



# Clinical Features and Prognosis of Resectable Primary Colorectal Signet-Ring Cell Carcinoma

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**Background/Aims:** We attempted to investigate the prognosis of signet-ring cell carcinoma (SRC) patients who underwent curative surgery by comparing them with age-, sex-, and stage-matched non-mucinous adenocarcinoma (NMAC) patients. **Methods:** Between January 2003 and December 2011, 19 patients with primary SRC of the colorectum underwent curative surgery. Four SRC patients under the age of 40 were excluded, and the clinicopathological data of 15 patients (7 men; median age, 56 years) were reviewed and compared with the data of 75 NMAC patients matched by age, sex, and pathologic stage. **Results:** The median follow-up duration was 30.1 months for the SRC group and 43.7 months for the NMAC group ( $P=0.141$ ). Involvement of the left side of the colon (73.3% vs. 26.7%,  $P=0.003$ ) and infiltrative lesions such as Borrmann types 3 and 4 (85.7% vs. 24.0%,  $P=0.001$ ) were more common in the SRC group than in the NMAC group. The five-year overall survival rate was significantly lower for patients with SRC than for those with NMAC (46.0% vs. 88.7%, hazard ratio, 6.99; 95% confidence interval, 2.33–20.95,  $P=0.001$ ). **Conclusions:** Patients with even resectable primary colorectal SRC had a poorer prognosis than age-, sex-, and stage-matched colorectal NMAC patients. (**Intest Res 2015;13:332-338**)

**Key Words:** Colorectal neoplasms; Adenocarcinoma; Carcinoma, signet-ring cell; General surgery; Survival

## INTRODUCTION

Colorectal cancer (CRC) is the third most common cancer and the third leading cause of cancer-related death in Western countries.<sup>1</sup> As the incidence of and mortality due to CRC are also increasing in Asian countries,<sup>2,3</sup> CRC is an important

worldwide public health issue. Primary signet-ring cell carcinoma (SRC) of the colon and rectum is a rare and distinctive type of cancer. Because SRC patients tend to have a more advanced and unresectable stage at the time of their diagnosis compared with colorectal, non-mucinous adenocarcinoma (NMAC) patients, the prognosis of SRC is generally poor.<sup>4-8</sup> This poor prognosis may be associated with the lower curative resection rate of this cancer type and a higher incidence of an advanced stage at the time of detection, rather than with the actual tumor histology. A comparative analysis confined to SRC and NMAC tumors at the “resectable” stage can provide more accurate information regarding the prognosis of SRC by excluding the influence of possible unresectability. Therefore, we attempted to investigate the prognosis of SRC patients who underwent curative surgery by comparing them with age-, sex-, and stage-matched NMAC patients.

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**METHODS**

**1. Patients**

The medical records of all patients who were diagnosed with primary SRC of the colorectum at Asan Medical Center between January 2003 and December 2011 were retrospectively reviewed. To accurately define the SRC, all surgical specimens with the possibility of a diagnosis of SRC were additionally reviewed by two pathologists (S.A.K. and Y.S.P.). We excluded patients with only mucinous tumor components. Among the 40 patients identified as meeting our criteria, 19 underwent curative surgery. We excluded 4 SRC patients under the age of 40 as there were very few NMAC cases for comparison. Age- ( $\pm 3$  years), sex-, and stage-matched controls were randomly recruited from NMAC patients whose calendar-year of surgery was similar to SRC patients ( $\pm 4$  years). Patients with tumors with any mucinous component and patients with risk factors including colorectal polyposis syndrome and IBD were excluded from the control group. Finally, the clinicopathological data of 15 patients were reviewed and compared with the data of 75

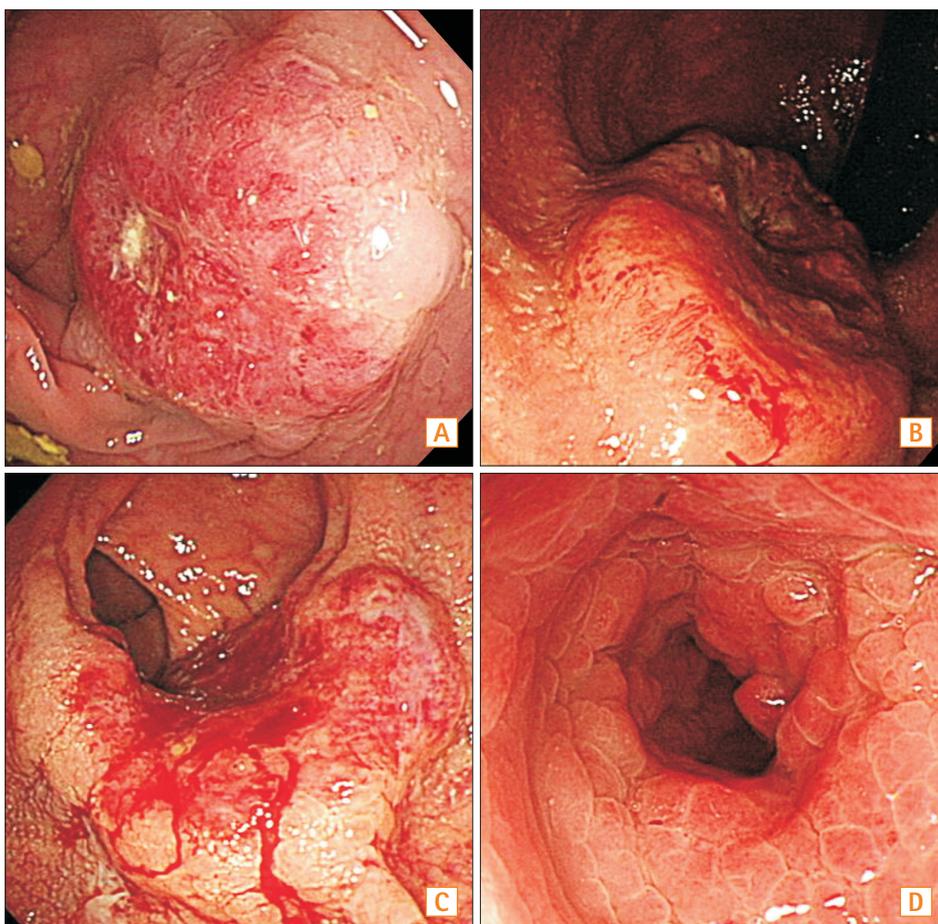
NMAC patients matched by age, sex, and pathologic stage.

**2. Clinical and Pathological Features**

Patients' demographic, tumor, and treatment-related characteristics were then evaluated by comparing their tumor histology and location. Patient-related factors included sex, age, and the year of their diagnosis. Tumor characteristics included gross features (Fig. 1), location, stage (American Joint Committee on Cancer [AJCC] Staging Manual, 7th edition), and grade. The right colon was defined as the splenic flexure and the more proximal portions of the colon. The left colon was defined as the rectum, sigmoid colon, and descending colon up to but not including the splenic flexure. Intraoperative and clinical follow-up data were reviewed. The Institutional Review Board of Asan Medical Center approved this retrospective study (IRB no.: 2014-0550).

**3. Statistical Analysis**

Continuous variables are expressed as medians with ranges; discrete data are tabulated as numbers and percentages.



**Fig. 1.** Borrmann classification of colorectal cancer. (A) A case of Borrmann type I (polypoid type) non-mucinous adenocarcinoma; (B) A case of Borrmann type II (ulcerofungating type) signet-ring cell carcinoma (SRC); (C) A case of Borrmann type III (ulceroinfiltrative type) SRC; and (D) A case of Borrmann type IV (infiltrative type) SRC.

Univariate analysis was performed using conditional logistic regression modeling in order to account for the clustering of matched pairs. In the matched cohort, the risk of each outcome was compared using the Cox regression model with robust standard errors, which accounted for the clustering of matched pairs. SAS software (version 9.1; SAS Institute, Inc., Cary, NC, USA) was used to perform all analyses. In this study, *P*-values <0.05 were considered statistically significant.

## RESULTS

### 1. Characteristics of the Study Population

This study included a total of 90 study subjects, 15 in the SRC group and 75 in the NMAC control group. The mean patient age at the time of surgery was 56.0 years ( $\pm 9.5$ ) and 55.8 years ( $\pm 9.8$ ) for the study and control groups, respectively. Abdominal pain was the most common presenting symptom in both groups, although it occurred in a higher proportion of the SRC group than in the NMAC group. Twenty-eight percent of the patients in the NMAC group were asymptomatic and were diagnosed during screening colonoscopy, while there were no asymptomatic patients in the SRC group. There were no significant differences be-

tween the patient groups regarding sex and the preoperative carcinoembryonic antigen levels (Table 1).

### 2. Tumor Location and Endoscopic Findings

Involvement of the left colon was significantly more frequent in the SRC group than in the NMAC group (73.3% vs. 26.7%, *P*=0.003). Synchronous adenoma was more commonly found in the NMAC group (49.3% vs. 20.0%, *P*=0.045). Infiltrative tumors (Borrmann types 3 and 4) were found significantly more often in the SRC group than in the NMAC group (85.7% vs. 24.0%, *P*=0.001). Of the 15 SRC patients, 6 (42.9%) had endoscopic stenosis, compared with 36 (48.0%) of the 75 NMAC patients (*P*=0.690).

### 3. Tumor, Nodes, Metastasis (TNM) Classification and Stage

The tumor depth in all patients with SRC was T2 or greater (T2 in 1 patient, T3 in 9 patients, T4a in 1 patient, and T4b in 2 patients). Lymph node involvement occurred in 80% of the patients in both groups. In both groups, 6.7% of the patients were diagnosed at stage I, 13.3% at stage II, and 80.0% at stage III.

**Table 1.** Clinical Characteristics of Patients and Controls

Variable	SRC group (n=15)	NMAC group (n=75)	<i>P</i> -value*
Presenting symptoms			0.090
Abdominal pain	9 (60.0)	32 (42.7)	
Hematochezia	5 (33.3)	13 (17.3)	
Obstructive symptoms <sup>†</sup>	7 (46.7)	9 (12.0)	
None	0 (0.0)	21 (28.0)	
CEA (ng/mL), median (range)	3.2 (1.5–9.4)	1.9 (1.2–3.2)	0.086
Location			
Right colon/Left colon	4 (27.7)/11 (73.3)	55 (73.3)/20 (26.7)	0.003
Synchronous adenoma	3 (20.0)	37 (49.3)	0.045
Endoscopic feature			0.001
Early colon cancer	0 (0.0)	1 (1.3)	
Borrmann type 1	1 (7.1)	6 (8.0)	
Borrmann type 2	1 (7.1)	50 (66.7)	
Borrmann type 3	6 (42.9)	17 (22.7)	
Borrmann type 4	6 (42.9)	1 (1.3)	

Values are presented as n (%).

\*Conditional logistic regression that accounted for the clustering of matched pairs.

<sup>†</sup>Obstructive symptoms such as abdominal pain, constipation, and vomiting.

SRC, signet-ring cell carcinoma; NMAC, non-mucinous adenocarcinoma; CEA, carcinoembryonic antigen.

4. Pathologic Features

The mean tumor size in the SRC group was significantly larger than that in the NMAC group (7.59±2.90 cm vs. 5.37±2.19 cm, *P*=0.003). As microsatellite instability (MSI) data were not available for 19 patients, these patients were excluded from this analysis. High-frequency MSI was found in 3 of 11 SRC patients (27.3%) and in 10 of 60 NMAC patients (16.7%). There were no significant differences between patients with SRC and matched NMAC patients regarding lymphovascular invasion, perineural invasion, and MSI (Table 2).

5. Postoperative Survival

The clinical outcomes are described in Table 3. The median follow-up duration was 30.1 months for the SRC group and 43.7 months for the NMAC group (*P*=0.141). The recurrence-free survival time (Fig. 2) was significantly poorer in the SRC group compared with the NMAC group. The cumulative survival rates for patients with SRC were 93.3%, 57.4%, and 46.0% at 1, 3, and 5 years, respectively. In comparison, the cumulative survival rates for patients with NMAC were 100.0%, 97.2%, and 88.7% at 1, 3, and 5 years, respectively. The overall survival rate (Fig. 2) of patients with SRC was less than that for those with NMAC (*P*<0.001). In univariate analysis, the hazard ratio for death was 6.99 for the SRC group (95% CI, 2.33–20.95; *P*=0.001) compared with the NMAC group.

Table 2. Pathologic Characteristics of Patients and Controls

Variable	SRC group (n=15)	NMAC group (n=75)	P-value*
Tumor size (cm)	7.59±2.90	5.37±2.19	0.003
Invasion depth			0.060
T1 and T2	3 (20.0)	6 (8.0)	
T3	9 (60.0)	63 (84.0)	
T4	3 (20.0)	6 (8.0)	
Lymph node status			0.994
N0	3 (20.0)	15 (20.0)	
N1	4 (26.7)	51 (68.0)	
N2	8 (53.3)	9 (12.0)	
Involvement of resection margin	1 (6.7)	0 (0.0)	0.994
Lymphovascular invasion	7 (46.7)	25 (33.3)	0.336
Perineural invasion	6 (40.0)	17 (22.7)	0.143
MSI	3/11 (27.3)	10/60 (16.7)	0.486

Values are presented as mean±SD or n (%).

\*Conditional logistic regression that accounted for the clustering of matched pairs.

SRC, signet-ring-cell carcinoma; NMAC, non-mucinous adenocarcinoma; MSI, microsatellite instability.

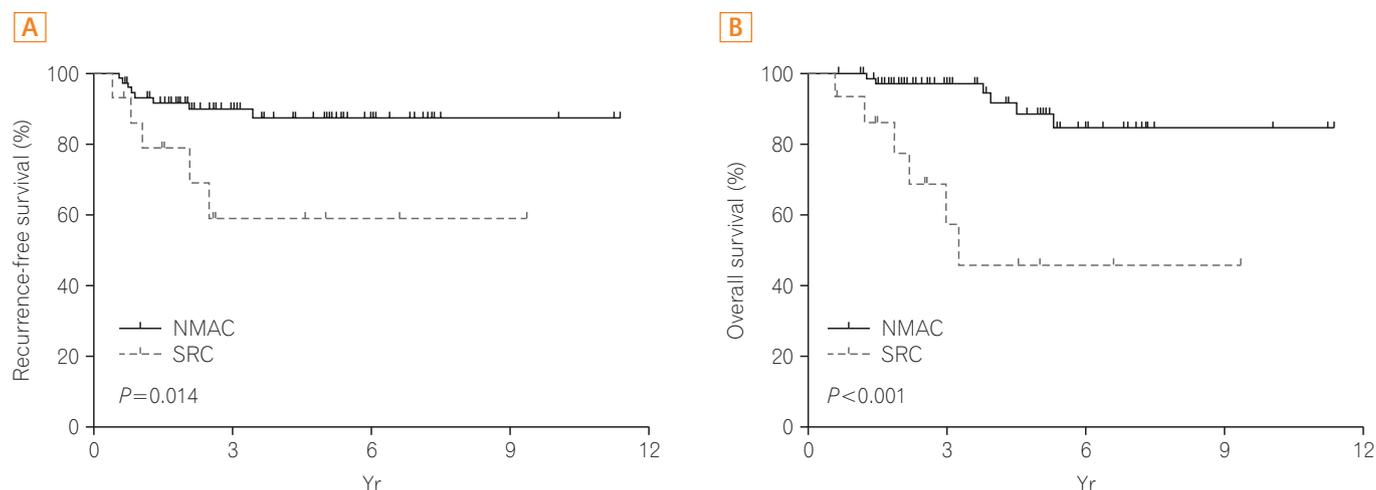
Table 3. Clinical Courses and Outcomes

Variable	SRC group (n=15)	NMAC group (n=75)	P-value*
Follow-up duration (mo), median (range)	30.09 (6.74–112.07)	43.73 (7.75–136.28)	0.141
Adjuvant chemotherapy	14 (93.3)	70 (93.3)	1.000
Recurrence after resection	5 (33.3)	8 (10.7)	0.038
Mortality	6 (40.0)	5 (6.7)	0.004

Values are presented as n (%).

\*Conditional logistic regression that accounted for the clustering of matched pairs.

SRC, signet-ring-cell carcinoma; NMAC, non-mucinous adenocarcinoma.



**Fig. 2.** Kaplan–Meier survival curves comparing the recurrence–free (A) and overall survival (B) of patients with signet-ring-cell carcinoma (SRC) and patients with non-mucinous adenocarcinoma (NMAC) (log-rank test).

## DISCUSSION

In this retrospective observational study, we found that even in patients with resectable primary colorectal SRC, the prognosis was poorer compared with that of age-, sex-, and stage-matched colorectal NMAC patients.

The reported clinicopathological features of SRC or mucinous colorectal adenocarcinomas are variable and remain controversial. Previous studies indicated that survival differences in patients with mucinous components could be associated with differences in the tumor stage rather than with the pathologic factors.<sup>8,9</sup> However, SRC has a poor prognosis independent of the tumor stage, as has been observed in a relatively large number of patients.<sup>10,11</sup> The present study clarified that patients with SRC did have a poor overall survival rate compared to the matched NMAC patients, and these findings are in accordance with the results of a previously published report.<sup>10-13</sup>

Although there were no substantial differences between patients with SRC and the age-, sex-, and stage-matched NMAC patients regarding lymphovascular invasion, perineural invasion, and MSI, the overall survival rate of the SRC group was significantly worse than that of the NMAC group. The aggressiveness of infiltrating growth may partially explain the poor prognosis. In our study, 85.7% of the SRC patients presented with a diffusely infiltrative endoscopic feature. Borger et al.<sup>12</sup> suggested that SRC was associated with an increased depth of invasion and a poor prognosis and that signet-ring cells showed disturbance of the cadherin–catenin complex. Signet-ring cells are usually present as single cells or in loose clusters, and this suggests a

disturbance in cell–cell adhesion. The infiltrating cells may be arranged singly or in loose clusters, and they progress diffusely through the colon. The poor prognosis may partially be attributed to this intramural growth without penetration. Therefore, patients with resectable primary colorectal SRC may require more aggressive adjuvant chemotherapy.

In accordance with the results of previous studies,<sup>14-16</sup> the current study demonstrated a higher incidence of scirrhous carcinoma in the SRC group than in the NMAC group (42.9% vs. 1.3%). These endoscopic findings might lead to symptoms of intestinal obstruction. In addition, the scope could not be passed beyond the scirrhous carcinoma, and this could contribute to the discrepancy in the synchronous adenoma rate between the SRC and NMAC groups in this study (20.0% vs. 49.3%).

Previous studies have reported controversial results regarding the major anatomic site of SRC.<sup>10,17-20</sup> In our study, the most common location for SRCs was the left colon. In contrast to the current results, previous reports have indicated the right colon as the major anatomic site for SRC.<sup>10,11,17,19,21</sup> The reason for this disagreement remains unclear, although the difference in the race/ethnicity of the patients or the small sample size might partly explain the discrepancy. In addition, among the control patients in the current study, right colon cancer was more common, which is not in accordance with previous studies.<sup>22,23</sup> Therefore, these results need to be interpreted carefully since selection bias and subsequent confounding can be an issue.

A large number of MSI-high SRCs have been reported to share the same clinicopathological features as other MSI-high cancers.<sup>24-27</sup> However, MSI status did not appear to be

a significant predictor of survival in colorectal SRC.<sup>24</sup> In our study, there were only 3 SRC patients whose tumors had high-frequency MSI (3 of 11), and none of these patients experienced recurrence or died, which precluded a subgroup analysis. These slightly conflicting data require further study, and the clinical significance remains to be evaluated.

Our study has several limitations. First, the numbers of study subjects were not sufficient to permit analysis of various epidemiological features. The small sample size precluded a multivariate analysis. Second, this was a retrospective study, and therefore the treatment strategy, selected according to the physician's preference and choice, was not controlled. The follow-up period after surgery may not have been sufficient. Third, differences, albeit subtle, may exist between patients with SRCs and NMACs regarding the depth of invasion, and these differences might contribute to a poor outcome within the SRC group. Fourth, our control group was randomly selected from subjects who underwent surgery for NMAC. Because of selection bias, control subjects may not perfectly typify the general NMAC patients. We also did not consider data of patients younger than 40 years of age as we could not find age-matched controls. Further investigation will be necessary in order to determine the clinical features of young patients with SRC.

In conclusion, patients with SRC demonstrated both a poorer overall survival rate and a poorer recurrence-free survival rate compared with the matched NMAC patients. Therefore, more vigorous postoperative surveillance and intense adjuvant therapy should be considered in SRC patients.

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