



# Mechanisms of Glucocorticoid Action in Chronic Rhinosinusitis

Sang Hag Lee

Department of Otorhinolaryngology-Head & Neck Surgery, College of Medicine, Korea University, Seoul, Korea

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (<http://creativecommons.org/licenses/by-nc/3.0/>) which permits unrestricted non-commercial use, distribution, and reproduction in any medium, provided the original work is properly cited.

The innate immune system and its complex interplay with the adaptive immune system are increasingly being recognized as important factors in the pathogenesis of chronic rhinosinusitis (CRS). Adaptive immune components, including resident and inflammatory cells, and their associated mediators, have been the subject of most research in CRS. For this reason, theories of CRS pathogenesis have involved the concept that inflammation, rather than infection, is the dominant etiologic factor in CRS. Therefore, glucocorticoids are increasingly used to treat CRS. This review will outline our current knowledge of action mode of glucocorticoids in CRS.

**Key Words:** Chronic rhinosinusitis; glucocorticoids; glucocorticoid receptor; cytokines

The cause and pathophysiology of chronic rhinosinusitis (CRS) are very heterogeneous and have been intensively investigated. Although they remain debatable, abnormal host responses to various triggers, including inflammatory signaling of nasal mucosa, rather than the trigger itself, have been suggested to be ultimately responsible for the persistent inflammatory process of CRS.<sup>1-4</sup> Therefore, glucocorticoids are increasingly being used to treat CRS.<sup>5</sup> Clarification of mechanisms for glucocorticoid action might provide a new insight into the mode of glucocorticoid action and allow selection of rational methods to treat patients with CRS.

CRS is considered a group of heterogeneous disorders characterized by prolonged symptomatic inflammation of sinonasal mucosa lasting more than 12 weeks.<sup>6</sup> Much ongoing research is being directed toward investigation of the underlying cause of CRS.<sup>6-8</sup> Current understanding supports inflammation, rather than infection, as the dominant causative factor.<sup>6-8,10</sup> A key issue in the pathogenesis of CRS is the maintenance of a patent osteomeatal complex, a functional unit that comprises the maxillary sinus ostia, anterior ethmoid cells and their ostia, ethmoid infundibulum, hiatus semilunaris, and middle meatus.<sup>7,8</sup> Therefore, older concepts for the development of CRS suggest that obstruction of the osteomeatal complex results in mucus stasis and changes in pH or luminal gas concentrations, thereby contributing to subsequent chronic bacterial infection with irreversible pathologic tissue changes in the sinus mucosa.<sup>7,8</sup> A recent review of studies showed that intrinsic and extrinsic factors, including staphylococcal colonization with superantigen elaboration, atopy, biofilm, and defective innate immunity, may participate in the complex interplay between the innate

and adaptive immune systems, promoting the inflammation of sinus mucosa.<sup>10-14</sup>

CRS is classified as CRS with nasal polyps (CRSwNP) and without polyps (CRSsNP).<sup>15</sup> Recent research has demonstrated that the pathologies of CRSsNP and CRSwNP can be differentiated into distinct subgroups on the basis of the expression of inflammatory mediators and histopathological characteristics.<sup>15</sup> CRSwNP is associated with high tissue eosinophilia and increased Th2 cytokine expression. CRSwNP reveals frequent epithelial damage, a thickened basement membrane, and mostly edematous to sometimes fibrotic stromal tissue. In contrast, CRSsNP has more Th1 cytokine expression and less eosinophilic infiltration. On histopathological examination, the mucosal lining in CRSsNP is characterized by basement membrane thickening, goblet cell hyperplasia, limited subepithelial edema, prominent fibrosis, and predominant infiltration of neutrophils.<sup>15</sup>

Because of the heterogeneous nature of CRS, multiple medical therapies, including antibiotics, saline irrigations, and topical and systemic glucocorticoids, are widely advocated to achieve successful management.<sup>5,16</sup> Topical corticosteroids tend to constitute the first-line therapy in the medical management of CRS,

**Correspondence to:** Sang Hag Lee, MD, PhD, Department of Otorhinolaryngology-Head & Neck Surgery, College of Medicine, Korea University, 73 Inchon-ro, Seongbuk-gu, Seoul 136-705, Korea.  
Tel: +82-2-920-5486; Fax: +82-2-925-5233; E-mail: sanghag@kumc.or.kr  
Received: January 28, 2015; Revised: March 20, 2015; Accepted: March 30, 2015

• There are no financial or other issues that might lead to conflict of interest.

and act by reducing sinus inflammation, and improving symptoms associated with CRS.<sup>7,16</sup> The efficacy of intranasal corticosteroids in treating patients with CRSsNP has been less clear, largely because of small study size and limitation of trial designs. Because long-term use of intranasal corticosteroids does not have adverse effects, topical corticosteroids are recommended for the treatment of CRSsNP owing to their anti-inflammatory effects.<sup>17-21</sup> Initial therapy in CRSwNP is intranasal corticosteroids, with the addition of oral steroids in symptomatic patients.<sup>17-21</sup> Preoperative and postoperative use of topical or systemic steroids has been shown to result in good success rates.<sup>22-24</sup> Topical steroids are beneficial in reduction of polyp size and prevention of polyp recurrence after endoscopic sinus surgery.<sup>25</sup> Furthermore, drug eluting middle meatal spacers have been developed to deliver topical corticosteroid therapy without the need for spray, drop, or irrigation delivery techniques, and have been shown to reduce the recurrence of sinonasal inflammation.<sup>26-30</sup>

There have been major advances in understanding molecular mechanisms that glucocorticoids suppress inflammation in CRS.<sup>31</sup> Glucocorticoids activate many anti-inflammatory genes and repress many proinflammatory genes that have been activated in inflammation as well as having several posttranscriptional effects.<sup>32</sup> Actually, a number of studies have demonstrated that the topical and systemic use of glucocorticoids inhibit the epithelial cell derived gene expression of numerous cytokines, including IL-1 $\beta$ , IL-6, and TNF- $\alpha$ , growth factor and receptors, such as GM-CSF and TGF- $\beta$ , and numerous chemokines of the CXC and CC families.<sup>31,32</sup>

The anti-inflammatory effects of glucocorticoids in the airways are exerted through the glucocorticoid receptor (GR). Two GR isoforms exist, GR $\alpha$  and GR $\beta$ , which are derived from alternative splicing of GR primary mRNA. GR $\alpha$  is the classical GR that mediates glucocorticoid action, whereas GR $\beta$  is unable to bind steroids. GR $\beta$  alone is not transcriptionally active in AP-1 or NF- $\kappa$ B driven systems. When overexpressed with respect to GR $\alpha$ , GR $\beta$  acts as a dominant-negative inhibitor of GR $\alpha$  transcriptional activity.<sup>31,32</sup> A number of studies have revealed the expression levels of GR $\alpha$  and GR $\beta$  in nasal polyps and nasal mucosa, but their results are inconsistent.<sup>33-38</sup> Choi *et al.*<sup>33</sup> have reported that GR $\alpha$  mRNA is more expressed in nasal polyps than in normal nasal mucosa and that the elevated GR $\alpha$  mRNA levels are decreased after glucocorticoid treatment. GR $\beta$  mRNA expression is very low in NPs and nasal mucosa, and expression levels were similarly expressed regardless of glucocorticoid efficacy, indicating no correlation between the glucocorticoid sensitivity and the expression levels of GR $\beta$  mRNA. In accordance with these results, other research has shown that the prominent expression of GR $\alpha$  mRNA in NPs is decreased following glucocorticoid treatment, while GR $\beta$  mRNA expression remains unchanged. Taken together, these results suggest that GR $\alpha$  may play a major role in inflammation associated with

NPs.<sup>34</sup> In contrast, another study has shown that GR $\beta$  mRNA is highly expressed in NPs and that down-regulation of GR $\alpha$  mRNA is found in glucocorticoid insensitive nasal polyps, suggesting that GR $\beta$  expression may play an important role in glucocorticoid therapy in nasal polyps.<sup>35</sup> Furthermore, in eosinophilic CRS, the number of GR $\beta$ -positive cells was increased in compared to non-eosinophilic groups, supporting the association with steroid insensitivity.<sup>36</sup> Pujols *et al.*<sup>37</sup> have reported that GR $\alpha$  is found in nasal mucosa and NPs and that its mRNA was lower in NPs than in nasal mucosa. GR $\beta$  is expressed at very low levels and does not significantly differ between nasal mucosa and NPs. They suggested that neither GR $\alpha$  nor GR $\beta$  appears to determine the sensitivity to glucocorticoids in NPs.<sup>37</sup> In epithelial cells derived from nasal mucosa and NPs, there is no difference in GR $\alpha$  mRNA expression, but GR $\alpha$  mRNA expression is more abundant than GR $\beta$  mRNA expression in both nasal mucosa and NP epithelial cells.<sup>38</sup> Further studies to analyze GR in CRS are warranted.

The biological activity of glucocorticoids depends not only on the number of receptors and the dose and responsiveness of the target tissues or cells but also on the local metabolism of glucocorticoids catalyzed by 11 $\beta$ -hydroxysteroid dehydrogenase (11 $\beta$ -HSD).<sup>39-41</sup> Similar to exogenously administered glucocorticoids, endogenous glucocorticoids have been importantly implicated in inhibiting inflammatory responses in various organs.<sup>42-45</sup> Endogenous glucocorticoids are powerful modulators of inflammatory responses whose overall effects may result not only from circulating glucocorticoids via the hypothalamic-pituitary-adrenal axis, but also via tissue-specific metabolism of glucocorticoids catalyzed by 2 isoforms of 11 $\beta$ -HSD.<sup>41</sup>

The 2 isoforms of 11 $\beta$ -HSD, 11 $\beta$ -HSD1, and 11 $\beta$ -HSD2, modulate endogenous glucocorticoid action within cells and tissues at the prereceptor level. The 11 $\beta$ -HSD1 acts as an oxidoreductase, generating active cortisol from cortisone, which potentiates the actions of glucocorticoids in tissues, whereas 11 $\beta$ -HSD2 inactivates cortisol to cortisone. Therefore, 11 $\beta$ -HSD1, increases the local concentration of active glucocorticoids, and 11 $\beta$ -HSD2 decreases the concentration, regulating local glucocorticoid concentrations.<sup>41</sup>

Traditionally, it was thought that glucocorticoids were solely synthesized in the adrenal cortex. However, a growing body of evidence has demonstrated *de novo* synthesis of glucocorticoids in other organs, such as the thymus, brain, skin, and vascular endothelium.<sup>46</sup> Other tissues, such as lung and intestinal epithelium, have been described to express steroidogenic enzymes, including CYP11B1, and are considered potential extra-adrenal sources of glucocorticoids.<sup>47</sup>

A recent study has shown that steroid converting enzymes and steroid synthesizing enzymes are expressed in human sinonasal mucosa. The results showed that the expression levels of 11 $\beta$ -HSD1 and CYP11B1 increased significantly in inflammatory sinus mucosa of patients with CRSwNP and CRSsNP,

compared with normal sinus mucosa.<sup>48</sup> However, 11 $\beta$ -HSD2 expression is decreased in inflammatory sinus mucosa, irrespective of the presence or absence of nasal polyps. CYP11A1 is also present in normal sinus mucosa, but its expression levels were unchanged in inflammatory sinus mucosa.<sup>48</sup> The expression of 11 $\beta$ -HSD1, 11 $\beta$ -HSD2, CYP11B1, and CYP11A1 is also detected in cultured epithelial cells obtained from human sinus mucosa.<sup>48</sup> In normal and inflammatory sinus mucosa these enzymes are similarly located in the superficial epithelium, submucosal glands, and vascular endothelial cells.<sup>48</sup> Expression levels of 11 $\beta$ -HSD1 and CYP11B1 are increased after stimulation with IL-4, IL-5, IL-1 $\beta$ , IL-13, TNF- $\alpha$ , and TGF- $\beta$ 1 compared to non-treated controls. In contrast, expression levels of 11 $\beta$ -HSD2 are decreased after treatment with IL-4, IL-5, IL-1 $\beta$ , IL-13, TNF- $\alpha$ , and TGF- $\beta$ 1. IFN- $\gamma$  has no effect on the expression levels of these enzymes, and CYP11A1 expression levels are not affected by stimulation of these cytokines.<sup>48</sup>

Cortisol levels in the sinus mucosa and nasal lavage fluid are increased significantly in CRS patients, irrespective of the presence of polyps, compared to normal subjects. However, cortisol levels in the serum are unchanged in CRS patients compared to normal controls. In cultured epithelial cells stimulated with dexamethasone, expression levels of 11 $\beta$ -HSD1 are increased, compared to non-treated controls, whereas expression levels of 11 $\beta$ -HSD2 are decreased.<sup>48</sup> Taken together, these results suggest that reciprocal expression of 11 $\beta$ -HSD1 and 11 $\beta$ -HSD2 in the inflammatory sinus mucosa of patients with CRSwNP and CRSsNP may play an important role in the pathogenesis of CRS, contributing to the increased local supply of glucocorticoids. Nevertheless, more comparable studies on these issues are warranted.

In conclusion, there is now a preponderance of evidence supporting the concept that inflammation, as opposed to infection, is the dominant causative factor in CRS. Therefore, while systemic antibiotics were the mainstay of treatment in the past, the focus is now shifting toward novel anti-inflammatory therapies. In this respect, glucocorticoids have been used as anti-inflammatory agents for a long time. Understanding molecular mechanisms underlying the biological and pharmacological effects of glucocorticoids in CRS will aid in the treatment of CRS patients.

## REFERENCES

1. Van Zele T, Claeys S, Gevaert P, Van Maele G, Holtappels G, Van Cauwenberge P, et al. Differentiation of chronic sinus diseases by measurement of inflammatory mediators. *Allergy* 2006;61:1280-9.
2. Bachert C, Gevaert P, van Cauwenberge P. Staphylococcus aureus superantigens and airway disease. *Curr Allergy Asthma Rep* 2002;2:252-8.
3. Shin SH, Ponikau JU, Sherris DA, Congdon D, Frigas E, Homburger HA, et al. Chronic rhinosinusitis: an enhanced immune response to ubiquitous airborne fungi. *J Allergy Clin Immunol* 2004;114:1369-75.
4. Kramer MF, Ostertag P, Pfrogner E, Rasp G. Nasal interleukin-5, immunoglobulin E, eosinophilic cationic protein, and soluble intercellular adhesion molecule-1 in chronic sinusitis, allergic rhinitis, and nasal polyposis. *Laryngoscope* 2000;110:1056-62.
5. Kariyawasam HH, Scadding GK. Chronic rhinosinusitis: therapeutic efficacy of anti-inflammatory and antibiotic approaches. *Allergy Asthma Immunol Res* 2011;3:226-35.
6. Benninger MS, Ferguson BJ, Hadley JA, Hamilos DL, Jacobs M, Kennedy DW, et al. Adult chronic rhinosinusitis: definitions, diagnosis, epidemiology, and pathophysiology. *Otolaryngol Head Neck Surg* 2003;129:S1-32.
7. Rybak LP. Medical treatment of chronic sinusitis in the immunocompetent and immunosuppressed patient: a review. *Otolaryngol Head Neck Surg* 1982;90:534-9.
8. Fokkens WJ, Lund VJ, Mullol J, Bachert C, Alobid I, Baroody F, et al. European position paper on rhinosinusitis and nasal polyps 2012. *Rhinology* 2012;50 Suppl 23:1-298.
9. Choi SH, Han MY, Ahn YM, Park YM, Kim CK, Kim HH, et al. Predisposing factors associated with chronic and recurrent rhinosinusitis in childhood. *Allergy Asthma Immunol Res* 2012;4:80-4.
10. Meltzer EO, Hamilos DL, Hadley JA, Lanza DC, Marple BF, Nicklas RA, et al. Rhinosinusitis: establishing definitions for clinical research and patient care. *J Allergy Clin Immunol* 2004;114:155-212.
11. Hamilos DL. Host-microbial interactions in patients with chronic rhinosinusitis. *J Allergy Clin Immunol* 2014;133:640-653.e4.
12. Hsu J, Peters AT. Pathophysiology of chronic rhinosinusitis with nasal polyp. *Am J Rhinol Allergy* 2011;25:285-90.
13. Ooi EH, Wormald PJ, Tan LW. Innate immunity in the paranasal sinuses: a review of nasal host defenses. *Am J Rhinol* 2008;22:13-9.
14. Ramanathan M Jr, Lane AP. Innate immunity of the sinonasal cavity and its role in chronic rhinosinusitis. *Otolaryngol Head Neck Surg* 2007;136:348-56.
15. Van Crombruggen K, Zhang N, Gevaert P, Tomassen P, Bachert C. Pathogenesis of chronic rhinosinusitis: inflammation. *J Allergy Clin Immunol* 2011;128:728-32.
16. Cain RB, Lal D. Update on the management of chronic rhinosinusitis. *Infect Drug Resist* 2013;6:1-14.
17. Scadding GK, Durham SR, Mirakian R, Jones NS, Drake-Lee AB, Ryan D, et al. BSACI guidelines for the management of rhinosinusitis and nasal polyposis. *Clin Exp Allergy* 2008;38:260-75.
18. Ragab SM, Lund VJ, Scadding G. Evaluation of the medical and surgical treatment of chronic rhinosinusitis: a prospective, randomized, controlled trial. *Laryngoscope* 2004;114:923-30.
19. Parikh A, Scadding GK, Darby Y, Baker RC. Topical corticosteroids in chronic rhinosinusitis: a randomized, double-blind, placebo-controlled trial using fluticasone propionate aqueous nasal spray. *Rhinology* 2001;39:75-9.
20. Lavigne F, Cameron L, Renzi PM, Planet JF, Christodoulopoulos P, Lamkioued B, et al. Intranasal administration of topical budesonide to allergic patients with chronic rhinosinusitis following surgery. *Laryngoscope* 2002;112:858-64.
21. Lund VJ, Black JH, Szabó LZ, Schrewelius C, Akerlund A. Efficacy and tolerability of budesonide aqueous nasal spray in chronic rhinosinusitis patients. *Rhinology* 2004;42:57-62.
22. Wright ED, Agrawal S. Impact of perioperative systemic steroids on surgical outcomes in patients with chronic rhinosinusitis with polyposis: evaluation with the novel Perioperative Sinus Endoscopy (POSE) scoring system. *Laryngoscope* 2007;117:1-28.

23. Albu S, Gocea A, Mitre I. Preoperative treatment with topical corticoids and bleeding during primary endoscopic sinus surgery. *Otolaryngol Head Neck Surg* 2010;143:573-8.
24. Sieskiewicz A, Olszewska E, Rogowski M, Grycz E. Preoperative corticosteroid oral therapy and intraoperative bleeding during functional endoscopic sinus surgery in patients with severe nasal polyposis: a preliminary investigation. *Ann Otol Rhinol Laryngol* 2006;115:490-4.
25. Snidvongs K, Kalish L, Sacks R, Sivasubramaniam R, Cope D, Harvey RJ. Sinus surgery and delivery method influence the effectiveness of topical corticosteroids for chronic rhinosinusitis: systematic review and meta-analysis. *Am J Rhinol Allergy* 2013;27:221-33.
26. Murr AH, Smith TL, Hwang PH, Bhattacharyya N, Lanier BJ, Stambaugh JW, et al. Safety and efficacy of a novel bioabsorbable, steroid-eluting sinus stent. *Int Forum Allergy Rhinol* 2011;1:23-32.
27. More Y, Willen S, Catalano P. Management of early nasal polyposis using a steroid-impregnated nasal dressing. *Int Forum Allergy Rhinol* 2011;1:401-4.
28. Rudmik L, Mace J, Mechor B. Effect of a dexamethasone Sinu-Foam middle meatal spacer on endoscopic sinus surgery outcomes: a randomized, double-blind, placebo-controlled trial. *Int Forum Allergy Rhinol* 2012;2:248-51.
29. Côté DW, Wright ED. Triamcinolone-impregnated nasal dressing following endoscopic sinus surgery: a randomized, double-blind, placebo-controlled study. *Laryngoscope* 2010;120:1269-73.
30. Han JK, Marple BF, Smith TL, Murr AH, Lanier BJ, Stambaugh JW, et al. Effect of steroid-releasing sinus implants on postoperative medical and surgical interventions: an efficacy meta-analysis. *Int Forum Allergy Rhinol* 2012;2:271-9.
31. Fernandes AM, Valera FC, Anselmo-Lima WT. Mechanism of action of glucocorticoids in nasal polyposis. *Braz J Otorhinolaryngol* 2008;74:279-83.
32. Grzanka A, Misiólek M, Golusiński W, Jarzab J. Molecular mechanisms of glucocorticoids action: implications for treatment of rhinosinusitis and nasal polyposis. *Eur Arch Otorhinolaryngol* 2011;268:247-53.
33. Choi BR, Kwon JH, Gong SJ, Kwon MS, Cho JH, Kim JH, et al. Expression of glucocorticoid receptor mRNAs in glucocorticoid-resistant nasal polyps. *Exp Mol Med* 2006;38:466-73.
34. Watanabe S, Suzaki H. Changes of glucocorticoid receptor expression in the nasal polyps of patients with chronic sinusitis following treatment with glucocorticoid. *In Vivo* 2008;22:37-42.
35. Li P, Li Y, Li YQ, Yang QT, Zhang GH. Glucocorticoid receptor expression and glucocorticoid therapeutic effect in nasal polyps. *Clin Invest Med* 2010;33:E181-8.
36. Takeda K, Takeno S, Hirakawa K, Ishino T. Expression and distribution of glucocorticoid receptor isoforms in eosinophilic chronic rhinosinusitis. *Auris Nasus Larynx* 2010;37:700-7.
37. Pujols L, Alobid I, Benítez P, Martínez-Antón A, Roca-Ferrer J, Fokkens WJ, et al. Regulation of glucocorticoid receptor in nasal polyps by systemic and intranasal glucocorticoids. *Allergy* 2008;63:1377-86.
38. Pujols L, Mullol J, Benítez P, Torrego A, Xaubet A, de Haro J, et al. Expression of the glucocorticoid receptor alpha and beta isoforms in human nasal mucosa and polyp epithelial cells. *Respir Med* 2003;97:90-6.
39. Oppermann UC, Persson B, Jörnvall H. Function, gene organization and protein structures of 11beta-hydroxysteroid dehydrogenase isoforms. *Eur J Biochem* 1997;249:355-60.
40. Seckl JR. 11beta-hydroxysteroid dehydrogenases: changing glucocorticoid action. *Curr Opin Pharmacol* 2004;4:597-602.
41. Draper N, Stewart PM. 11beta-hydroxysteroid dehydrogenase and the pre-receptor regulation of corticosteroid hormone action. *J Endocrinol* 2005;186:251-71.
42. Ergang P, Leden P, Vagnerová K, Klusonová P, Miksík I, Jurcovicová J, et al. Local metabolism of glucocorticoids and its role in rat adjuvant arthritis. *Mol Cell Endocrinol* 2010;323:155-60.
43. Stegk JP, Ebert B, Martin HJ, Maser E. Expression profiles of human 11 $\beta$ -hydroxysteroid dehydrogenases type 1 and type 2 in inflammatory bowel diseases. *Mol Cell Endocrinol* 2009;301:104-8.
44. Noti M, Sidler D, Brunner T. Extra-adrenal glucocorticoid synthesis in the intestinal epithelium: more than a drop in the ocean? *Semin Immunopathol* 2009;31:237-48.
45. Hu A, Fatma S, Cao J, Grunstein JS, Nino G, Grumbach Y, et al. Th2 cytokine-induced upregulation of 11 $\beta$ -hydroxysteroid dehydrogenase-1 facilitates glucocorticoid suppression of proasthmatic airway smooth muscle function. *Am J Physiol Lung Cell Mol Physiol* 2009;296:L790-803.
46. Davies E, MacKenzie SM. Extra-adrenal production of corticosteroids. *Clin Exp Pharmacol Physiol* 2003;30:437-45.
47. Taves MD, Gomez-Sanchez CE, Soma KK. Extra-adrenal glucocorticoids and mineralocorticoids: evidence for local synthesis, regulation, and function. *Am J Physiol Endocrinol Metab* 2011;301:E11-24.
48. Jun YJ, Park SJ, Kim TH, Lee SH, Lee KJ, Hwang SM, et al. Expression of 11 $\beta$ -hydroxysteroid dehydrogenase 1 and 2 in patients with chronic rhinosinusitis and their possible contribution to local glucocorticoid activation in sinus mucosa. *J Allergy Clin Immunol* 2014;134:926-934.e6.