Epidemiology of Heart Failure in Korea: Present and Future

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Introduction

HF is the leading cause of morbidity, mortality, and healthcare costs around the world. The number of HF patients is increasing worldwide, and its prevalence is expected to rise more steeply as the population ages. On the basis of data from the National Health and Nutrition Examination Survey (NHANES) 2009-2012, about 5.7 million (2.2%) people over the age of 20 years have HF and more than 550,000 people are newly diagnosed with HF every year in the USA.¹ By 2030, the prevalence of HF will increase to 46%, affecting more than 8 million people, and total medical costs for HF patients are expected to increase from $20.9 billion to $53.1 billion.² In Japan, it is estimated that 1.0 million individuals have HF, and the number of Japanese outpatients with left ventricular (LV) dysfunction is predicted to gradually increase from 979,000 in 2005 to 1.3 million by 2030.³,⁴ Therefore, we assume that the prevalence of HF in Korea is also increasing because of the westernization of lifestyle, rapid aging of
the population, and the increased number of survivors of serious cardiovascular illness due to recent advances in medical and surgical treatment.\(^5\)

However, there are limited studies on the prevalence or comorbidities of HF in Korea. Although there are several studies in developing countries, data are limited to the East and South Asian countries, and the majority of these are not nationwide studies.\(^6\)\(^\)\(^7\)\(^\)\(^\)

The present study aimed to estimate the prevalence of HF, its comorbidities, and the projected population with HF using National Health Insurance Service (NHIS) sample cohort data based on the nationwide database of Korea from 2002 to 2013.

Materials and Methods

Study population

Data from the National Sample Cohort (NSC) based on the National Health Information Database was used. Korea has an obligatory public health insurance system, termed of the NHIS with which more than 97% of people are affiliated. The NSC was constructed by the NHIS in 2011 and opened to the public in July 2014 (http://nhiss.nhis.go.kr). The NSC database consists of approximately 1 million medical insurance subscribers, accounting for about 2% of the entire nation. Data were produced by the NHIS using a systematic sampling method to generate a representative sample from all 46605422 Korean residents in 2002. This database includes all medical claim files from January 2002 to December 2013. Each database includes diagnostic codes, procedures, prescription drugs, personal information about the patient, information about the hospital, the direct medical costs of both inpatient and outpatient care, and dental services. The NHIS uses the Korean Classification of Disease-6 (KCD-6), which is a similar system to the International Classification of Diseases-10 (ICD-10) (Table 1).

Table 1. Contents of the NSC database (DB) and variables

<table>
<thead>
<tr>
<th>Database</th>
<th>Variables</th>
</tr>
</thead>
<tbody>
<tr>
<td>Qualified DB</td>
<td>Socio-economic variables such as gender, region, subscribers, income level</td>
</tr>
<tr>
<td>Medical check-up DB</td>
<td>Medical expenses, diagnostic records using KCD-6 version, medical care, and prescription</td>
</tr>
<tr>
<td>Health check-up DB</td>
<td>Lifestyle and past history of diseases by questionnaire and results of health check-up including anthropometric measurements and biochemical tests</td>
</tr>
</tbody>
</table>

NSC: National Sample Cohort, DB: database, KCD-6: Korean Classification of Disease-6

Definition of HF and its comorbidities

HF patients were defined as those with "heart failure" according to the disease code in the main code and the sub-code in the claims data. HF patients who were assigned the following KCD-6 disease codes were considered as: “hypertensive heart disease with (congestive) HF” (I11.0), “hypertensive heart and renal disease with (congestive) HF” (I13.0), “hypertensive heart and renal disease with both (congestive) HF and renal failure” (I13.2), and “HF” (I50) including "congestive HF” (I50.0), "LV failure” (I50.1), and “HF and unspecified” (I50.9). Five comorbidities were defined, namely, hypertension, ischemic heart disease (IHD), diabetes mellitus (DM), arrhythmia, valvular disease, cardiomyopathy, and others, which are closely related to HF (Supplementary Table 1).\(^6\)\(^7\)

Projections of HF prevalence

The prevalence of HF was calculated by multiplying the estimated prevalence in 2013 by the projected population counts for 2015-2040. The projected population by sex and age between 2015 and 2040 in Korea was obtained from the Population Projections for Korea: 2010–2060. Statistics Korea estimated the projected population by the cohort component method, which reflects changes in the rates of births, deaths, and migration in the 2013 census\(^8\) to predict the increase in the population by sex and age.

Results

HF prevalence

The prevalence of HF by sex is shown in Fig. 1. The prevalence of HF in Korea increased approximately two times (from 0.75% in 2002 to 1.53% in 2013), and it was higher in women than in men.

![Fig. 1. Prevalence of heart failure according to sex in 2002–2013.](http://dx.doi.org/10.4070/kcj.2016.46.5.658)
The prevalence increased substantially in both sexes from 2002 to 2013; it was 0.54% and 0.96% in 2002, and 1.34% and 1.72% in 2013 for men and women, respectively.

Age is an important risk factor for HF and the prevalence of HF and LV dysfunction increases with age. In 2013, the prevalence of HF was 12.6% in subjects aged 80 years or older, while it was 1.0% and 5.5% in those aged 40-59 years and 60-79 years, respectively (Fig. 2). The prevalence of HF in subjects aged 80 years or older was approximately 8-fold higher than the overall prevalence of HF (1.53%). The prevalence of HF in females was higher than in males among subjects aged 60 or older.

Comorbidities

Fig. 3 illustrates the comorbidities of HF patients in the NHIS (2003 vs. 2013). The investigated comorbid diseases were IHD, hypertension, DM, arrhythmia, valvular disease, cardiomyopathy, and others. Others included cardiopulmonary disease, chronic obstructive pulmonary disease and myocarditis. IHD, Hypertension and DM were common in HF patients (36.3%, 46.2%, and 33.4% in 2003; 45.4%, 43.6%, and 49.1% in 2013, respectively). The prevalence rates of IHD and DM were markedly higher in 2013 than in 2003 in both men and women.

IHD was more common in men than in women. Arrhythmia was observed in 22% of the patients. Valvular disease was observed in 5.6% of total HF patients and higher in women than in men in 2013. The prevalence of valvular disease was markedly decreased in men during the last decade (from 7.6% to 4.6%). Approximately 3.1% of HF patients had cardiomyopathy.

The prevalence rates of comorbid diseases according to age and sex are shown in Fig. 4. The prevalence of IHD increased with age; it was 23.0% in subjects younger than 40 years, 39.7% in subjects aged 40-59 years, 49.4% in subjects aged 60-79 years, and 44.2% in subjects aged 80 years or older. Among the comorbidities, hypertension showed high prevalence in most age categories. The prevalence of DM was 27.2% in subjects younger than 40 years, 43.6% in subjects aged 40-59 years, 53.6% in subjects aged 60-79 years, and 46.4% in subjects aged 80 years or older. The prevalence rates of IHD and DM in HF patients were higher in men than in women in all age categories. The prevalence of arrhythmia was relatively high compared to other diseases in subjects younger than 40 years. The prevalence of valvular disease was higher in women.
than in men in most age categories, except for among subjects younger than 40 years. The prevalence of cardiomyopathy tended to decrease with age both in men and women.

**Projections of HF prevalence**

The projected numbers of people in Korea with HF from 2015 to 2040 for different age groups are shown in Table 2. By 2020, the prevalence of HF is expected to be 1.89% and more than 970000

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**Fig. 4.** Prevalence of comorbid diseases according to age and sex in NHIS (2013). (A) Ischemic heart disease, (B) Hypertension, (C) Diabetes mellitus (DM), (D) Arrhythmia, (E) Valvular heart diseases and (F) Cardiomyopathy.
people are expected to have HF. By 2040, more than 1.7 million Koreans are expected to have HF, of whom 628000 are expected to be older than 80 years (>35% of all HF patients). The prevalence of HF in Korea is expected to increase by 2-fold (3.35%) by 2040.

Discussion

The prevalence of HF in the population of Korea increased from 0.75% (370000 people) in 2002 to 1.53% (755000 people) in 2013. The main reason for the increasing prevalence of HF is the rapid aging of the Korean population. The elderly population growth rate in Korea is the highest among countries that are members of the Organization for Economic Cooperation and Development. The prevalence of HF among people aged 60 or older in 2013 was high (7.6%) in our study. By 2040, >1.7 million (3.35%) Koreans will have HF, of whom 1551000 will be aged ≥60 years (>90% of all HF patients). The other reasons for the increased prevalence of HF are that the number of IHD patients has increased due to the accelerated westernization of lifestyle in Korean people, and the number of survivors of an acute coronary event has dramatically increased due to progress in treatment. Recently, similar trends toward ischemia as a cause of HF were observed in Asia, including China and Japan.\(^1\)\(^3\)

The prevalence of HF was lower in Korea (1.53%) than in the USA (2.2%).\(^1\)\(^5\) We speculate that this is for two reasons. First, IHD is the predominant cause of HF in Western high-income nations, whereas the prevalence of IHD is relatively low in Asia.\(^10\) The prevalence of IHD among total HF patients in Korea was 45.4%, which is considerably lower than in Western studies (50-57%).\(^10\)\(^11\)\(^12\) Second, the prevalence of HF in the USA was based on data from health interviews of the NHANES, whereas our study used NHIS sample cohort data based on KCD-6 disease codes in Korea. Our data relied on hospital dismissal codes and may underestimate the true burden of HF by “discounting” all cases ever diagnosed with IHD, hypertension, or other disorders. There are only several reports regarding the prevalence of HF in Asia. A survey of the adult population (aged ≥35 years) of Xinjiang, China (n=8459) reported that the prevalence of congestive HF was 1.26%.\(^13\) A single center-based study in Malaysia reported that the prevalence of HF among acute medical admissions period was 6.7%.\(^14\) Since these aforementioned Asian studies were hospital-based, the prevalence of HF in Korea and other Asian countries cannot be accurately compared.\(^6\)

Being of the male sex is a classic demographic risk factor for the development of HF, along with older age, ethnicity, and low socioeconomic state.\(^15\)\(^16\) However, the prevalence of HF was higher in women than in men in our study (1.72% vs. 1.34%, Fig. 1). Our estimates of HF prevalence in Korea differ from those published in the Heart Disease and Stroke Statistics-2015 Update, which reported a higher prevalence of HF in men than in women in the USA.\(^1\)\(^17\) Although the reason for this difference is uncertain, we have several suggestions. First, the omission of “HF” diagnostic codes for HF patients with IHD that was more prevalent in men, which would have led to the exclusion of more men than women. Second, patients with HF and a preserved ejection fraction (HFpEF) are more likely to be female and to have more comorbidities.\(^16\)\(^17\) It is cautiously forecasted that the prevalence of HFpEF increases with age and that increased access to echocardiography contributed to an increase in the diagnosis of HFpEF.\(^18\)

We investigated the prevalence of comorbid diseases associated with HF. In our study, the prevalence rates of patients with a history of IHD, hypertension, and DM were high (45.4% and 43.6%, and 49.1%, respectively), and arrhythmia was observed in approximately 22% of HF patients. The prevalence of IHD has increased markedly during the last decade (36.3% vs. 45.4%). The prevalence of most comorbidities increased with age except for cardiomyopathy. The prevalence of cardiomyopathy was higher in young people than in elderly people.

IHD is thought to be the most important risk factor for HF. In the Korean Heart Failure (KorHF) study, 52.3% of patients had a history of IHD.\(^19\) Additionally, 38% of HF patients were reported to
have IHD in the Korean Acute Heart Failure (KorAHF) study.\(^2\) This discrepancy may be due to differences in the enrolled patients. The KorHF study recruited subjects hospitalized at university hospitals and the KorAHF study enrolled acute HF syndrome patients who were admitted to hospitals. In our study, the prevalence of IHD (45.4\%) in HF patients was considerably lower than that reported in Western studies (50–57\%).\(^{10–12}\) In Japan, coronary artery disease has the highest prevalence; its incidence ranges from 32.0\% to 53.1\% among those who experience HF.\(^3\)

In comparison to IHD, hypertension is associated with a smaller relative risk of developing HF, but contributes more to the overall population burden of HF because it has a higher prevalence.\(^2\) The prevalence of hypertension as a comorbidity of HF patients was lower in Korea (43.6\%) than in other studies, such as in the Acute Decompensated Heart Failure Syndromes (ATTEND) registry (71\%),\(^2\) the Organized Program to Initiate Lifesaving Treatment in Hospitalized Patients with Heart Failure (OPTIMIZE-HF) (71\%),\(^2\) and the Euro-Heart Failure Survey II (EHFSII) (63\%).\(^2\) In Japan, the prevalence of hypertension varied widely, ranging from 39.2\% to 77.6\%.\(^{5,22,23}\) In the KorHF study, 46.5\% of patients had a history of hypertension.\(^3\) The reason for the low prevalence of hypertension in HF patients in Korea should be investigated in future studies.

DM, obesity, valvular disease, cigarette smoking, and racial differences are other risk factors for HF. DM is becoming increasingly recognized as being responsible for HF, while hypertension and valvular disease have become less prevalent causes of HF because of improvements in detection and therapy.\(^2\) Over four decades of observation in the Framingham Study, the prevalence of DM as a contributing cause increased by more than 20\% per decade.\(^3\)

The prevalence of IHD and DM in HF patients is also expected to increase due to the acceleration in the adoption of Western lifestyles and the development of treatments in Korea. By contrast, the prevalence of valvular disease is expected to decrease in Korea.

HF is an important healthcare issue because of its medical costs. This issue will become increasingly important as the population ages. Total medical costs for HF in the USA are expected to increase from $20.9 billion in 2012 to $53.1 billion in 2030, a 2.5-fold increase. The total cost of HF (direct and indirect costs) is expected to increase from $30.7 billion in 2012 to $69.8 billion in 2030.\(^2\) According to our analysis, the projected prevalence of HF in Korea is also expected to markedly increase because of its aging population. Therefore, the cost of caring for HF patients care in Korea is expected to greatly increase and will remain a significant concern and economic burden for our healthcare system. We think the best solution is prevention of HF. This requires early detection and the treatment of predisposing conditions and of high-risk patients. A further study that projects the cost of HF patient health care is needed to predict the economic burden on the Korean healthcare system and to devise a strategy for the prevention and treatment of HF.

This study has several limitations. First, we estimated the prevalence and comorbidities of HF by using the NSC database, which is based on KCD-6 disease codes in Korea, and the accuracy of diagnosis was not validated in this study. Further studies to validate HF patients with health insurance data using either hospital-based medical records or prospective studies are required. The true burden of HF may have been underestimated due to the omission of HF patients who were assigned diagnostic codes for IHD, hypertension, or others. Due to the characteristics of the claim data, it was not possible to discriminate etiologic diseases from simple comorbid disorders. Therefore, we had to present the prevalence of the representative diseases as comorbidities (IHD, hypertension, DM, arrhythmia, valvular disease, cardiomyopathy, and others) of HF. To identify the main causative disease, a prospective study involving the detailed investigation of baseline characteristics, including obesity, cigarette smoking, and others, will be required. Studies such as the KorHF study will be helpful in this regard. To get more information to aid in HF management and prevention, a nationwide registry containing accurate data concerning the prevalence, etiology, comorbidities, mortality, and associated healthcare costs of HF is needed.

In conclusion, our study shows an increase in the prevalence of HF and its comorbidities during the last decade and provides basic information that may be useful for the prevention and management of HF in Korea.

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Supplementary Materials

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References