difference of mean value (Sup.-Lat.)	p-value
	Mean \pm SD (Hz)	Mean \pm SD (Hz)		
F ₁	868.4 \pm 191.3	733.1 \pm 153.1	135.3	0.02
F ₂	1681.7 \pm 320.4	1648.5 \pm 149.5	33.2	0.74
F ₃	3002.5 \pm 310.6	2847.5 \pm 137.4	155.0	0.03
F ₄	4235.2 \pm 145.3	4098.7 \pm 110.1	136.5	0.01

F₁, F₂, F₃, and F₄: first, second, third, and fourth formant frequencies, respectively. Statistical significance: $p < 0.05$. Sup.: supine position, Lat.: lateral position, SD: standard deviation

sity highest, in NREM stage 3 (N3).¹¹⁾ When the sleep posture changed from supine to lateral, snoring disappeared in 5 patients, decreased in 10, and increased in 3. All patients whose snoring disappeared had AHIs of less than 15, and all those whose snoring increased had AHIs greater than 40. Snoring tended to decrease when patients with AHIs of 15–40 assumed a lateral position (the lower the AHI, the greater the decrease). Nakano, et al.¹¹⁾ described an effect of body position on snoring in apneic and nonapneic snorers. Nonapneic (but not apneic) snoring intensity decreased when the sleep position changed from supine to lateral, where the cutoff for distinguishing nonapneic from apneic snoring was an AHI of 15. We found that snoring intensity decreased when positional OSA patients changed their sleep position from supine to lateral, with reductions occurring in all moderate OSA patients ($15 < \text{AHI} < 30$) and in some severe OSA patients ($30 < \text{AHI} < 40$). Indeed, in mild OSA patients ($\text{AHI} < 15$), snoring disappeared, as also found by Nakano, et al.¹¹⁾ However, in patients with an AHI exceeding 40, snoring intensity and the AHI were not correlated; in some patients, snoring increased in a lateral sleep position. Lee, et al.³⁾ showed that the principal upper airway obstruction site varied by sleep position in OSA patients. In the supine position, the soft palate and tongue base constituted the principal obstruction site, whereas in the lateral position,

it was the lateral pharyngeal wall. Patients with an AHI of 15–30 exhibited less severe lateral pharyngeal wall obstruction in the lateral position, but the obstruction increased in those with an AHI exceeding 30. Yalamanchili, et al.²³⁾ found that the oropharyngeal wall was the principal obstruction site in OSA patients, especially when sleeping in the lateral position. Thus, changes in sleep position may affect the principal upper airway obstruction site. The lateral wall makes a major contribution to obstruction in the lateral position.

We examined changes in snoring sound frequency by sleep position. Speech involves both voiced and unvoiced sounds, whereas snoring is unvoiced, as the sound is created in the laryngeal and supralaryngeal regions, not by the vocal folds.²⁴⁾ In addition, the airway affects the energy transfer at a particular frequency. The resonance frequency allowing the maximal energy transfer is the formant frequency (F).²⁵⁾ However, because snoring sounds are caused by pharyngeal structures, not the vocal cords, speech analysis techniques are of limited use. Nevertheless, the formant frequency has been determined in several studies that analyzed the acoustic characteristics of snoring sounds, such that the same analysis was performed in the present study.²⁴⁻²⁶⁾

F₁ reflects the extent of pharyngeal constriction and the height of the tongue. F₂ is related to the degree of advancement of the tongue relative to its neutral position, where the frequency increases as the retrolingual space increases. F₃ correlates with the degree of lip-rounding. F₄ is related to the location and shape of the larynx and laryngeal ventricle, but there is as yet no general consensus on the precise relationship.²⁴⁻²⁸⁾ F₁ and F₂ can be used to distinguish among the vowels, whereas F₃ and F₄ are related to the individual timbre.

We evaluated the change in formant frequency (F₁–F₄) according to the change in sleep position, except in patients whose snoring disappeared in the lateral position. Statistically sig-

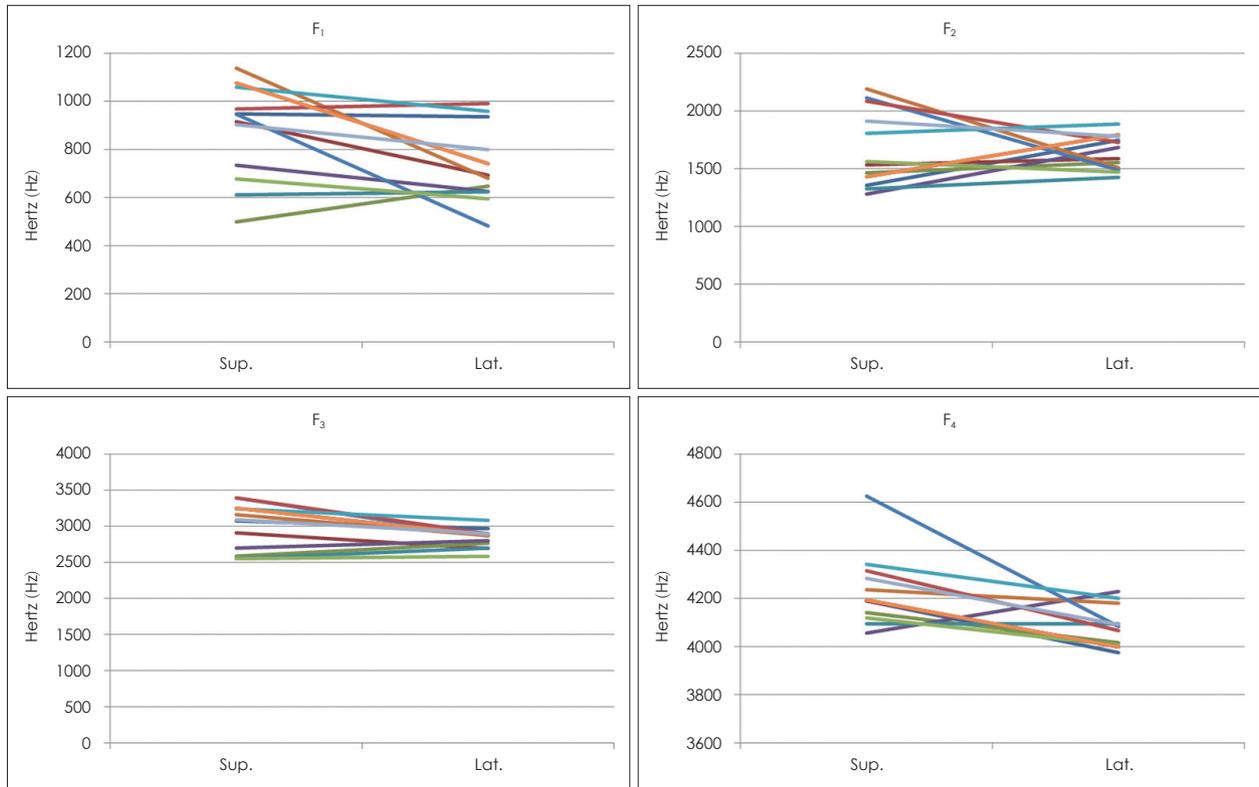


Fig. 2. F₁–F₄ values by sleep position. Sup.: supine position, Lat.: lateral position.

nificant decreases were determined in F₁, F₃, and F₄. These results indicate that a change in sleep position from supine to lateral increases the tongue height, widens the pharynx and causes a change in lip shape to non-circular. In the case of F₂, the frequency increased in patients with decreased snoring intensity and decreased in those with increased snoring intensity (147.51 vs. -439.85 Hz), although the changes were not statistically significant. These observations suggest that when the sleep position is changed from supine to lateral in those with decreased snoring intensity, the position of the tongue is advanced relative to the neutral position and the retrolingual space is widened. However, according to the formula used to obtain the formant frequency, $F_n = (2n-1)C/4L$ (where n is a number from 1–4, F_n is the formant frequency, C is the velocity of sound in the air, and L is the length of vocal tract), the change in sleep position causes a change in the vibrating site of the upper airway where the snoring sound is created, which in turn changes L.

The principal limitation of this study was that relatively few patients were included; therefore, we could not stratify the analyses by AHI. OSA is classified as mild, moderate or severe based on the AHI. We could not statistically compare changes in snoring intensity and formant frequency between OSA sub-

groups, nor explore gender differences, due to the small number of patients. Also, the time and intensity of snoring sounds are known to differ depending on the sleep stage. In a previous study, N3 had the longest snoring time, followed by N2, REM, and N1. N3 also had the largest snoring intensity, followed by N2, N1, and REM.¹¹⁾ However, a limitation of our study was that the NREM stage was not further stratified.

OSA treatment is initially conservative, and includes weight loss and cessation of alcohol intake followed by continuous positive airway pressure therapy, oral appliance therapy, and sleep surgery.^{29,30)} Positional OSA patients can also use devices that prohibit the supine sleep position. However, our data showed that although positional therapy may reduce the incidence of respiratory events in OSA patients, the effect on snoring may differ depending on the AHI and RDI. Therefore, when applying positional therapy, it should be recognized that its purpose is not to decrease snoring, but rather to decrease the incidence of respiratory events.

In conclusion, when the sleep posture changed from supine to lateral in patients with positional OSA, snoring sounds were reduced, with the extent of the reduction depending on the AHI, and the formant frequency decreased.

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Author Contribution

Conceptualization: Soo Kweon Koo. Data curation: Ho Byung Lee, Chang Lok Ji, Geun Hyung Park, Sang Jun Lee. Formal analysis: Tae Kyung Koh, Soon Bok Kwon, Sang Jun Lee. Methodology: Soon Bok Kwon, Ho Byung Lee, Geun Hyung Park. Project administration: Tae Kyung Koh. Supervision: Soo Kweon Koo. Writing—original draft: Ho Byung Lee, Chang Lok Ji. Writing—review & editing: Tae Kyung Koh, Ho Byung Lee.

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