

Declined Preoperative Aspartate Aminotransferase to Neutrophil Ratio Index Predicts Poor Prognosis in Patients with Intrahepatic Cholangiocarcinoma after Hepatectomy

Lingyun Liu, MD¹
Wei Wang, MD²
Yi Zhang, MD¹
Jianting Long, MD³
Zhaohui Zhang, MD¹
Qiao Li, MD¹
Bin Chen, MD¹
Shaoqiang Li, MD¹
Yunpeng Hua, MD¹
Shunli Shen, MD, PhD¹
Baogang Peng, MD, PhD¹

¹Department of Hepatic Surgery,
The First Affiliated Hospital of
Sun Yat-sen University, Guangzhou,

²Department of Medical Ultrasonics, Institute
of Diagnostic and Interventional Ultrasound,
The First Affiliated Hospital of
Sun Yat-sen University, Guangzhou,

³Department of Oncology,
The First Affiliated Hospital of
Sun Yat-sen University, Guangzhou, China

Correspondence: Baogang Peng, MD, PhD
Department of Hepatic Surgery,
The First Affiliated Hospital of Sun Yat-sen
University, No. 58, Zhong Shan Road 2,
Guangzhou 510080, Guangdong, China
Tel: 86-20-87755766-8214
Fax: 86-20-87755766-8663
E-mail: pengbaogang@medmail.com.cn

Co-correspondence: Shunli Shen, MD, PhD
Department of Hepatic Surgery,
The First Affiliated Hospital of Sun Yat-sen
University, No. 58, Zhong Shan Road 2,
Guangzhou 510080, Guangdong, China
Tel: 86-20-87755766-8214
Fax: 86-20-87755766-8663
E-mail: shunlishen@163.com

Received March 2, 2017

Accepted May 24, 2017

Published Online June 1, 2017

*Lingyun Liu and Wei Wang contributed
equally to this work.

Purpose

Various inflammation-based prognostic biomarkers such as the platelet to lymphocyte ratio and neutrophil to lymphocyte ratio, are related to poor survival in patients with intrahepatic cholangiocarcinoma (ICC). This study aims to investigate the prognostic value of the aspartate aminotransferase to neutrophil ratio index (ANRI) in ICC after hepatic resection.

Materials and Methods

Data of 184 patients with ICC after hepatectomy were retrospectively reviewed. The cut-off value of ANRI was determined by a receiver operating characteristic curve. Preoperative ANRI and clinicopathological variables were analyzed. The predictive value of preoperative ANRI for prognosis of ICC was identified by univariate and multivariate analyses.

Results

The optimal cut-off value of ANRI was 6.7. ANRI was associated with tumor size, tumor recurrence, white blood cell, neutrophil count, aspartate aminotransferase, and alanine transaminase. Univariate analysis showed that ANRI, sex, tumor number, tumor size, tumor differentiation, lymph node metastasis, resection margin, clinical TNM stage, neutrophil count, and carcinoembryonic antigen were markedly correlated with overall survival (OS) and disease-free survival (DFS) in patients with ICC. Multivariable analyses revealed that ANRI, a tumor size > 6 cm, poor tumor differentiation, and an R1 resection margin were independent prognostic factors for both OS and DFS. Additionally, preoperative ANRI also had a significant value to predict prognosis in various subgroups of ICC, including serum hepatitis B surface antigen-negative and preoperative elevated carbohydrate antigen 19-9 patients.

Conclusion

Preoperative declined ANRI is a noninvasive, simple, and effective predictor of poor prognosis in patients with ICC after hepatectomy.

Key words

Aspartate aminotransferase, Neutrophil,
Cholangiocarcinoma, Prognosis, Biomarkers

Introduction

Intrahepatic cholangiocarcinoma (ICC) is the second most common biliary malignancy and accounts for 5% to 10% of primary liver carcinomas [1]. A rapidly increasing incidence of ICC has been reported over the last few decades [2]. Operation is the only potentially curative therapeutic measure for patients with ICC. Unfortunately, less than 30% of ICC patients are not able to have an operation at the initial diagnosis due to a lack of appropriate markers for early diagnosis. In addition, even after curative hepatectomy, the outcome remains grim, with the 5-year survival rate ranging from 20% to 40% [3,4]. The poor prognosis after surgery is mainly due to tumor recurrence and metastasis [5]. Therefore, it is of great significance to screen for effective markers to identify ICC patients at a high risk of recurrence or metastases, thus providing timely and effective therapeutic treatment to improve the clinical outcomes.

As a routine liver function test index, aspartate aminotransferase (AST) reflects the damage of the liver. It is commonly used to assess the severity of various liver diseases [6]. Recently, studies have reported that prognostic indexes based on inflammatory cells such as neutrophils and lymphocytes can reflect the survival of various malignancies [7,8], with aspartate aminotransferase to neutrophil ratio index (ANRI) as one of them. For example, elevated ANRI was found to be significantly correlated with a poorer outcome in patients with hepatocellular carcinoma [9].

The relation between ANRI and the prognosis of ICC patients after hepatic resection has not been reported. In the present study, we aim to evaluate the predictive value of preoperative ANRI on both the overall survival (OS) and disease-free survival (DFS) of ICC patients after hepatectomy.

Materials and Methods

1. Patients

A total of 184 newly diagnosed ICC patients treated with hepatectomy at the First Affiliated Hospital, Sun Yat-sen University between April 2004 and September 2015 were included. All specimens were histologically proven to be ICC after surgery. Informed consent was obtained, and procedures were carried out with prior approval of the Ethics Committee of the First Affiliated Hospital of Sun Yat-sen University (Guangzhou, China). Routine assessment was performed for all patients within 7 days before surgery, including physical examination, complete hematologic and

biochemistry profiles, chest X-ray, abdominal ultrasonography, and contrast-enhanced computed tomography (CT) scans or magnetic resonance imaging.

All patients were > 18 years of age, with complete clinical and laboratory data. No patients had any coexistent hematological disorders or known active infection before treatment. In addition, patients with preoperative anti-tumor treatment, such as chemotherapy or percutaneous ablation, were excluded from this study.

2. Treatment and follow-up

Surgical strategies were decided by a multidisciplinary team meeting. Combined operations such as biliary-intestinal anastomosis were applied when needed. Postoperative follow-up of all 184 patients was regularly performed according to institutional practice, including serum carbohydrate antigen 19-9 (CA19-9), α -fetoprotein (AFP), carcinoembryonic antigen (CEA), abdominal ultrasound, and chest X-ray every 3 months, and contrast-enhanced CT every 6 months. Recurrence was defined as the emergence of clinical, radiological, and/or pathological diagnosis (tissues obtained by ultrasound-guided fine-needle aspiration) of the tumor. Patients with confirmed recurrence of ICC received salvage treatments, such as repeated hepatectomy, percutaneous ablation or chemotherapy. DFS was calculated from the date of operation to the date of recurrence. OS was calculated from the date of operation to the date of death or last follow-up.

3. Statistical analysis

The best cut-off value of ANRI was determined by a receiver operating characteristic (ROC) curve. The difference between categorical variables was tested by the Pearson chi-square test (two-tailed). Pearson bivariate correlation analyses (two-tailed) were used to compare the correlations of these categorical variables. Non-normally distributed numerical variables were tested using a Mann-Whitney U test. Survival curves (including stratified analysis) were plotted using the Kaplan-Meier method and compared using the log-rank test. The Cox proportional hazards model (Backward stepwise) was used to determine the independent prognostic factors based on variables selected on univariate analysis (with $p < 0.05$), and the results were expressed as a hazard ratio with a 95% confidence interval (95% CI). There are significant differences when p -values are < 0.05 , using two-tailed tests. Statistical analyses performed using SPSS ver. 20.0 (IBM Corp., Armonk, NY).

Table 1. Relationship between ANRI and clinicopathological characteristics in ICC (n=184)

Category	No.	ANRI		χ^2	r	p-value
		≤ 6.7 (n=94)	> 6.7 (n=90)			
Age (yr)						
≤ 60	117	59 (50.4)	58 (49.6)	0.056	-	0.813
> 60	67	35 (52.2)	32 (47.8)			
Sex						
Female	85	40 (47.1)	45 (52.9)	1.026	-	0.311
Male	99	54 (54.5)	45 (45.5)			
Preoperative symptom						
No	51	26 (51.0)	25 (49.0)	0.000	-	0.986
Yes	133	68 (51.1)	65 (48.9)			
Cirrhosis						
No	138	70 (50.7)	68 (49.3)	0.029	-	0.865
Yes	46	24 (52.2)	22 (47.8)			
HBsAg						
Negative	133	67 (50.4)	66 (49.6)	0.097	-	0.755
Positive	51	27 (52.9)	24 (47.1)			
Tumor number						
Single	121	60 (49.6)	61 (50.4)	0.318	-	0.573
Multiple	63	34 (54.0)	29 (46.0)			
Tumor size (cm)^{a)}						
≤ 6	97	42 (43.3)	55 (56.7)	4.980	-0.165	0.026
> 6	87	52 (59.8)	35 (40.2)			
Capsulation						
No	111	58 (52.3)	53 (47.7)	0.152	-	0.697
Yes	73	36 (49.3)	37 (50.7)			
Differentiation^{b)}						
W+M	125	60 (48.0)	65 (52.0)	1.487	-	0.223
P	59	34 (57.6)	25 (42.4)			
Lymph node metastasis						
No	111	51 (45.9)	60 (54.1)	2.959	-	0.085
Yes	73	43 (58.9)	30 (41.1)			
Vascular invasion						
No	174	90 (52.0)	83 (48.0)	1.015	-	0.314
Yes	11	4 (36.4)	7 (63.6)			
TNM^{c)}						
I+II	79	39 (49.4)	40 (50.6)	0.164	-	0.686
III+IV	105	55 (52.4)	50 (47.6)			
Resection margin						
R0	108	60 (55.6)	48 (44.4)	2.089	-	0.148
R1	76	34 (44.7)	42 (55.3)			
Biliary-intestinal anastomosis						
No	158	83 (52.5)	75 (47.5)	0.934	-	0.334
Yes	26	11 (42.3)	15 (57.7)			
Complication						
No	155	79 (51.0)	76 (49.0)	0.006	-	0.940
Yes	29	15 (51.7)	14 (48.3)			
Intraoperative blood loss (mL)^{a)}						
≤ 400	104	55 (52.9)	49 (47.1)	0.309	-	0.578
> 400	80	39 (48.8)	41 (51.2)			

(Continued to the next page)

Table 1. Continued

Category	No.	ANRI		χ^2	r	p-value
		≤ 6.7 (n=94)	> 6.7 (n=90)			
Recurrence						
No	21	3 (14.3)	18 (85.7)	12.848	-0.264	< 0.001
Yes	163	91 (55.8)	72 (44.2)			
WBC (×10⁹/L)						
≤ 10	148	63 (42.6)	85 (57.4)	21.971	-0.346	< 0.001
> 10	36	31 (86.1)	5 (13.9)			
Neutrophil (×10⁹/L)^{a)}						
≤ 4.55	93	30 (32.3)	63 (67.7)	26.679	-0.381	< 0.001
> 4.55	91	64 (70.3)	27 (29.7)			
Platelet (×10⁹/L)						
≤ 300	139	66 (47.5)	73 (52.5)	2.956	-	0.086
> 300	45	28 (62.2)	17 (37.8)			
AST (U/L)						
≤ 37	133	89 (66.9)	44 (33.1)	48.122	0.511	< 0.001
> 37	51	5 (9.8)	46 (90.2)			
ALT (U/L)						
≤ 80	158	91 (57.6)	67 (42.4)	18.952	0.321	< 0.001
> 80	26	3 (11.5)	23 (88.5)			
γ-GT (U/L)						
≤ 50	50	24 (48.0)	26 (52.0)	0.262	-	0.609
> 50	134	70 (52.2)	64 (47.8)			
AFP (μg/L)						
≤ 200	176	89 (50.6)	87 (49.4)	0.436	-	0.509
> 200	8	5 (62.5)	3 (37.5)			
CEA (μg/L)						
≤ 5.0	107	53 (49.5)	54 (50.5)	0.247	-	0.619
> 5.0	77	41 (53.2)	36 (46.8)			
CA19-9 (U/mL)						
≤ 35	65	37 (56.9)	28 (43.1)	1.370	-	0.242
> 35	119	57 (47.9)	62 (52.1)			

ANRI, aspartate aminotransferase/neutrophil count ratio index; ICC, intrahepatic cholangiocarcinoma; HBsAg, hepatitis B surface antigen; W+M, well+moderated differentiation; P, poor differentiation; WBC, white blood cell; AST, aspartate aminotransferase; ALT, alanine transaminase; γ-GT, γ-glutamyl transpeptidase; AFP, α-fetoprotein; CEA, carcinoembryonic antigen; CA19-9, carbohydrate antigen 19-9. ^{a)}Medians were used for cut-off values, ^{b)}According to the World Health Organization (WHO) classification of tumors of the digestive system 2010, ^{c)}Based on seventh edition cancer staging manual of American Joint Committee on Cancer.

Results

1. Demographic and clinicopathological characteristics

This study included 99 males (53.8%) and 85 females (46.2%). The mean age of the cohort was 56.0 years (range, 24 to 82 years). There were 163 patients (88.6%) who developed tumor recurrence and 152 patients (82.6%) died during the observation period. The mean tumor size was 6.0 cm (range,

1.0 to 20.0 cm) at the greatest diameter. Elevated serum CA19-9 (≥ 35.0 U/mL) was observed in 119 patients (64.7%), and 63 patients (34.2%) had multiple tumor masses. Serum hepatitis B surface antigen (HBsAg) was positive in 51 patients (27.7%), and cirrhosis existed in 46 patients (25.0%). Additionally, 28 patients had a past history of surgery due to hepatolithiasis. Tumor differentiation was defined according to the World Health Organization classification of tumors of the digestive system 2010 [10]. Tumors from 125 patients (67.9%) were well/moderated, and those from 59 patients (32.1%) were poorly

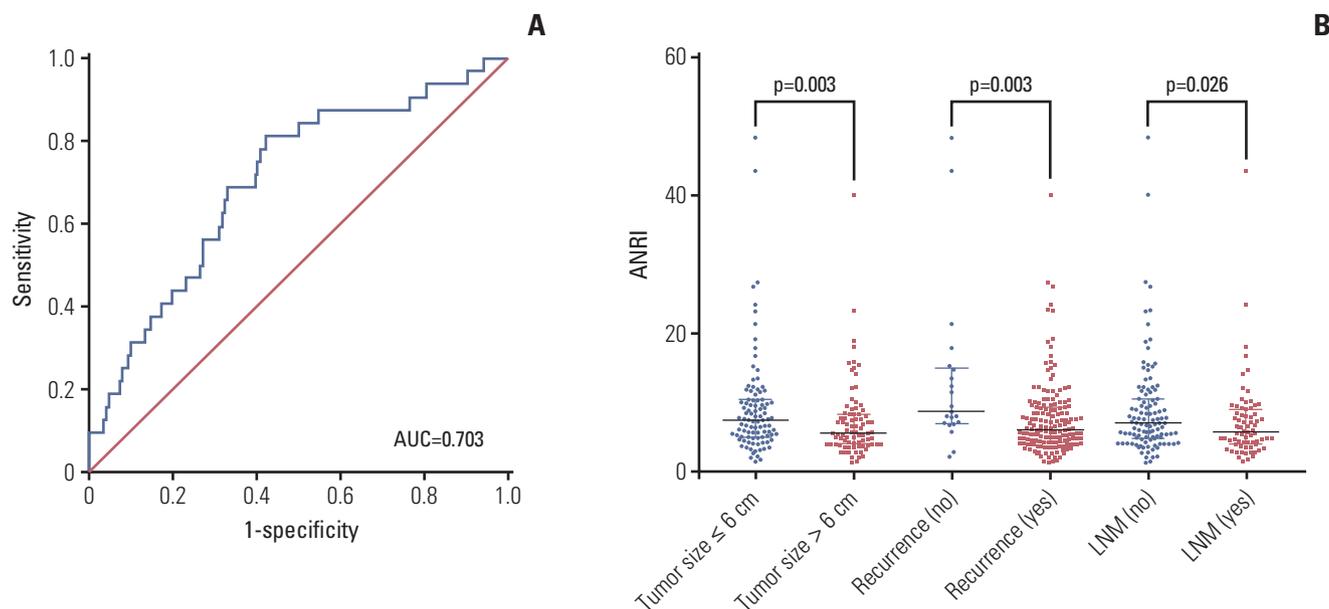


Fig. 1. Receiver operating characteristic (ROC) curve and distribution of preoperative aspartate aminotransferase/neutrophil count ratio index (ANRI) in different intrahepatic cholangiocarcinoma (ICC) subgroups. (A) ROC analysis was performed to determine the optimal cut-off value of ANRI in patients with ICC after hepatectomy (cut-off value=6.7). The area under the ROC curve for survival status was 0.703 (95% confidence interval, 0.604 to 0.802; $p < 0.001$), with a sensitivity of 81.3% and a specificity of 57.9%. (B) Comparison of preoperative ANRI in ICC subgroups, stratified by tumor size, recurrence, and lymph node metastasis (LNM). The proportions of ICC patients with declined preoperative ANRI along with tumor size > 6 cm, recurrence, and with LNM are much higher than those with tumor size ≤ 6 cm, without recurrence, and without LNM, respectively (all $p < 0.05$, Mann-Whitney U test). AUC, area under the curve.

differentiated. In addition, 79 patients (42.9%) were in TNM stage I+II and 105 patients (57.1%) were in TNM stage III+IV (Table 1).

2. Determination of an ANRI cut-off value

ANRI was calculated using the formula: $\text{ANRI} = (\text{AST value} / \text{neutrophil count}) \times 10^9 / \text{U}$. According to ROC curve analysis, the optimal cut-off value of ANRI was 6.7 for predicting postoperative prognosis. The area under ROC curve for survival status was 0.703, with a 95% CI of 0.604-0.802 ($p < 0.001$) (Fig. 1A). The maximal Youden Index (sensitivity+specificity-1) showed a sensitivity of 81.3% and a specificity of 57.9%. Subsequently, all patients were divided into two groups: a high ANRI group (> 6.7 , $n=90$) and low ANRI group (≤ 6.7 , $n=94$).

3. Relationship between ANRI and clinicopathological characteristics in ICC

The relationships between preoperative ANRI and clinicopathological variables were summarized in Table 1. The

results showed that preoperative ANRI was related to tumor size ($\chi^2=4.980$, $p=0.026$), tumor recurrence ($\chi^2=12.848$, $p < 0.001$), white blood cell ($\chi^2=21.971$, $p < 0.001$), neutrophil count ($\chi^2=26.679$, $p < 0.001$), AST ($\chi^2=48.122$, $p < 0.001$), and alanine transaminase ($\chi^2=18.952$, $p < 0.001$). However, there were no significance associations of ANRI with age, sex, cirrhosis, HBsAg, tumor number, tumor differentiation, lymph node metastasis, vascular invasion, TNM stage, and CA19-9 (all $p > 0.05$) (Table 1).

4. Distribution of ANRI according to tumor size, recurrence, and lymph node metastasis

The results indicated that preoperative ANRI in ICC patients with a tumor size > 6 cm was markedly lower than those with a tumor size ≤ 6 cm ($p=0.003$) (Fig. 1B). This tendency also existed in ICC patients with tumor recurrence and lymph node metastasis, compared to those without tumor recurrence and lymph node metastasis ($p=0.003$ and $p=0.026$, respectively) (Fig. 1B).

Table 2. Univariate analyses for prognostic factors of patients with ICC (n=184)

Category	No.	OS		p-value	DFS		p-value
		1-Year (%)	3-Year (%)		1-Year (%)	3-Year (%)	
Age (yr)							
≤ 60	117	34.2	13.4	0.723	20.5	10.9	0.437
> 60	67	41.8	11.2		25.4	11.9	
Sex							
Female	85	47.1	15.6	0.014	30.6	14.6	0.035
Male	99	28.3	8.1		15.2	8.5	
Preoperative symptom							
No	51	41.2	16.7	0.464	27.5	10.5	0.575
Yes	133	35.3	10.1		20.3	11.4	
Cirrhosis							
No	138	39.1	12.6	0.303	23.9	11.7	0.268
Yes	46	30.4	8.6		17.4	9.9	
HBsAg							
Negative	133	36.8	13.1	0.916	23.3	11.9	0.465
Positive	51	37.3	9.5		19.6	9.8	
Child-Pugh class							
A	164	36.0	11.3	0.272	20.7	9.5	0.148
B	20	45.0	25.0		35.0	16.7	
No. of tumors							
Single	121	43.0	15.3	0.003	28.1	13.8	0.001
Multiple	63	25.4	5.5		11.1	6.3	
Tumor size (cm)^{a)}							
≤ 6	97	46.4	17.6	0.006	33.0	16.2	< 0.001
> 6	87	26.4	7.9		10.3	5.7	
Tumor differentiation							
Well+moderated	125	42.4	16.4	0.002	25.6	13.20	0.02
Poor	59	25.4	6.1		15.3	7.60	
Capsulation							
Noncapsulated	111	35.1	8.4	0.206	21.6	9.30	0.647
Capsulated	73	39.7	17.7		23.3	14.10	
Vascular invasion							
No	173	38.2	13.6	0.112	22.5	12.10	0.114
Yes	11	18.2	0.0		18.2	0.0	
TNM							
I+II	79	46.8	18.2	0.002	32.9	19.6	0.003
III+IV	105	29.5	6.1		14.3	5.3	
Resection margin							
R0	108	42.6	20.1	0.001	28.7	17.0	0.001
R1	76	28.9	0.0		13.2	2.60	
Lymph node metastasis							
No	111	43.2	17.7	0.003	29.7	15.5	0.011
Yes	73	27.4	3.9		11.0	5.1	
Biliary-intestinal anastomosis							
No	158	38.0	13.0	0.504	23.4	11.2	0.607
Yes	26	30.8	13.5		15.4	11.5	
Complication							
No	155	38.1	12.8	0.389	23.9	11.8	0.127
Yes	29	31.0	23.0		13.8	9.2	

(Continued to the next page)

Table 2. Continued

Category	No.	OS		p-value	DFS		p-value
		1-Year (%)	3-Year (%)		1-Year (%)	3-Year (%)	
Intraoperative blood loss (mL)^{a)}							
≤ 400	104	42.3	13.5	0.068	25.0	12.4	0.024
> 400	80	30.0	12.1		18.7	10.0	
WBC (×10⁹/L)							
≤ 10	148	39.2	13.9	0.214	24.3	11.9	0.088
> 10	36	27.8	10.0		13.9	8.3	
Neutrophil (×10⁹/L)^{a)}							
≤ 4.55	93	44.1	17.1	0.034	28.0	13.7	0.031
> 4.55	91	29.7	9.1		16.5	8.8	
Platelet (×10⁹/L)							
≤ 300	139	37.4	13.0	0.754	22.3	11.0	0.963
> 300	45	35.6	14.7		22.2	12.3	
AST (U/L)							
≤ 37	133	36.1	8.9	0.284	21.1	7.6	0.425
> 37	51	39.2	20.3		25.5	16.3	
ALT (U/L)							
≤ 80	158	36.7	9.8	0.083	20.3	8.5	0.092
> 80	26	38.5	34.6		34.6	26.9	
γ-GT (U/L)							
≤ 50	50	48.0	12.3	0.275	28.0	9.9	0.292
> 50	134	32.8	13.5		20.1	10.1	
AFP (μg/L)							
≤ 200	176	36.9	13.1	0.658	22.7	11.2	0.259
> 200	8	37.5	0.0		12.5	0.0	
CEA (μg/L)							
≤ 5	107	44.9	17.7	0.008	29.9	13.4	0.001
> 5	77	26.0	6.6		11.7	5.5	
CA19-9 (U/mL)							
≤ 35	65	43.1	12.0	0.324	24.6	8.3	0.554
> 35	119	33.6	13.9		21.0	11.2	
ANRI							
≤ 6.7	94	30.9	3.8	0.004	13.8	3.2	0.003
> 6.7	90	43.3	26.6		31.1	20.9	

ICC, intrahepatic cholangiocarcinoma; OS, overall survival; DFS, disease-free survival; HBsAg, hepatitis B surface antigen; WBC, white blood cell; AST, aspartate aminotransferase; ALT, alanine transaminase; γ-GT, γ-glutamyl transpeptidase; AFP, α-fetoprotein; CEA, carcinoembryonic antigen; CA19-9, carbohydrate antigen 19-9; ANRI, aspartate aminotransferase/neutrophil count ratio index. ^{a)}Medians were used for cut-off values.

5. Prognostic factors for ICC

In this study, the 1-year and 3-year OS rates of all patients were 37.0% and 13.0%, respectively. The 1-year and 3-year DFS rates were 22.3% and 11.3%, respectively. The median OS and DFS times were 10.0 and 4.0 months, respectively. Univariate analysis and the multivariate Cox's proportional hazard regression model analysis were performed to identify the

risk factors of prognosis. Univariate analysis revealed that preoperative ANRI (p=0.004), sex (p=0.014), tumor number (p=0.003), tumor size (p=0.006), tumor differentiation (p=0.002), TNM stage (p=0.002), resection margin (p=0.001), lymph node metastasis (p=0.003), neutrophil (p=0.034), and CEA (p=0.008) were prognostic factors for OS among the ICC cohort (Table 2). The preoperative ANRI (p=0.003), sex (p=0.035), tumor number (p=0.001), tumor size (p < 0.001),

Table 3. Multivariate analyses for independent prognostic factors of patients with ICC (n=184)

Category	OS		DFS	
	HR (95% CI)	p-value	HR (95% CI)	p-value
Tumor number (single vs. multiple)	1.473 (1.048-2.069)	0.026	-	-
Tumor size (≤ 6 cm vs. > 6 cm)	1.408 (1.013-1.959)	0.042	1.725 (1.251-2.380)	0.001
Tumor differentiation (W+M vs. P)	1.687 (1.202-2.366)	0.002	1.501 (1.078-2.090)	0.016
Resection margin (R0 vs. R1)	0.556 (0.401-0.770)	< 0.001	0.580 (0.421-0.800)	0.001
ANRI (> 6.7 vs. ≤ 6.7)	0.671 (0.482-0.934)	0.018	0.664 (0.483-0.911)	0.011

Variables with $p < 0.05$ calculated by Kaplan-Meier method (log-rank test) were used in multivariate analysis (Cox proportional hazards model, Backward stepwise). ICC, intrahepatic cholangiocarcinoma; OS, overall survival; DFS, disease-free survival; HR, hazard ratio; CI, confidence interval; W+M, well+moderated differentiation; P, poor differentiation; ANRI, aspartate aminotransferase/neutrophil count ratio index.

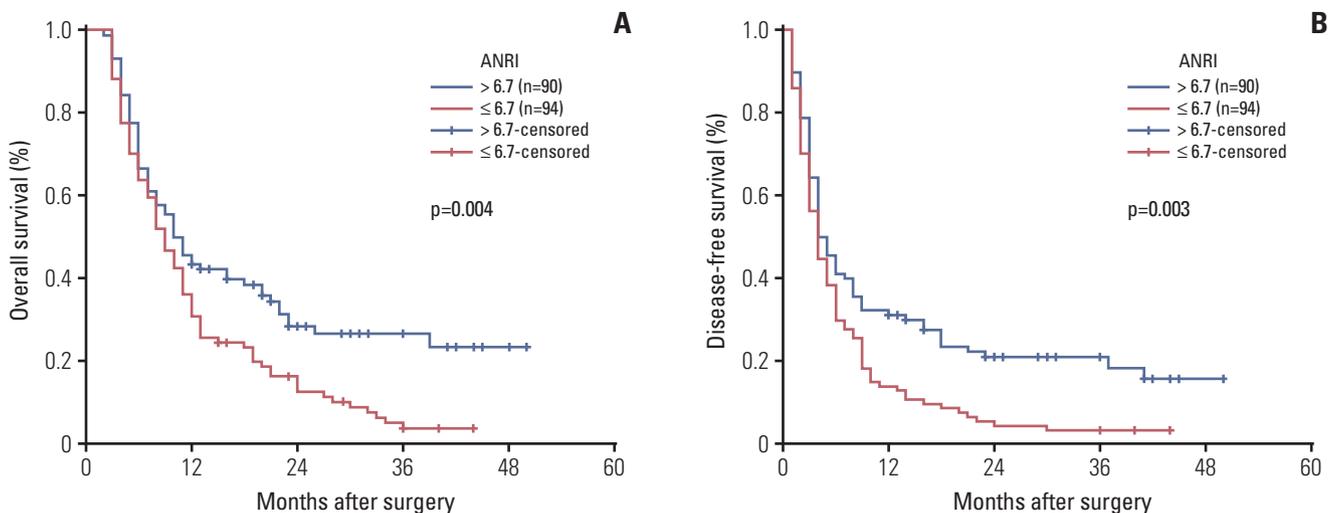


Fig. 2. Kaplan-Meier survival curves of overall survival (A) and disease-free survival (B) for intrahepatic cholangiocarcinoma patients with aspartate aminotransferase/neutrophil count ratio index (ANRI) > 6.7 and ANRI ≤ 6.7 in the entire cohort. p-values were obtained by log-rank tests.

tumor differentiation ($p=0.020$), TNM stage ($p=0.003$), resection margin ($p=0.001$), lymph node metastasis ($p=0.011$), intraoperative blood loss ($p=0.024$), neutrophil ($p=0.031$), and CEA ($p=0.001$) were prognostic predictors for DFS in patients with ICC after hepatectomy (Table 2). After multivariate analysis, the results indicated that ANRI, tumor number, tumor size, tumor differentiation, and resection margin were significant independent prognostic markers of OS (all $p < 0.05$), while ANRI, tumor size, differentiation, and resection margin were independent predictors of DFS (all $p < 0.05$) (Table 3).

6. OS and DFS according to ANRI

Kaplan-Meier method was performed to clarify the influence of preoperative ANRI on patient survival. The results indicated that the 1-year and 3-year OS rates of the ANRI ≤ 6.7 group were markedly lower than those of the ANRI > 6.7 group (30.9% and 3.8% vs. 43.3% and 26.6%, respectively, $p=0.004$) (Table 2, Fig. 2A), while the 1-year and 3-year DFS rates of the ANRI ≤ 6.7 group were also significantly lower than those of the ANRI > 6.7 group (13.8% and 3.2% vs. 31.1% and 20.9%, respectively, $p=0.003$) (Table 2, Fig. 2B). Therefore, a low preoperative ANRI level was correlated with a poor survival outcome in patients with ICC after hepatectomy.

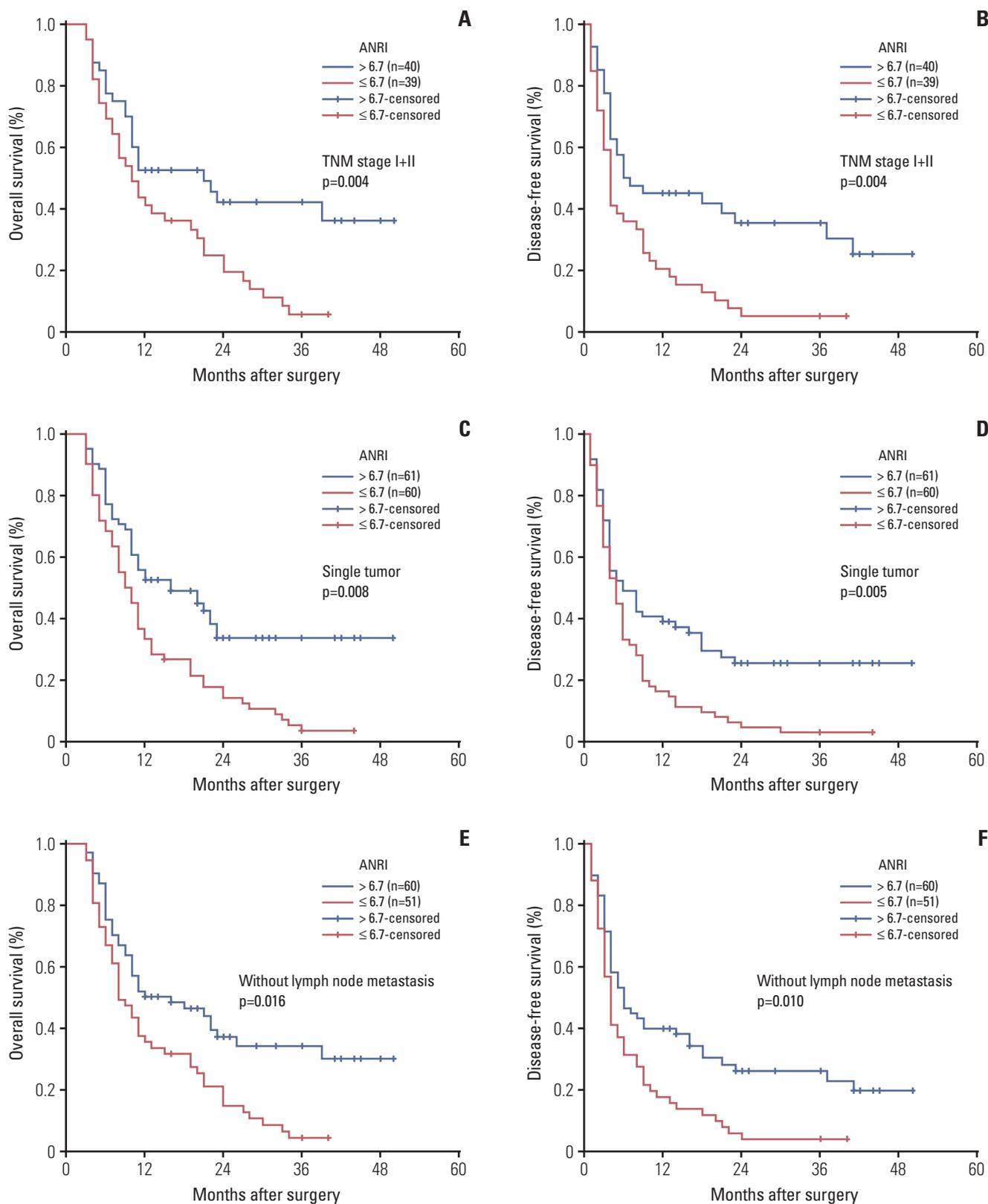


Fig. 3. Kaplan-Meier survival curves of patients with intrahepatic cholangiocarcinoma after hepatectomy stratified by TNM stage I+II (A, B), single tumor status (C, D), and without lymph node metastasis (E, F). p-values were obtained by log-rank tests. ANRI, aspartate aminotransferase/neutrophil count ratio index.

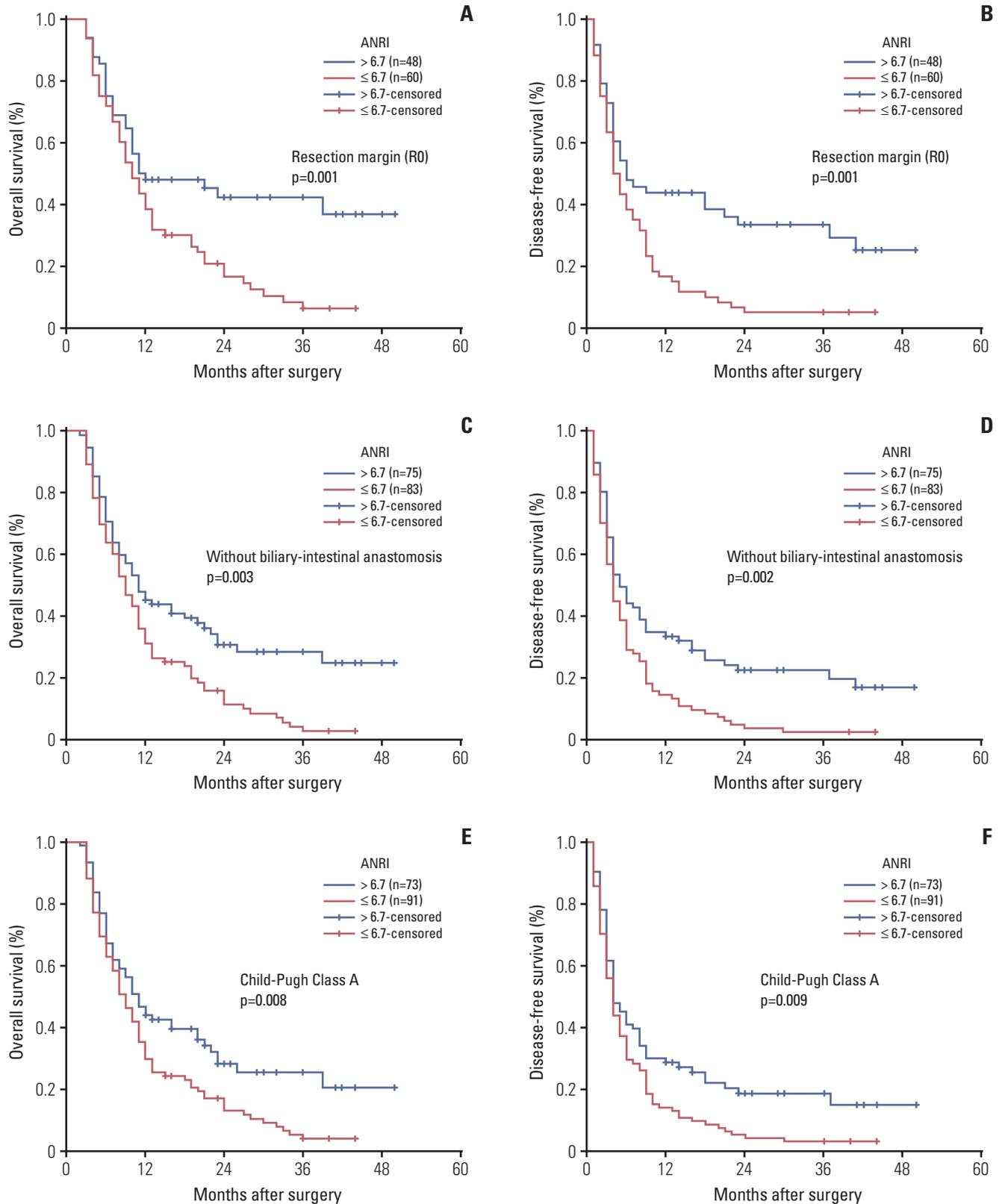


Fig. 4. Kaplan-Meier survival curves of patients with intrahepatic cholangiocarcinoma after hepatectomy stratified by R0 resection margin (A, B), without biliary-intestinal anastomosis (C, D), and Child-Pugh Class A (E, F). p-values were obtained by log-rank tests. ANRI, aspartate aminotransferase/ neutrophil count ratio index.

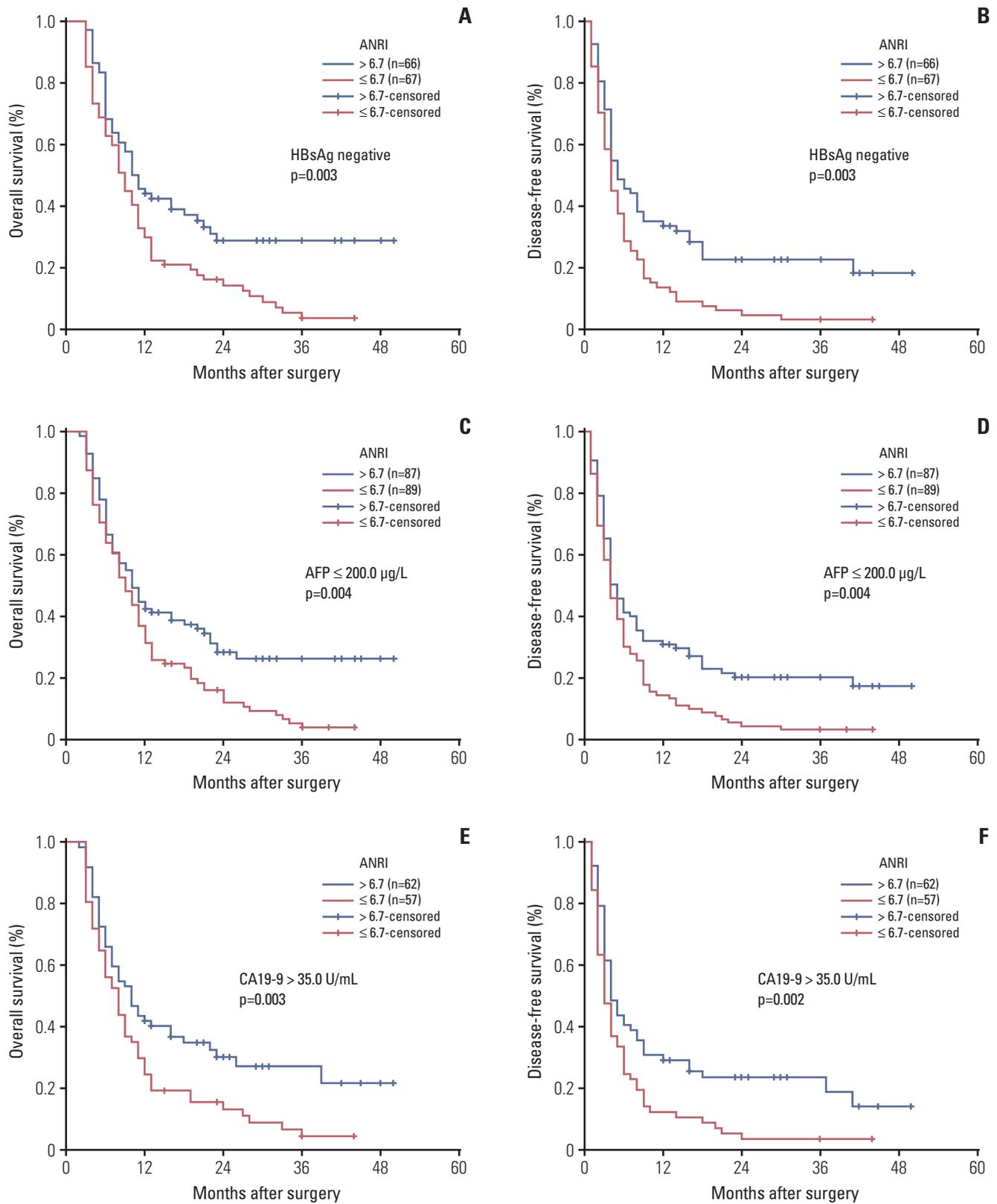


Fig. 5. Kaplan-Meier survival curves of patients with intrahepatic cholangiocarcinoma after hepatectomy stratified by serum hepatitis B surface antigen (HBsAg) negative (A, B), α -fetoprotein (AFP) $\leq 200.0 \mu\text{g/L}$ (C, D), and carbohydrate antigen 19-9 (CA19-9) $> 35.0 \text{ U/mL}$ (E, F). p-values were obtained by log-rank tests. ANRI, aspartate aminotransferase/neutrophil count ratio index.

7. Prognostic values of ANRI in various subgroups of ICC

The research above confirmed that preoperative ANRI ≤ 6.7 was significantly correlated with lower OS and DFS rates in patients with ICC. We further evaluated the prognostic significance of preoperative ANRI in various subgroups of ICC patients. The data showed that preoperative ANRI was a prognostic predictor for OS ($p=0.004$) and DFS ($p=0.004$) in ICC patients with TNM stage of I+II (Fig. 3A and B). This predictive value of declined preoperative ANRI for poorer OS and DFS also existed in the subgroups of single tumor, without lymph node metastasis, R0 resection margin, without biliary-intestinal anastomosis, Child-Pugh Class A, serum HBsAg negative, AFP ≤ 200.0 $\mu\text{g/L}$, and CA19-9 > 35.0 U/mL (all $p < 0.05$) (Figs. 3C-F, 4, 5). These results suggested that preoperative ANRI was a sensitive parameter to predict the survival of ICC patients after hepatic resection, especially in various subgroups of patients with ICC whose prognosis is difficult to estimate.

Discussion

Tumor recurrence or metastasis severely influenced the prognosis of ICC after hepatectomy, a simple and effective method to identify surgical patients at high risk of recurrence is of great value for clinical work. In this study, we find ANRI to be a reliable non-invasive prognostic biomarker for ICC patients.

Inflammation and inflammatory biomarkers play a central role in tumor progression, associating with tumor proliferation, survival and migration [11]. Neutrophils are a critical component of the systemic inflammatory response and several studies have verified that the neutrophil count or neutrophil-lymphocyte ratio index were predictors of prognosis in various malignancies [7,12,13]. In the present study, we found that preoperative declined ANRI (≤ 6.7) showed earlier recurrence and poorer OS in ICC patients after hepatic resection. Additionally, our data suggested that ANRI was negatively related to tumor size and recurrence. These results indicated that ANRI could reflect not only the hepatocyte injury but also the tumor burden and disease development. Both univariate analysis and multivariate analysis showed that tumor size > 6 cm, poor differentiation, R1 resection margin and declined ANRI were independent risk factors for both poor OS and DFS of ICC patients after hepatectomy.

The results of further analysis showed that preoperative ANRI had a significant predictive value for both OS and DFS in ICC patients with TNM stage I+II, meaning that ANRI could predict recurrence of early ICC. As one of the risk fac-

tors of ICC, chronic hepatitis B virus infection impacted prognosis of ICC following resection [14]. It is usually more difficult to estimate the survival of serum HBsAg-negative patients. However, the data in this study indicated that ANRI shows its markedly prognostic value in those ICC patients. Furthermore, in subgroups with a single tumor, without lymph node metastasis, a R0 resection margin, without biliary-intestinal anastomosis, Child-Pugh Class A, an AFP ≤ 200.0 $\mu\text{g/L}$ and an elevated CA19-9 level, preoperative ANRI ≤ 6.7 also shows its significance in predicting poorer OS and DFS.

These results showed that preoperative ANRI is a potential efficient prognostic indicator of survival in patients with ICC after hepatectomy. However, the exact reason for the association between declined preoperative ANRI and poor prognosis is not well understood. Our study showed that preoperative neutrophil count was markedly negatively correlated with ANRI, which means that patients with a lower ANRI have relative neutrophilia. The critical role of neutrophil in systemic inflammation and tumor progression might explain part of this phenomenon. Neutrophils were reported to facilitate tumor cell invasion and migration by secreting immune-reactive substances such as hepatocyte growth factor [15] and neutrophil elastase [16]. In addition, neutrophils can affect the prognosis of malignancy by directly down-regulating the cellular immunity function of the host [17]. ICC cells may recruit more neutrophils to the tumor foci by expressing higher levels of chemokine ligand 5, which amplifies the inflammatory response and promotes ICC metastasis and recurrence [18]. Furthermore, increased neutrophils are regarded as an important composition of compartments for circulating vascular endothelial growth factor (VEGF) [19]. Patients with lower ANRI have relative neutrophilia and may have a higher level of circulating VEGF. It has been reported that autocrine VEGF/vascular endothelial growth factor receptor 2 signaling promotes growth of ICC cells, and apatinib inhibits anti-apoptotic cell proliferation by suppressing the autocrine VEGF signaling [20]. Therefore, apatinib may be considered as a candidate in the treatment of ICC patients with lower preoperative ANRI.

There are some limitations in the present study. First, it is a retrospective study of a single center and the sample size is relatively small. Second, our data is mainly based on ICC patients with predominant hepatitis B virus infection and hepatolithiasis in China. Therefore, the results for application in Western populations with predominant primary sclerosing cholangitis [21] or hepatitis C virus infection should be further studied.

In conclusion, our study showed that preoperative declined ANRI is a novel independent indicator for poor prognosis of ICC patients after hepatectomy. ICC patients with preoperative declined ANRI might benefit from close

follow up and prophylactic personalized therapy.

Conflicts of Interest

Conflict of interest relevant to this article was not reported.

Acknowledgments

This study was supported by the Research Fund of the Young Teacher Culture Program (Grant number: 15ykpy15) and the Natural Science Foundation of Guangdong Province (Grant number: 2014A030313108).

References

- Moeini A, Sia D, Bardeesy N, Mazzaferro V, Llovet JM. Molecular pathogenesis and targeted therapies for intrahepatic cholangiocarcinoma. *Clin Cancer Res.* 2016;22:291-300.
- Bridgewater J, Galle PR, Khan SA, Llovet JM, Park JW, Patel T, et al. Guidelines for the diagnosis and management of intrahepatic cholangiocarcinoma. *J Hepatol.* 2014;60:1268-89.
- Jutric Z, Johnston WC, Hoen HM, Newell PH, Cassera MA, Hammill CW, et al. Impact of lymph node status in patients with intrahepatic cholangiocarcinoma treated by major hepatectomy: a review of the National Cancer Database. *HPB (Oxford).* 2016;18:79-87.
- Spolverato G, Kim Y, Alexandrescu S, Marques HP, Lamelas J, Aldrighetti L, et al. Management and outcomes of patients with recurrent intrahepatic cholangiocarcinoma following previous curative-intent surgical resection. *Ann Surg Oncol.* 2016;23:235-43.
- Poultides GA, Zhu AX, Choti MA, Pawlik TM. Intrahepatic cholangiocarcinoma. *Surg Clin North Am.* 2010;90:817-37.
- Kim HC, Nam CM, Jee SH, Han KH, Oh DK, Suh I. Normal serum aminotransferase concentration and risk of mortality from liver diseases: prospective cohort study. *BMJ.* 2004;328:983.
- Fu SJ, Shen SL, Li SQ, Hua YP, Hu WJ, Liang LJ, et al. Prognostic value of preoperative peripheral neutrophil-to-lymphocyte ratio in patients with HBV-associated hepatocellular carcinoma after radical hepatectomy. *Med Oncol.* 2013;30:721.
- Jin J, Zhu P, Liao Y, Li J, Liao W, He S. Elevated preoperative aspartate aminotransferase to lymphocyte ratio index as an independent prognostic factor for patients with hepatocellular carcinoma after hepatic resection. *Oncotarget.* 2015;6:19217-27.
- Ji F, Fu S, Guo Z, Pang H, Chen D, Wang X, et al. Prognostic significance of preoperative aspartate aminotransferase to neutrophil ratio index in patients with hepatocellular carcinoma after hepatic resection. *Oncotarget.* 2016;7:72276-89.
- Bosman FT, Carneiro F, Hruban RH, Theise ND. WHO classification of tumours of the digestive system. 4th ed. Lyon: IARC Press; 2010.
- Mantovani A, Allavena P, Sica A, Balkwill F. Cancer-related inflammation. *Nature.* 2008;454:436-44.
- Nakashima H, Matsuoka Y, Yoshida R, Nagata M, Hirose A, Kawahara K, et al. Pre-treatment neutrophil to lymphocyte ratio predicts the chemoradiotherapy outcome and survival in patients with oral squamous cell carcinoma: a retrospective study. *BMC Cancer.* 2016;16:41.
- Park HS, Lee HS, Park JS, Park JS, Lee DK, Lee SJ, et al. Prognostic scoring index for patients with metastatic pancreatic adenocarcinoma. *Cancer Res Treat.* 2016;48:1253-63.
- Zhang L, Cai JQ, Zhao JJ, Bi XY, Tan XG, Yan T, et al. Impact of hepatitis B virus infection on outcome following resection for intrahepatic cholangiocarcinoma. *J Surg Oncol.* 2010;101:233-8.
- Wislez M, Rabbe N, Marchal J, Milleron B, Crestani B, Mayaud C, et al. Hepatocyte growth factor production by neutrophils infiltrating bronchioloalveolar subtype pulmonary adenocarcinoma: role in tumor progression and death. *Cancer Res.* 2003;63:1405-12.
- Houghton AM, Rzymkiewicz DM, Ji H, Gregory AD, Egea EE, Metz HE, et al. Neutrophil elastase-mediated degradation of IRS-1 accelerates lung tumor growth. *Nat Med.* 2010;16:219-23.
- Teramukai S, Kitano T, Kishida Y, Kawahara M, Kubota K, Komuta K, et al. Pretreatment neutrophil count as an independent prognostic factor in advanced non-small-cell lung cancer: an analysis of Japan Multinational Trial Organisation LC00-03. *Eur J Cancer.* 2009;45:1950-8.
- Zhou SL, Dai Z, Zhou ZJ, Chen Q, Wang Z, Xiao YS, et al. CXCL5 contributes to tumor metastasis and recurrence of intrahepatic cholangiocarcinoma by recruiting infiltrative intratumoral neutrophils. *Carcinogenesis.* 2014;35:597-605.
- Kusumanto YH, Dam WA, Hospers GA, Meijer C, Mulder NH. Platelets and granulocytes, in particular the neutrophils, form important compartments for circulating vascular endothelial growth factor. *Angiogenesis.* 2003;6:283-7.
- Peng H, Zhang Q, Li J, Zhang N, Hua Y, Xu L, et al. Apatinib inhibits VEGF signaling and promotes apoptosis in intrahepatic cholangiocarcinoma. *Oncotarget.* 2016;7:17220-9.
- Khan SA, Thomas HC, Davidson BR, Taylor-Robinson SD. Cholangiocarcinoma. *Lancet.* 2005;366:1303-14.